

A Bisimulation-Invariance-Based Approach to the Separation of Polynomial Complexity Classes

Martin Lange

University of Kassel, Germany

Algorithmic Model Theory, Passau, 02/03/26

joint work with Florian Bruse, TU Munich

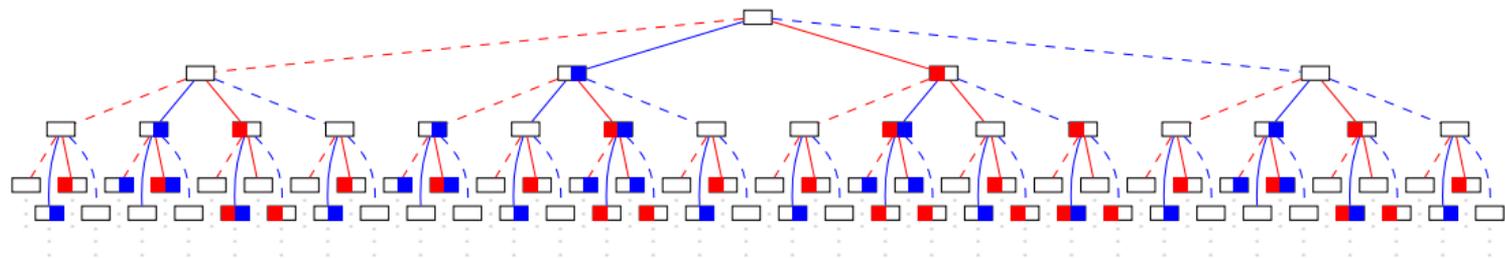
This Talk is All About Languages of Coloured Trees

... and everything is parameterised by $d = 1, 2, \dots$

we consider **unranked**, **unordered** trees with

- **multi-coloured nodes** (with d available colours)
- uniquely coloured **edges** (with **solid/dashed** variant of one of d colours)

Ex.: $d = 2$



Relative Regularity

well-understood notion of **regular** tree language, here (based on Janin-Walukiewicz):
definability in **modal μ -calculus** \mathcal{L}_μ

Definition 1

Tree language L is **regular** if there is $\varphi \in \mathcal{L}_\mu$ such that

$$L = L(\varphi)$$

Relative Regularity

well-understood notion of **regular** tree language, here (based on Janin-Walukiewicz):
definability in **modal μ -calculus** \mathcal{L}_μ

Definition 1

Tree language L is **regular relative to** tree language R if there is $\varphi \in \mathcal{L}_\mu$ such that

$$L \cap R = L(\varphi) \cap R$$

Ex.: careful! **non-regular** languages can be **regular relative to non-regular** ones

- $L =$ “*there is $n \geq 1$ s.t. all nodes on level n are coloured red*”
- $R =$ “*on all paths the sequence of node labels is the same*”

check: $L \cap R = L(\mu X.\text{red} \vee \diamond X) \cap R$

Relative Regularity

well-understood notion of **regular** tree language, here (based on Janin-Walukiewicz):
definability in **modal μ -calculus** \mathcal{L}_μ

Definition 1

Tree language L is **regular relative to** tree language R if there is $\varphi \in \mathcal{L}_\mu$ such that

$$L \cap R = L(\varphi) \cap R$$

Ex.: careful! **non-regular** languages can be **regular relative to non-regular** ones

- $L =$ “*there is $n \geq 1$ s.t. all nodes on level n are coloured red*”
- $R =$ “*on all paths the sequence of node labels is the same*”

check: $L \cap R = L(\mu X.\text{red} \vee \diamond X) \cap R$

N.B.: proof of **relative non-regularity** by pumping **more difficult**: pumping must take tree from $L \cap R$ to $\bar{L} \cap R$

The Languages 1NonUnivNFA_d

Definition 2

$1\text{NONUNIVNFA}_d =$ “there is some $n \geq 0$ such that for every colour i and every path from the root to node v :

there are n edges of **solid** colour i **after** the **last dashed** edge of colour i

\implies

node v has colour i ”

The Languages 1NonUnivNFA_d

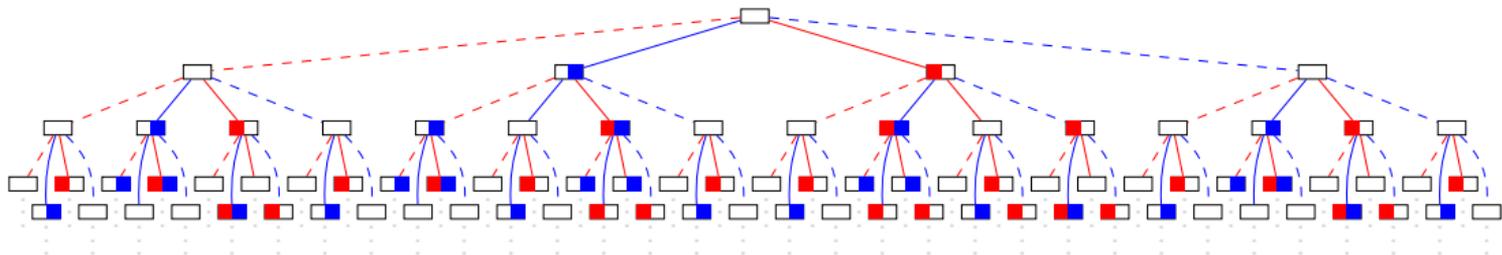
Definition 2

$1\text{NonUnivNFA}_d =$ “there is some $n \geq 0$ such that for every colour i and every path from the root to node v :

there are n edges of **solid** colour i after the **last dashed** edge of colour i

\implies

node v has colour i ”



Asynchronous d -Bisimulations and the Languages Power_d

consider largest relations \approx_{ij} such that for all $i, j \in [d]$ and nodes u, v : $u \approx_{ij} v \implies \dots$

- u has colour i iff v has colour j
- for all u' with $u \xrightarrow{i} u'$ there is v' s.t. $v \xrightarrow{j} v'$ and $u' \approx_{ij} v'$
- for all v' with $v \xrightarrow{j} v'$ there is u' s.t. $u \xrightarrow{i} u'$ and $u' \approx_{ij} v'$

Asynchronous d -Bisimulations and the Languages Power_d

consider largest relations \approx_{ij} such that for all $i, j \in [d]$ and nodes u, v : $u \approx_{ij} v \implies \dots$

- u has colour i iff v has colour j
- for all u' with $u \xrightarrow{i} u'$ there is v' s.t. $v \xrightarrow{j} v'$ and $u' \approx_{ij} v'$
- for all v' with $v \xrightarrow{j} v'$ there is u' s.t. $u \xrightarrow{i} u'$ and $u' \approx_{ij} v'$

intuition: if $u = (u_0, \dots, u_{d-1})$, $v = (v_0, \dots, v_{d-1})$ then $u \approx_{ij} v$ iff $u_i \sim v_j$

Asynchronous d -Bisimulations and the Languages Power_d

consider largest relations \approx_{ij} such that for all $i, j \in [d]$ and nodes u, v : $u \approx_{ij} v \implies \dots$

- u has colour i iff v has colour j
- for all u' with $u \xrightarrow{i} u'$ there is v' s.t. $v \xrightarrow{j} v'$ and $u' \approx_{ij} v'$
- for all v' with $v \xrightarrow{j} v'$ there is u' s.t. $u \xrightarrow{i} u'$ and $u' \approx_{ij} v'$

intuition: if $u = (u_0, \dots, u_{d-1})$, $v = (v_0, \dots, v_{d-1})$ then $u \approx_{ij} v$ iff $u_i \sim v_j$

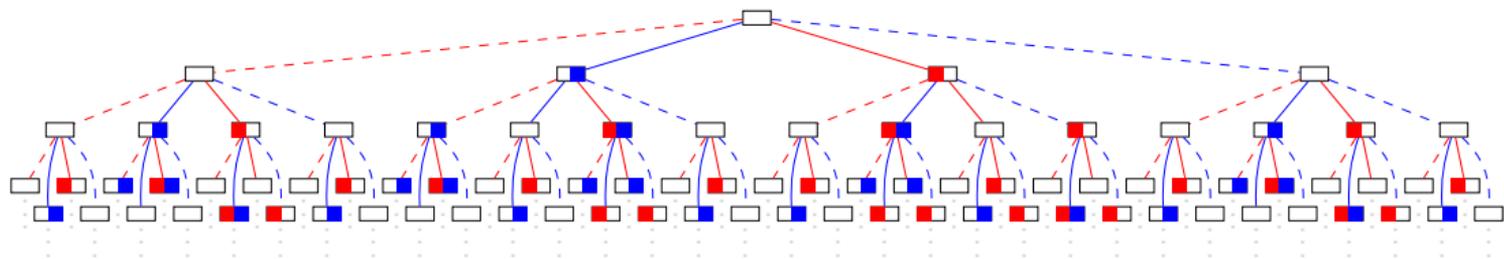
Definition 3

POWER_d = “the relations $(\approx_{ij})_{i,j \in [d]}$ satisfy the following properties:

- a **solid** or **dashed** edge of colour i preserves the node colouring w.r.t. all colours $j \neq i$
- there is a **dashed** edge of colour i to $v \implies v \approx_{ij} v_i$
- $v_i \approx_{ij} v_j$ for all $i, j \in [d]$

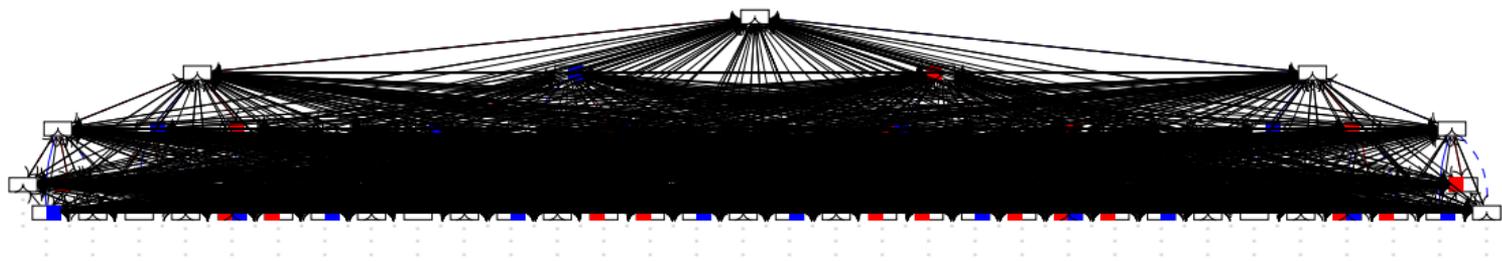
where v_i is the **tree's root**”

Example



relation \approx_{01} alone has 3645 edges on these 81 nodes

Example

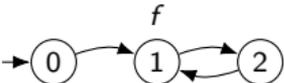


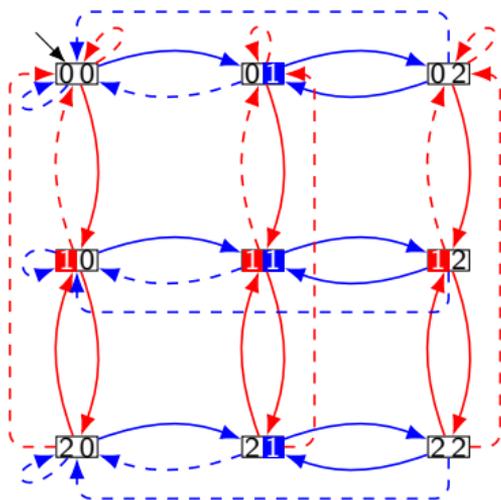
relation \approx_{01} alone has 3645 edges on these 81 nodes

Question

Is 1NonUnivNFA_d non-regular relative to POWER_d for every $d \geq 1$?

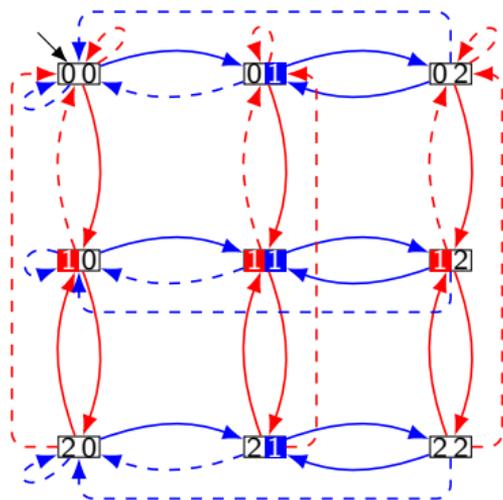
One Last Thing: Asynchronous Powers of Graphs

Ex.: the 2nd power of f  is



One Last Thing: Asynchronous Powers of Graphs

Ex.: the 2nd power of $\begin{array}{c} \xrightarrow{f} \\ \circlearrowleft \\ \text{0} \quad \text{1} \quad \text{2} \end{array}$ is



easily extends to d -powers

- **solid** edge with colour $i = \text{move}$ in i -th component
- **dashed** edge with colour $i = \text{reset}$ to root node in i component

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NonUnivNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NonUnivNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NONUNIVNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Lemma 2: [L./Lozes'13] $G \models \varphi \in \mathcal{L}_\mu^d$ iff $G^d \models \text{mono}(\varphi) \in \mathcal{L}_\mu$

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NONUNIVNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Lemma 2: [L./Lozes'13] $G \models \varphi \in \mathcal{L}_\mu^d$ iff $G^d \models \text{mono}(\varphi) \in \mathcal{L}_\mu$

Lemma 3: \mathcal{L}_μ -definability = tree unfoldings form *regular* tree language

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NonUnivNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Lemma 2: [L./Lozes'13] $G \models \varphi \in \mathcal{L}_\mu^d$ iff $G^d \models \text{mono}(\varphi) \in \mathcal{L}_\mu$

Lemma 3: \mathcal{L}_μ -definability = tree unfoldings form **regular** tree language

Lemma 4: $T \in \text{POWER}_d$ iff there is G s.t. $T \sim G^d$

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NONUNIVNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Lemma 2: [L./Lozes'13] $G \models \varphi \in \mathcal{L}_\mu^d$ iff $G^d \models \text{mono}(\varphi) \in \mathcal{L}_\mu$

Lemma 3: \mathcal{L}_μ -definability = tree unfoldings form *regular* tree language

Lemma 4: $T \in \text{POWER}_d$ iff there is G s.t. $T \sim G^d$

Lemma 5: $T \in 1\text{NONUNIVNFA}_d \cap \text{POWER}_d$ iff there is 1-letter non-universal NFA \mathcal{A} s.t. $T \sim \mathcal{A}^d$

Separability of P and NP

Theorem 4

$P \neq NP$ iff 1NONUNIVNFA_d is *non-regular relative* to POWER_d for every $d \geq 1$

key ingredients:

Lemma 1 [Otto'99] $P/\sim = \mathcal{L}_\mu^\omega$

Lemma 2: [L./Lozes'13] $G \models \varphi \in \mathcal{L}_\mu^d$ iff $G^d \models \text{mono}(\varphi) \in \mathcal{L}_\mu$

Lemma 3: \mathcal{L}_μ -definability = tree unfoldings form **regular** tree language

Lemma 4: $T \in \text{POWER}_d$ iff there is G s.t. $T \sim G^d$

Lemma 5: $T \in 1\text{NONUNIVNFA}_d \cap \text{POWER}_d$ iff there is 1-letter non-universal NFA \mathcal{A} s.t. $T \sim \mathcal{A}^d$

Lemma 6: Non-Universality for 1-letter NFA is in NP/\sim

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

by Lem. 1: there is $\varphi \in \mathcal{L}_{\mu}^d$ for some $d \geq 1$ that defines the set of graphs representing non-universal 1-letter NFA

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

by Lem. 1: there is $\varphi \in \mathcal{L}_\mu^d$ for some $d \geq 1$ that defines the set of graphs representing non-universal 1-letter NFA

by Lem. 2: relative to the class of d -powers of graphs, $\text{mono}(\varphi) \in \mathcal{L}_\mu$ defines d -powers of such non-universal NFA

by Lem. 3–5: 1NONUNIVNFA_d is regular relative to POWER_d

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

by Lem. 1: there is $\varphi \in \mathcal{L}_\mu^d$ for some $d \geq 1$ that defines the set of graphs representing non-universal 1-letter NFA

by Lem. 2: relative to the class of d -powers of graphs, $\text{mono}(\varphi) \in \mathcal{L}_\mu$ defines d -powers of such non-universal NFA

by Lem. 3–5: 1NONUNIVNFA_d is regular relative to POWER_d

“ \Rightarrow ” suppose 1NONUNIVNFA_d is **regular relative** to POWER_d for some $d \geq 1$

by Lem. 3: there is $\varphi \in \mathcal{L}_\mu$ that defines 1NONUNIVNFA_d relative to POWER_d

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

by Lem. 1: there is $\varphi \in \mathcal{L}_\mu^d$ for some $d \geq 1$ that defines the set of graphs representing non-universal 1-letter NFA

by Lem. 2: relative to the class of d -powers of graphs, $mono(\varphi) \in \mathcal{L}_\mu$ defines d -powers of such non-universal NFA

by Lem. 3–5: 1NONUNIVNFA_d is regular relative to POWER_d

“ \Rightarrow ” suppose 1NONUNIVNFA_d is **regular relative** to POWER_d for some $d \geq 1$

by Lem. 3: there is $\varphi \in \mathcal{L}_\mu$ that defines 1NONUNIVNFA_d relative to POWER_d

by Lem. 2, 4, 5 and invertibility of $mono(\cdot)$: $poly(\varphi) \in \mathcal{L}_\mu^d$ defines graphs that represent non-universal 1-letter NFA

Proof of Thm. 4

“ \Leftarrow ” suppose $P = NP$, then also $P/\sim = NP/\sim$

by Lem. 6: non-universality for 1-letter NFA $\in P/\sim$

by Lem. 1: there is $\varphi \in \mathcal{L}_\mu^d$ for some $d \geq 1$ that defines the set of graphs representing non-universal 1-letter NFA

by Lem. 2: relative to the class of d -powers of graphs, $mono(\varphi) \in \mathcal{L}_\mu$ defines d -powers of such non-universal NFA

by Lem. 3–5: 1NONUNIVNFA_d is regular relative to POWER_d

“ \Rightarrow ” suppose 1NONUNIVNFA_d is **regular relative** to POWER_d for some $d \geq 1$

by Lem. 3: there is $\varphi \in \mathcal{L}_\mu$ that defines 1NONUNIVNFA_d relative to POWER_d

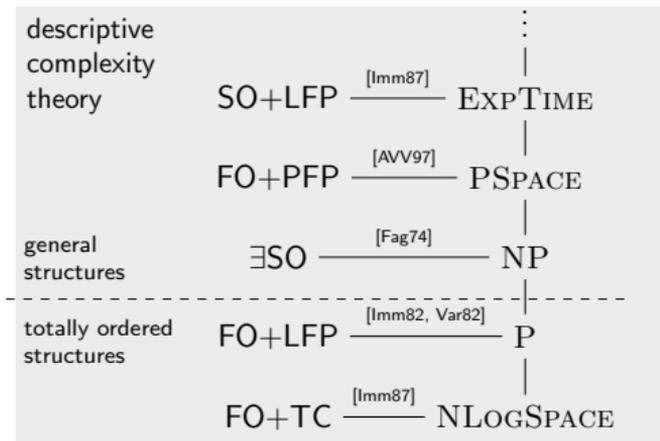
by Lem. 2, 4, 5 and invertibility of $mono(\cdot)$: $poly(\varphi) \in \mathcal{L}_\mu^d$ defines graphs that represent non-universal 1-letter NFA

by Lem. 1 and **NP-completeness** of 1-letter NFA-non-universality: $P = NP$ □

Conclusion

main contribution: bisimulation-invariant complexity theory allows machinery from formal tree language theory to be imported

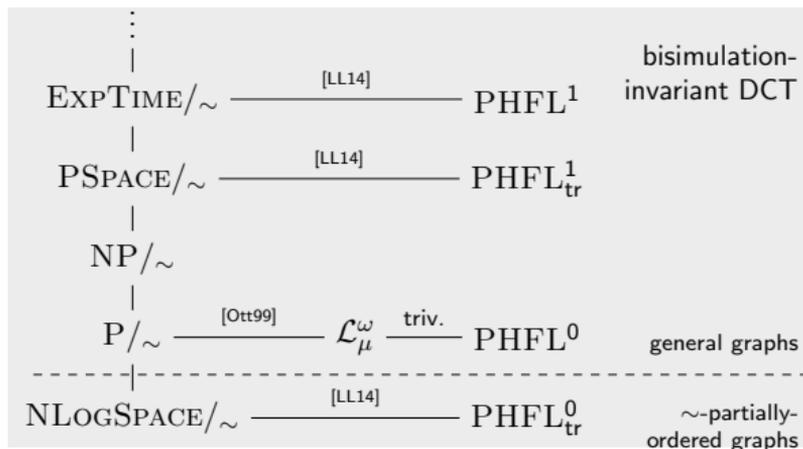
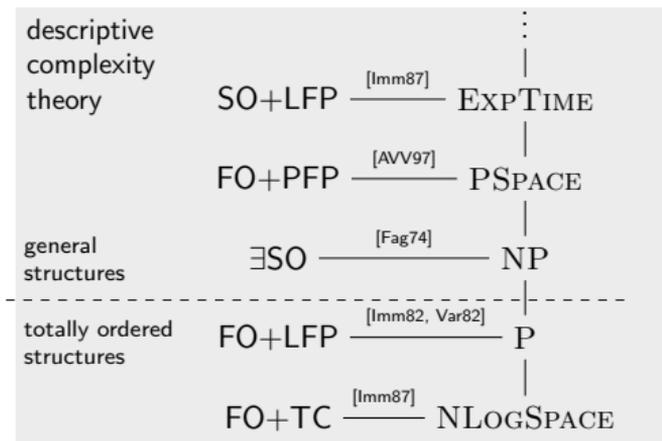
when did the order problem disappear?



Conclusion

main contribution: bisimulation-invariant complexity theory allows machinery from formal tree language theory to be imported

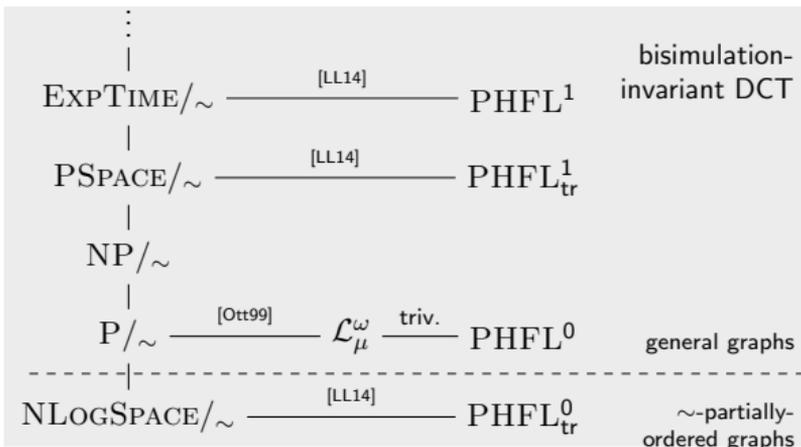
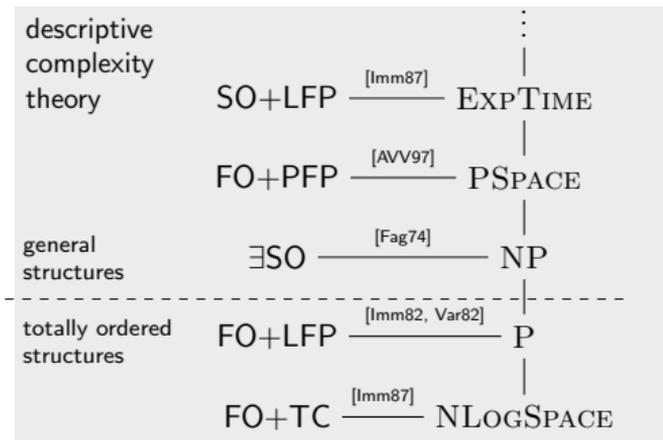
when did the order problem disappear?



Conclusion

main contribution: bisimulation-invariant complexity theory allows machinery from formal tree language theory to be imported

when did the order problem disappear?



analogous theorem for $P \neq PSPACE$, built on **2-letter** non-universality problem for NFA

in general: characterisation of **bisimulation-invariant** \mathcal{C} -complete problem can be used to separate P from \mathcal{C}

The End