

Basic relations valid for the Bernstein space  $B_\sigma^2$   
and their extensions to functions from larger spaces  
in terms of their distances from  $B_\sigma^2$

Part 1: Shannon's sampling theorem and further fundamental  
theorems of mathematical analysis in the bandlimited and  
non-bandlimited case

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New Trends and Directions in Harmonic Analysis,  
Fractional Operator Theory, and Image Analysis

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## Definition

$B_\sigma^p$  for  $\sigma > 0$ ,  $1 \leq p \leq \infty$ , is the Bernstein space of all entire functions  $f: \mathbb{C} \rightarrow \mathbb{C}$  that belong to  $L^p(\mathbb{R})$  when restricted to the real axis as well as are of exponential type  $\sigma$ , i. e.,

$$f(z) = \mathcal{O}_f(\exp(\sigma |\Im z|)) \quad (|z| \rightarrow \infty).$$

There holds

$$B_\sigma^1 \subset B_\sigma^{p_1} \subset B_\sigma^{p_2} \subset B_\sigma^\infty \quad (1 \leq p_1 \leq p_2 \leq \infty).$$

# Whittaker-Kotel'nikov-Shannon sampling theorem (CST)

Theorem (Whittaker 1915, Kotel'nikov 1933, Shannon 1950)

For  $f \in B_\sigma^2$  with  $\sigma > 0$  we have

$$f(z) = \sum_{k \in \mathbb{Z}} f\left(\frac{k\pi}{\sigma}\right) \operatorname{sinc} \frac{\sigma}{\pi} \left(z - \frac{k\pi}{\sigma}\right) \quad (z \in \mathbb{C}),$$

*convergence being absolute and uniform on compact subsets of  $\mathbb{C}$ , and with respect to  $L^2(\mathbb{R})$ -norm.*

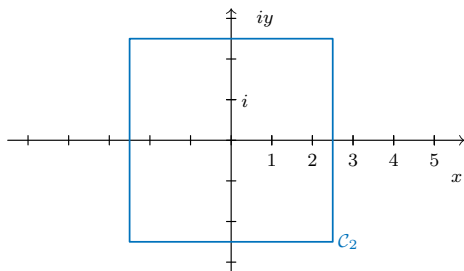
$$\operatorname{sinc} z := \begin{cases} \frac{\sin \pi z}{\pi z}, & z \neq 0 \\ 1, & z = 0. \end{cases}$$

# Proof of the classical sampling theorem

Assume  $\sigma = \pi$ , and  $f \in B_{\tau}^2$  with  $0 < \tau < \pi$  rather than to  $f \in B_{\pi}^2$ . Consider the contour integral

$$I_m(z) := \frac{\sin \pi z}{2\pi i} \int_{\mathcal{C}_m} \frac{f(\xi)}{(\xi - z) \sin \pi \xi} d\xi \quad (z \notin \mathbb{Z}),$$

where  $\mathcal{C}_m$  is the square of side length  $2m + 1$ , centered at the origin, and  $m \in \mathbb{N}$  is chosen so large that  $z \in \text{int } \mathcal{C}_m$ .



# Proof of the classical sampling theorem, continued

The integral can be evaluated by the residue theorem to give

$$I_m(z) = \frac{\sin \pi z}{2\pi i} \int_{C_m} \frac{f(\xi)}{(\xi - z) \sin \pi \xi} d\xi = f(z) - \sum_{k=-m}^m f(k) \operatorname{sinc} \pi(z-k),$$

noting that

$$\operatorname{res} \left( \frac{f(\xi)}{(\xi - z) \sin \pi \xi}, z \right) = \frac{1}{\sin \pi z} f(z),$$

$$\operatorname{res} \left( \frac{f(\xi)}{(\xi - k) \sin \pi \xi}, k \right) = \frac{1}{\sin \pi z} f(k) \operatorname{sinc} \pi(z - k).$$

# Proof of the classical sampling theorem, continued

Using the estimate

$$\left| \frac{f(\xi)}{\sin \pi \xi} \right| \leq c \frac{\exp(\tau |\Im \xi|)}{\exp(\pi |\Im \xi|)} = c \exp((\tau - \pi) |\Im \xi|) \quad (|\xi| \in \mathcal{C}_m),$$

one can show that

$$0 = \lim_{m \rightarrow \infty} I_m(z) = f(z) - \lim_{m \rightarrow \infty} \sum_{k=-m}^m f(k) \operatorname{sinc} \pi(z - k).$$

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This is the sampling theorem for  $f \in B_\tau^2$  with  $0 < \tau < \pi$ . By a density argument, the same formula holds in the limiting case  $\tau = \pi$ .

Finally, for  $f \in B_\sigma^2$  with arbitrary  $\sigma > 0$  the assertion follows by a linear transformation. □



# Reproducing kernel formula (RKF)

## Theorem

For  $f \in B_\sigma^2$  we have

$$f(z) = \frac{\sigma}{\pi} \int_{\mathbb{R}} f(u) \operatorname{sinc} \left( \frac{\sigma}{\pi} (z - u) \right) du \quad (z \in \mathbb{C}).$$

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This means that  $B_\sigma^2$  is a reproducing kernel Hilbert space, i. e., there exists a kernel function  $k(\cdot, z)$  which belongs to  $B_\sigma^2$  for each  $z \in \mathbb{C}$ , such that

$$f(z) = \langle f(\cdot), k(\cdot, z) \rangle \quad (z \in \mathbb{C}).$$

# General Parseval formula (GPF)

## Theorem

For  $f, g \in B_\sigma^2$  we have

$$\int_{\mathbb{R}} f(u) \overline{g(u)} du = \frac{\pi}{\sigma} \sum_{k \in \mathbb{Z}} f\left(\frac{k\pi}{\sigma}\right) \overline{g\left(\frac{k\pi}{\sigma}\right)}.$$

## Corollary

For  $f \in B_\sigma^2$  there holds

$$\|f\|_{L^2(\mathbb{R})}^2 = \frac{\pi}{\sigma} \sum_{k \in \mathbb{Z}} \left| f\left(\frac{k\pi}{\sigma}\right) \right|^2.$$

# Poisson's summation formula (particular case) (PSF)

## Theorem

For  $f \in B_{\sigma}^1$  we have

$$\int_{\mathbb{R}} f(t) dt = \frac{2\pi}{\sigma} \sum_{k \in \mathbb{Z}} f\left(\frac{2k\pi}{\sigma}\right).$$

In  $B_{\sigma}^1$  the trapezoidal rule for integration over  $\mathbb{R}$  with step size  $2\pi/\sigma$  is exact .

# Paley-Wiener theorem (PWT)

## Theorem

$$f \in B_\sigma^2 \implies \widehat{f}(v) := \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} f(u) e^{-ivu} du = 0 \quad (|v| > \sigma).$$

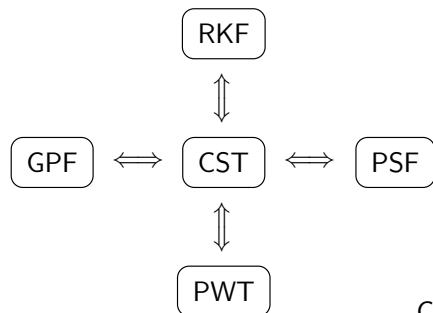
## Theorem

$$f \in B_\sigma^2 \implies \widehat{f}(v) := \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} f(u) e^{-ivu} du = 0 \quad (|v| > \sigma).$$

The converse is also true. It follows immediately from the Fourier inversion formula:

$$f(z) := \frac{1}{\sqrt{2\pi}} \int_{-\sigma}^{\sigma} \widehat{f}(v) e^{izv} dv \quad (z \in \mathbb{C}).$$

# The equivalences between the theorems mentioned



CST = Classical sampling theorem  
RKF = Reproducing kernel formula  
GPF = General Parseval formula  
PSF = Poisson's summation formula  
PWT = Paley-Wiener theorem

# Proof of CST $\implies$ PWT

We restrict the matter to  $\sigma = \pi$ , the general case follows by a linear transformation.

We have to show: CST  $\implies \hat{f}(v) = 0, |v| > \pi$ , for all  $f \in B_{\pi}^2$ .



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$$\begin{aligned} f(t) &= \sum_{k \in \mathbb{Z}} f(k) \operatorname{sinc}(t - k) \quad \text{in } L^2(\mathbb{R}) \\ \implies \hat{f}(v) &= \sum_{k \in \mathbb{Z}} f(k) [\operatorname{sinc}(\cdot - k)]^{\widehat{}}(v) \\ &= \left( \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} f(k) e^{-ikv} \right) \chi_{[-\pi, \pi]}(v) \end{aligned}$$

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$$\implies \hat{f}(v) = 0 \text{ for } |v| > \pi. \quad \square$$

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## Proof of PWT $\implies$ CST, continued

$$f(-k) = \frac{1}{\sqrt{2\pi}} \int_{-\pi}^{\pi} \hat{f}(v) e^{-ikv} dv \quad (\text{Fourier inversion formula})$$

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$$\implies \left\| f(t) - \sum_{|k| \leq n} f(k) \operatorname{sinc}(t - k) \right\|_{L^2(\mathbb{R})}$$

$$= \left\| \widehat{f}(v) - \frac{1}{\sqrt{2\pi}} \sum_{|k| \leq n} f(-k) e^{ikv} \right\|_{L^2(-\pi, \pi)} .$$

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$$= \lim_{n \rightarrow \infty} \left\| \widehat{f}(v) - \frac{1}{\sqrt{2\pi}} \sum_{|k| \leq n} f(-k) e^{ikv} \right\|_{L^2(-\pi, \pi)} = 0. \quad \square$$

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# Equivalent assertions in the instance of non-bandlimited functions

Instead of the Bernstein spaces  $B_\sigma^p$  we now consider the following function spaces:

$$F^p := \{f: \mathbb{R} \rightarrow \mathbb{C}; f \in L^p(\mathbb{R}) \cap C(\mathbb{R}), \widehat{f} \in L^1(\mathbb{R})\}$$

$$S_\lambda^p := \left\{ f: \mathbb{R} \rightarrow \mathbb{C}; \{f(\lambda k)\}_{k \in \mathbb{Z}} \in \ell^p(\mathbb{Z}) \right\} \quad (\lambda > 0).$$

There holds  $B_\sigma^p \subset F^p \cap S_\lambda^p$  for all  $\sigma, \lambda > 0$  in view of Nikol'skiĭ's inequality:

$$\left\{ \lambda \sum_{k \in \mathbb{Z}} |f(\lambda k)|^p \right\}^{1/p} \leq (1 + \lambda\sigma) \|f\|_{L^p(\mathbb{R})} \quad (f \in B_\sigma^p).$$

More details in Part 2.



## Theorem (Weiss 1963, Brown 1967, Butzer-Splettstößer 1977)

Let  $f \in F^2 \cap S_{\pi/\sigma}^2$  with  $\sigma > 0$ . Then

$$f(t) = \sum_{k \in \mathbb{Z}} f\left(\frac{k\pi}{\sigma}\right) \operatorname{sinc}\left(\frac{\sigma}{\pi}t - k\right) + (R_{\sigma}^{\text{WKS}} f)(t) \quad (t \in \mathbb{R}).$$

The series converges absolutely and uniformly on  $\mathbb{R}$ .

We have

$$(R_{\sigma}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\sigma}) \int_{(2k-1)\sigma}^{(2k+1)\sigma} \widehat{f}(v) e^{ivt} dv$$

$$|(R_{\sigma}^{\text{WKS}} f)(t)| \leq \sqrt{\frac{2}{\pi}} \int_{|v| \geq \sigma} |\widehat{f}(v)| dv = o(1) \quad (\sigma \rightarrow \infty).$$

# General reproducing kernel formula (GRKF)

Theorem (extended, Butzer et al. 2011)

Let  $f \in F^2 \cap S_{\sigma/\pi}^2$ ,  $\sigma > 0$ . Then

$$f(t) = \frac{\sigma}{\pi} \int_{\mathbb{R}} f(u) \operatorname{sinc} \left( \frac{\sigma}{\pi}(t - u) \right) du + (R_{\sigma}^{\text{RKf}} f)(t) \quad (t \in \mathbb{R}),$$

$$(R_{\sigma}^{\text{RKf}} f)(t) := \frac{1}{\sqrt{2\pi}} \int_{|v| > \sigma} \widehat{f}(v) e^{itv} dv.$$

Furthermore,

$$|(R_{\sigma}^{\text{RKf}} f)(t)| \leq \frac{1}{\sqrt{2\pi}} \int_{|v| > \sigma} |\widehat{f}(v)| dv = o(1) \quad (\sigma \rightarrow \infty).$$

# General Parseval decomposition formula (GPDF)

## Theorem (Butzer–Gessinger 1995/97)

Let  $f \in F^2 \cap S_{\pi/\sigma}^1$  and  $g \in F^2$ . Then for  $\sigma > 0$

$$\int_{\mathbb{R}} f(u) \overline{g(u)} \, du = \frac{\pi}{\sigma} \sum_{k \in \mathbb{Z}} f\left(\frac{\pi k}{\sigma}\right) \overline{g\left(\frac{\pi k}{\sigma}\right)} + R_{\sigma}(f, g),$$

$$R_{\sigma}(f, g) = \int_{\mathbb{R}} (R_{\sigma}^{\text{WKS}} f)(u) \overline{g(u)} \, du \\ - \sqrt{\frac{\pi}{2}} \frac{1}{\sigma} \sum_{k \in \mathbb{Z}} f\left(\frac{k\pi}{\sigma}\right) \int_{|v| \geq \sigma} \widehat{g}(v) e^{ik\pi v/\sigma} \, dv.$$

$$|R_{\sigma}(f, g)| \leq \|R_{\sigma}^{\text{WKS}} f\|_{L^2} \|g\|_{L^2} + \sqrt{\frac{\pi}{2}} \frac{1}{\sigma} \sum_{k \in \mathbb{Z}} \left| f\left(\frac{k\pi}{\sigma}\right) \right| \int_{|v| > \sigma} |\widehat{g}(v)| \, dv.$$

# Poisson's summation formula (general case) (PSF)

## Theorem

Let  $f \in F^1$  such that  $\hat{f} \in S_\sigma^1$ , then

$$\frac{\sqrt{2\pi}}{\sigma} \sum_{k \in \mathbb{Z}} f\left(x + \frac{2k\pi}{\sigma}\right) = \sum_{k \in \mathbb{Z}} \hat{f}(k\sigma) e^{ik\sigma x} \quad (\text{a. e.}).$$

# Euler-Maclaurin summation formula (EMSF)

## Theorem

For  $n, r \in \mathbb{N}$  and  $f \in C^{(2r)}[0, n]$ , we have

$$\begin{aligned} \sum_{k=0}^n f(k) &= \int_0^n f(x) dx + \\ &+ \frac{1}{2} [f(0) + f(n)] + \sum_{k=1}^r \frac{B_{2k}}{(2k)!} [f^{(2k-1)}(n) - f^{(2k-1)}(0)] + \\ &+ (-1)^r \sum_{k=1}^{\infty} \int_0^n \frac{e^{i2\pi kt} + e^{-i2\pi kt}}{(2\pi k)^{2r}} f^{(2r)}(t) dt, \end{aligned}$$

where  $B_{2k}$  are the Bernoulli numbers.

# Functional equation for Riemann's zeta-function (FERZ)

## Definition

$$\zeta(s) := \sum_{k=1}^{\infty} \frac{1}{k^s} \quad (s \in \mathbb{C}, \Re s > 1).$$

$\zeta$  has a meromorphic extension to  $\mathbb{C} \setminus \{1\}$ . At  $s = 1$  it has a simple pole with residue 1.

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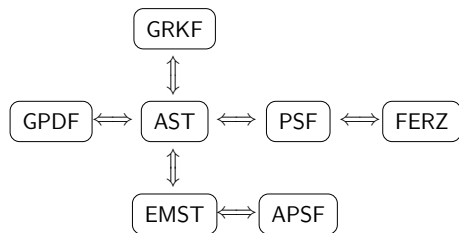
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## Theorem

$$\pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \zeta(s) = \pi^{-(1-s)/2} \Gamma\left(\frac{1-s}{2}\right) \zeta(1-s) \quad (s \in \mathbb{C}).$$

# The equivalences in the non-bandlimited case



AST = Approximate sampling theorem

ARKF = Approximate reproducing kernel formula

GPDF = General Parseval decomposition formula

PSF = Poisson's summation formula

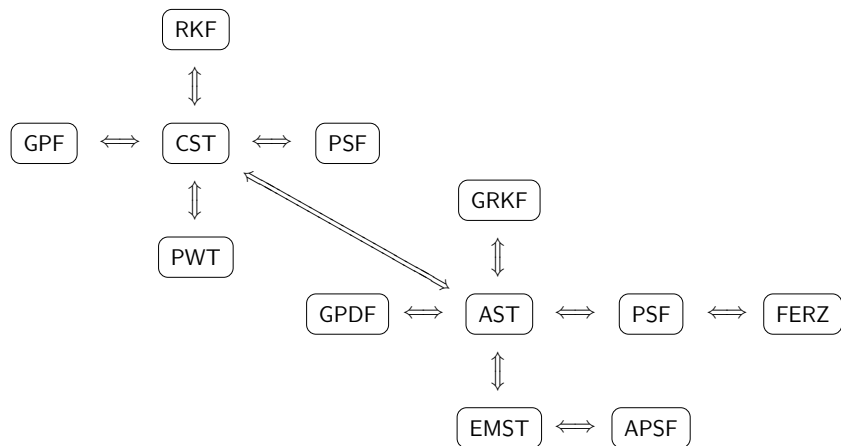
FERZ = Functional equation for Riemann's zeta-function

EMSF = Euler-Maclaurin summation formula

APSF = Abel-Plana summation formula



# Equivalence of the bandlimited and non-bandlimited case



# Proof of AST $\implies$ CST

We restrict the matter to  $\sigma = \pi$ , the general case follows by a linear transformation.

**Identity theorem** for bandlimited functions:

*If  $f \in B_{\pi}^p$ ,  $1 \leq p < \infty$ , with  $f(j) = 0$ ,  $j \in \mathbb{Z}$ , then  $f = 0$ .*

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Idea of proof: Use

$$f(z) = \mathcal{O}_f(\exp(\pi |\Im z|)) \quad (|z| \rightarrow \infty)$$

to show that the entire function  $f(z)/\sin(\pi z)$  is bounded. By Liouville it follows that  $f(z)/\sin(\pi z) = \text{const}$ . Hence

$$f(z) = c \sin(\pi z) \quad (z \in \mathbb{C}).$$

Since  $f \in B_\pi^p$ ,  $1 \leq p < \infty$ , the constant must be zero.

Now assume that  $f \in B_{\pi}^2$ . Then ASF applies:

$$f(t) = \sum_{k \in \mathbb{Z}} f(k) \operatorname{sinc}(t - k) + (R_{\pi}^{\text{WKS}} f)(t) \quad (t \in \mathbb{R}),$$

$$(R_{\pi}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv.$$

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$$f(t) = \sum_{k \in \mathbb{Z}} f(k) \operatorname{sinc}(t - k) + (R_{\pi}^{\text{WKS}} f)(t) \quad (t \in \mathbb{R}),$$

$$(R_{\pi}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv.$$

Here  $f$ , the infinite series and hence the remainder belong to  $B_{\pi}^2$ . Moreover, the remainder vanishes for  $t = j \in \mathbb{Z}$ . In view of the identity theorem, it follows that the remainder vanishes for all  $t \in \mathbb{R}$ , i. e., AST reduces to CST. □

# Proof of CST $\implies$ AST

Let  $Sg(t) := \sum_{k \in \mathbb{Z}} g(k) \operatorname{sinc}(t - k)$ . We have to prove:

$$f(t) = Sf(t) + \underbrace{\frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv}_{=(R_{\pi}^{\text{WKS}} f)(t)} .$$

# Proof of CST $\implies$ AST

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By the Fourier inversion formula

$$f(t) = \frac{1}{\sqrt{2\pi}} \int_{-\pi}^{\pi} \widehat{f}(v) e^{itv} dv + \frac{1}{\sqrt{2\pi}} \int_{|v| > \pi} \widehat{f}(v) e^{itv} dv =: f_1(t) + f_2(t).$$

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Now  $f_1 \in B_{\pi}^2$  and hence  $f_1 = Sf_1$  by CST. It follows that

$$f = Sf_1 + f_2 = S(f_1 + f_2) + \{f_2 - Sf_2\} = Sf + \{f_2 - Sf_2\}$$

We have to show that

$$R_{\pi}^{\text{WKS}} f = f_2 - Sf_2.$$



$$(R_{\pi}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv$$

# Proof of CST $\implies$ AST, continued

$$(R_{\pi}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv$$

$$= \frac{1}{\sqrt{2\pi}} \int_{|v| > \pi} \widehat{f}(v) e^{ikv} dv - \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{it(v-2k\pi)} dv$$

# Proof of CST $\implies$ AST, continued

$$(R_{\pi}^{\text{WKS}} f)(t) := \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} (1 - e^{-i2kt\pi}) \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{ivt} dv$$

$$= \frac{1}{\sqrt{2\pi}} \int_{|v| > \pi} \widehat{f}(v) e^{ikv} dv - \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} \int_{(2k-1)\pi}^{(2k+1)\pi} \widehat{f}(v) e^{it(v-2k\pi)} dv$$

$$= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v| > \pi} \widehat{f}(v) [e^{ivt}]^* dv,$$

where  $[e^{ivt}]^*$  denotes the  $2\pi$ -periodic extension of  $v \mapsto e^{ivt}$  from  $(-\pi, \pi)$  to  $\mathbb{R}$ .

## Proof of CST $\implies$ AST, continued

Expanding  $[e^{ivt}]^*$  in its Fourier series gives

$$(R_{\pi}^{\text{WKS}} f)(t) = f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) [e^{ivt}]^* dv$$

# Proof of CST $\implies$ AST, continued

Expanding  $[e^{ivt}]^*$  in its Fourier series gives

$$\begin{aligned}(R_{\pi}^{\text{WKS}} f)(t) &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) [e^{ivt}]^* dv \\ &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) \left\{ \sum_{k \in \mathbb{Z}} \text{sinc}(t - k) e^{ikv} \right\} dv\end{aligned}$$

# Proof of CST $\implies$ AST, continued

Expanding  $[e^{ivt}]^*$  in its Fourier series gives

$$\begin{aligned}(R_{\pi}^{\text{WKS}} f)(t) &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) [e^{ivt}]^* dv \\ &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) \left\{ \sum_{k \in \mathbb{Z}} \text{sinc}(t - k) e^{ikv} \right\} dv \\ &= f_2(t) - \sum_{k \in \mathbb{Z}} \text{sinc}(t - k) \underbrace{\frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) e^{ikv} dv}_{f_2(k)}\end{aligned}$$

# Proof of CST $\implies$ AST, continued

Expanding  $[e^{ivt}]^*$  in its Fourier series gives

$$\begin{aligned}(R_{\pi}^{\text{WKS}} f)(t) &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) [e^{ivt}]^* dv \\ &= f_2(t) - \frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) \left\{ \sum_{k \in \mathbb{Z}} \text{sinc}(t - k) e^{ikv} \right\} dv \\ &= f_2(t) - \sum_{k \in \mathbb{Z}} \text{sinc}(t - k) \underbrace{\frac{1}{\sqrt{2\pi}} \int_{|v|>\pi} \widehat{f}(v) e^{ikv} dv}_{f_2(k)} \\ &= f_2(t) - Sf_2(t).\end{aligned}$$

Interchange of summation and integration is valid, since the partial sums  $\sum_{-N}^N \text{sinc}(t - k) e^{ikv}$  are uniformly bounded with respect to  $v \in \mathbb{R}$  and  $N \in \mathbb{N}$ .





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