# Filter banks for arbitrary dilations

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Lehrstuhl für Mathematik mit Schwerpunkt Digitale Bildverarbeitung Universität Passau

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#### Definition

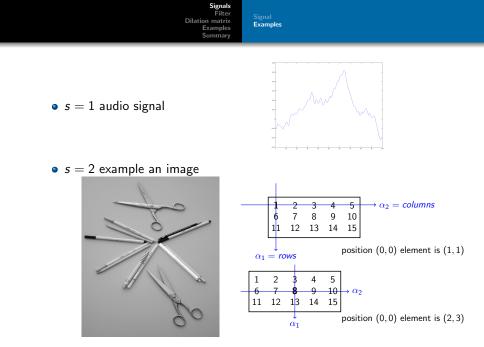
 $\ell(\mathbb{Z}^s)$  denotes the set of all signals of the form

$$c = (c(\alpha) \mid \alpha \in \mathbb{Z}^s) = (c(\alpha_1, \ldots, \alpha_s) \mid \alpha_1, \ldots, \alpha_s \in \mathbb{Z}),$$

and  $c(\alpha) \in \mathbb{R}$ .



#### • s = 1 audio signal



Signals Filter	Expanding Matrices
Dilation matrix Examples Summary	filter bank - 1 dimensional filter bank - 2 dimensional

• translation 
$$\tau_{\alpha} c(\cdot) = c(\cdot + \alpha)$$

Summary
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$$F: \ell(\mathbb{Z}^s) \to \ell(\mathbb{Z}^s)$$
 is an LTI-filter, if  $F\tau_{\alpha} = \tau_{\alpha}F$ 

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• pulse signal 
$$\delta_0(\alpha) = \begin{cases} 1, & \text{if } \alpha = 0, \\ 0, & \text{else} \end{cases}$$

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- impulse response  $f \in \ell(\mathbb{Z}^s)$  is defined as  $f = F\delta$
- any LTI-filter can be written as a convolution

$$Fc = f * c = \sum_{\alpha \in \mathbb{Z}^s} f(\cdot - \alpha)c(\alpha)$$

with the impulse response f

Signals	
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Downsampling operator  $\downarrow_M$  is defined as

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Signals Filter Expanding Matr Dilation matrix filter bank - 1 di Examples filter bank - 2 di Summary

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Signals Filter Expanding Mat Dilation matrix filter bank - 1 o Examples filter bank - 2 o Summary

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Signals Filter Expan Dilation matrix Examples filter b Summary

Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional

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Upsampling operator  $\uparrow_M$  is defined as

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Signals Filter Expan Dilation matrix Examples filter I Summary

Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional

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Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional

### Definition

A matrix  $M\in\mathbb{Z}^{s\times s}$  is expanding, if all eigenvalues are >1 in modulus, that means if

$$\lim_{k\to\infty} \left\| M^{-k} \right\| = 0.$$

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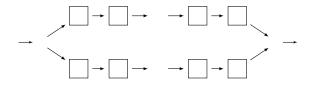
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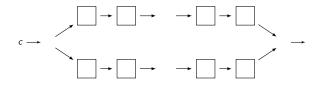
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- anisotropic matrices for edge detection

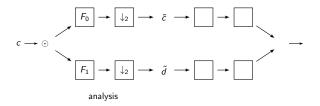
Signals Filter Dilation matrix Examples Summary	Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional
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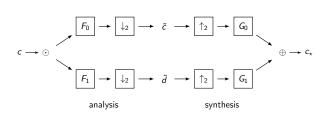


Signals Filter Dilation matrix Examples Summary	Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional
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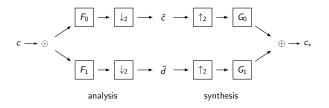
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Signals Filter Dilation matrix Examples Summary	Expanding Matrices <b>filter bank - 1 dimensional</b> filter bank - 2 dimensional
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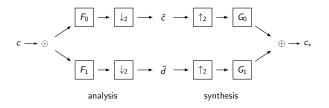
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Signals Filter Dilation matrix Examples Summary	Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional
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- example discrete wavelet transform

Expanding Matrices filter bank - 1 dimensional filter bank - 2 dimensional

• critically sampled filter bank



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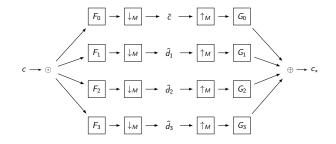
• perfect reconstruction  $\Leftrightarrow$  *GF* = *I* 

Signals Filter Expanding Matrices Dilation matrix filter bank - 1 dimensional Examples filter bank - 2 dimensional Summary
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• filter bank 2-dimensional: 
$$M = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix} = 2I$$
 with det $(M) = 4$ 

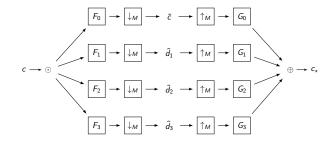


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Smith Decomposition Downsampling implementation

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 $M \in \mathbb{Z}^{s \times s}$  is called unimodular, if  $|\det(M)| = 1$ .

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 $M \in \mathbb{Z}^{s imes s}$  can be decomposed as

$$M = PDQ \tag{1}$$

where P,  $Q \in \mathbb{Z}^{s \times s}$  are unimodular matrices and D is a diagonal matrix in  $\mathbb{Z}^{s \times s}$ .

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(1) is called Smith decomposition.

Smith Decomposition Downsampling implementation

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Let  $M \in \mathbb{Z}^{s \times s}$  and  $i \in \{1, ..., s\}$ . Define  $f_i$  to be the greatest common divisor of all the determinants of  $i \times i$  minors of M. These  $f_i$  are called determinantal divisors of M. We set  $f_0 = 1$  for notational convenience.

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# Definition

For the decomposition M = PDQ we can find the elements  $d_i$  as  $d_i = \frac{f_{i+1}}{f_i}$  where  $f_i$  is defined as before. Then we call D the Smith normal form of M.

Smith Decomposition Downsampling implementation

• 
$$D_1 = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}$$
 and  $D_2 = \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix}$ 

Smith Decomposition Downsampling implementation

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• compute determinantal divisors:

$$\begin{array}{ll} f_0 = 1 & & \tilde{f_0} = 1 \\ f_1 = \gcd \left\{ 2, 3 \right\} = 1 & & \tilde{f_1} = \gcd \left\{ 1, 6 \right\} = 1 \\ f_2 = \gcd \left\{ 6 \right\} = 6 & & \tilde{f_2} = \gcd \left\{ 6 \right\} = 6 \end{array}$$

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- ullet  $\to$   $D_2$  is Smith normal form
- Smith normal form is unique

Compute  $\downarrow_M I$ :

# i) compute the Smith decomposition of M = PDQ (with matlab function)

Smith Decomposition Downsampling implementation

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- ii) perform a matrix transformation  $P^{-1}$ , that means  $\mathbb{Z}^s o P^{-1}\mathbb{Z}^s$

Smith Decomposition Downsampling implementation

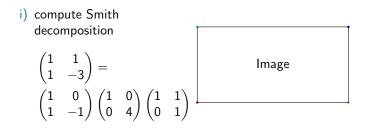
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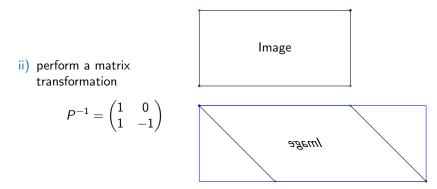
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  - b) downsample the columns with factor  $d_2$

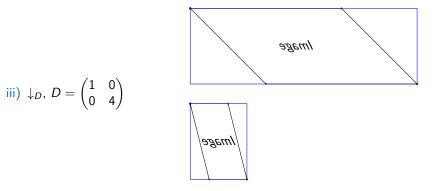
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- a) downsample the rows with factor d<sub>1</sub>
   b) downsample the columns with factor d<sub>2</sub>
- iv) perform a matrix transformation  $Q^{-1}$ , that means  $D^{-1}P^{-1}\mathbb{Z}^s \to Q^{-1}D^{-1}P^{-1}\mathbb{Z}^s$





Signals Filter <b>Dilation matrix</b> Examples Summary	Smith Decomposition Downsampling implementation
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iv) perform a matrix transformation

$$Q^{-1}=egin{pmatrix} 1 & -1 \ 0 & 1 \end{pmatrix}$$



Image

Note that P and Q are not unique:

$$M = \begin{pmatrix} 1 & 1 \\ 1 & -3 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} 5 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} 1 & -3 \\ -1 & 4 \end{pmatrix}$$

Does it make a difference for the result?

Signals Filter Dilation matrix <b>Examples</b> Summary	Quincunx Hexagonal Cotronei3 Normal form or?
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Our image

$$I = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 6 & 7 & 8 & 9 & 10 \\ 11 & 12 & 13 & 14 & 15 \end{pmatrix}$$

the number in boldface is the (0,0) element.



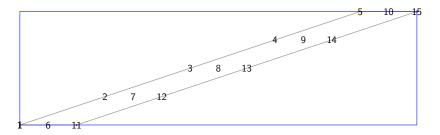
$$M_{Q} = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 3 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ -1 & 2 \end{pmatrix}, \quad \det(M_{Q}) = 2$$

$$1 - 2 - 3 - 4 - 5$$

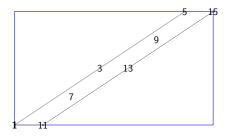
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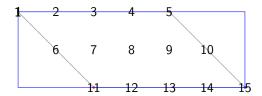
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			Signals Filter Dilation matrix Examples Summary			He	incunx xagonal tronei3 rmal forn	1 or?					
	(0	0	0	0	0	0	0	0	0	0	0	0/	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	5	0	0	0	0	0	
	0	0	0	0	0	3	9	15	0	0	0	0	
$\downarrow_{M_Q} I =$	0	0	0	0	1	7	13	0	0	0	0	0	
	0	0	0	0	0	11	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	0	0	0	
	0/	0	0	0	0	0	0	0	0	0	0	0/	
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Signals Filter Dilation matrix <b>Examples</b> Summary	Quincunx Hexagonal Cotronei3 Normal form or?
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$$M_Q = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$



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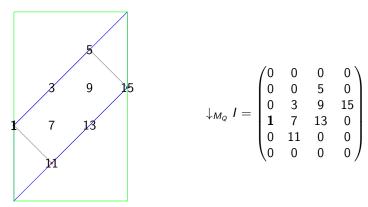
$$1 \qquad 3 \qquad 5 \qquad 7 \qquad 9 \qquad 14 \qquad 13 \qquad 15$$

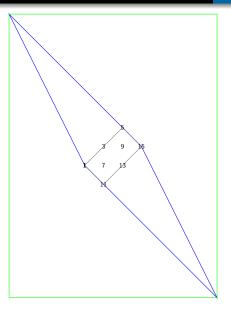
Signals Filter Dilation matrix Examples Summary Normal form or ...?

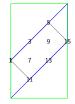
$$M_Q = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

Signals Quincumx Filter Hexagonal Dilation matrix Cotronei3 Examples Normal form or ...

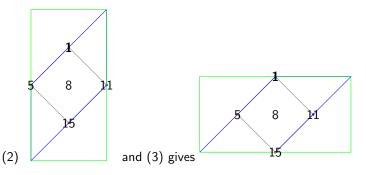
$$M_Q = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$







$$M_{H} = \begin{pmatrix} 1 & 1 \\ 2 & -2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
(2)  
$$= \begin{pmatrix} 1 & 0 \\ -2 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}$$
matlab (3)



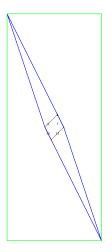
$$\begin{aligned} &M_C = \begin{pmatrix} 1 & 1 \\ 1 & -2 \end{pmatrix} = \begin{pmatrix} 4 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix} \begin{pmatrix} 1 & -2 \\ -1 & 3 \end{pmatrix} \text{ (matlab),} \\ &\det(M_C) = -3 \end{aligned}$$

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	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	1	0	0	0	0
$\downarrow_{M_{matlabC}} I =$	0	0	0	0	4	7	0	0	0	0
matiabe	0	0	0	0	10	13	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
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ncunx agonal ronei3 mal form or?

#### and we get with another decomposition

$$M_{C} = \begin{pmatrix} 1 & 1 \\ 1 & -2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
$$\downarrow_{M_{C}} I = \begin{pmatrix} 0 & 1 \\ 4 & 7 \\ 10 & 13 \\ 0 & 0 \end{pmatrix}$$





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• diagonal matrix can be decomposed into a smith normal form

$$M_D = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} = \begin{pmatrix} -2 & -1 \\ 3 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix} \begin{pmatrix} 2 & 3 \\ -1 & -1 \end{pmatrix}$$

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• diagonal matrix can be decomposed into a smith normal form

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• without Smith decomposition

$$\downarrow_{M_D} I = \begin{pmatrix} \mathbf{1} & \mathbf{4} \\ 11 & 14 \end{pmatrix}$$

Quincunx Hexagonal Cotronei3 Normal form or ...?

#### • with Smith decomposition

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With 
$$M = \begin{pmatrix} 2 & -4 \\ -1 & 5 \end{pmatrix} = \begin{pmatrix} 2 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
 we get  
$$\downarrow_{M} I = \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 4 \\ 0 & 13 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{pmatrix}$$

Signals Filter Dilation matrix: <b>E Examples</b> Summary	Quincumx Hexagonal Cotronei3 Normal form or?
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#### and we get with

with size( $\downarrow_{M_{withMat}}$  I) = 50 × 10 (24 zero rows above the (0,0)-element and 22 zero rows at the end)

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and we get with

BUT size( $\downarrow_M I$ ) = 70 × 21, this is too big for the slide

Dilation matrix Examples Cotronei3	Examples	Quincunx Hexagonal Cotronei3 Normal form or?
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$$M = \begin{pmatrix} 2 & -4 \\ -1 & 5 \end{pmatrix}$$

$$= \begin{pmatrix} 2 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 8 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix} \begin{pmatrix} 1 & -5 \\ -1 & 6 \end{pmatrix} = \begin{pmatrix} 5 & 4 \\ -4 & -3 \end{pmatrix} \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} \begin{pmatrix} -1 & -4 \\ 1 & 3 \end{pmatrix}$$

Summary:

• can use Smith decomposition

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Thank you.