

Bernried, Germany, February 25- March 3, 2017  
*2<sup>nd</sup> IM-Workshop on "Applied Approximation, Signals and Images"*

# ***A class of nonstationary biorthogonal wavelet filters***

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# Outline

- ✧ **Construction** of a class of biorthogonal wavelet filters associated to a Nonstationary (NS) Multiresolution Analysis (MRA)
  - ➔ scale-dependent filters
- ✧ **Test** of nonstationary wavelet filters in image processing applications
- ✧ **Guidelines** for future research

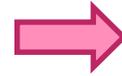
# Nice wavelet properties

❖ Compact support



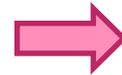
local information

❖ Vanishing moments



detection of singularities

❖ MRA  
(filter bank)



fast implementation



## *New nonstationary wavelet families*

change of support length and/or number of vanishing moments  
at any scale level while preserving MRA

# Nonstationary refinement masks

The mask coefficients  $\{a_\alpha^{(n,j)}, \alpha \in Z\}$  depend on the scale level  $j$  through the real parameter  $\mu$

$$\begin{cases} a_\alpha^{(n,0)} = \frac{1}{2^{n-2}} \binom{n-1}{\alpha-1}, & j = 0 \\ a_\alpha^{(n,j)} = \frac{1}{2^{n+\frac{1}{j^\mu}}} \left[ \binom{n+1}{\alpha} + 4(2^{\frac{1}{j^\mu}} - 1) \binom{n-1}{\alpha-1} \right], & j \geq 1 \end{cases}$$

For fixed  $\mu$  and increasing  $j$  (scale level), the filter taps change:

they are concentrated in a small support for small  $j$ ,  
while they converge to the limit mask  $\{\lim_{j \rightarrow \infty} a_\alpha^{(n,j)}\}$  for large  $j$

but

the number of vanishing moments is fixed except for the limit mask

# Nonstationary refinement masks

Example:  $n = 3$

$$a^{(3,0)} = \frac{1}{2} \{0, 1, 2, 1, 0\} \quad (\text{degree 1 B-spline mask})$$

$$a^{(3,j)} = \frac{1}{2^3} \left\{ \frac{1}{2^{\frac{1}{j^\mu}}, 4, 8} - \frac{2}{2^{\frac{1}{j^\mu}}, 4, \frac{1}{2^{\frac{1}{j^\mu}}} \right\}$$

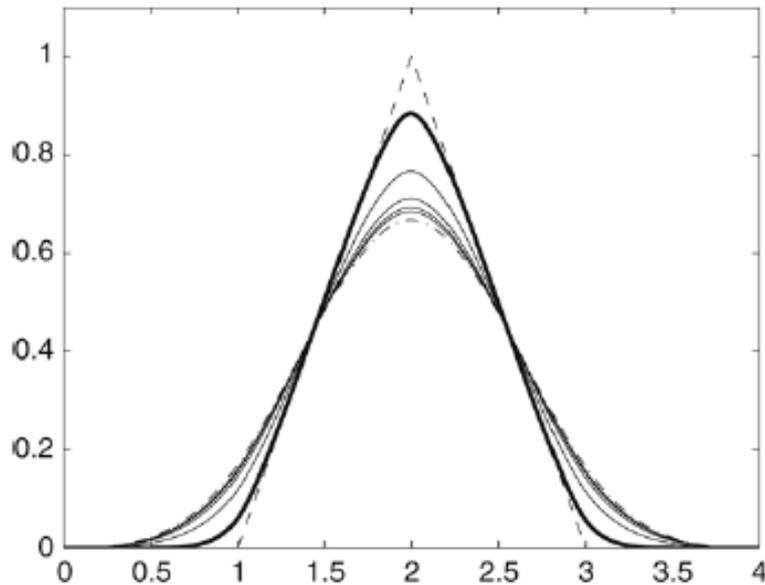
$\downarrow$   $j \rightarrow +\infty$

$$a^{(3,\infty)} = \frac{1}{2^3} \{1, 4, 6, 4, 1\} \quad (\text{degree 3 B-spline mask})$$

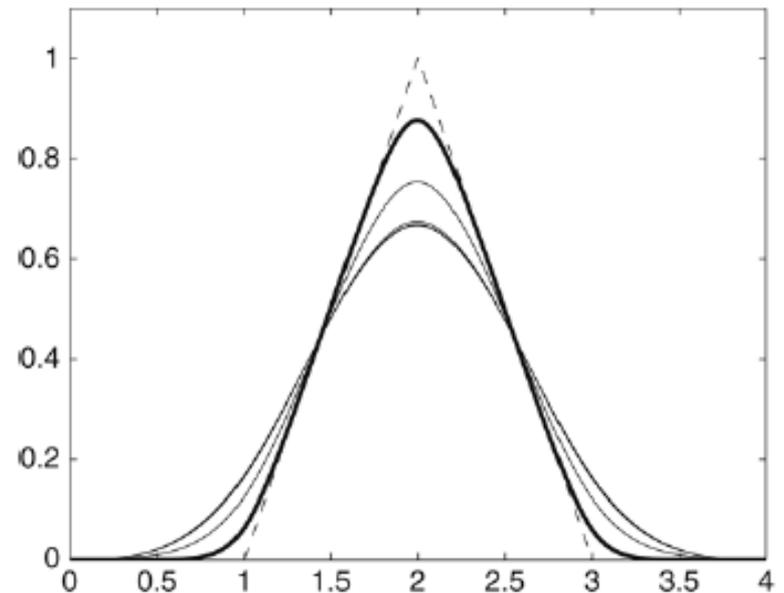
# Nonstationary refinable functions

The higher  $\mu$  the faster the convergence to the smoother B-spline

$\mu = 1.5$



$\mu = 4$



# Nonstationary refinement symbols

- The **symbol** of any mask is defined as the Laurent polynomial

$$a^{(n,j)}(z) = \sum_{\alpha \in \mathbf{Z}} a_{\alpha}^{(n,j)} z^{\alpha}$$



$$a^{(n,0)}(z) = \frac{1}{2^{n-2}} (1+z)^{n-1} z$$

$$a^{(n,j)}(z) = \frac{1}{2^{n+\frac{1}{j^{\mu}}}} (1+z)^{n-1} \left[ z^2 + (2^{\frac{1}{j^{\mu}}+2} - 2)z + 1 \right] \quad j \geq 1$$

# Biorthogonal nonstationary filters

$$f = \sum_k c_k^{(m)} \varphi(2^m \cdot -k)$$

$$f = Q_{m-1}f + Q_{m-2}f + \dots + Q_{m-L}f + P_{m-L}f$$

where:

$$P_j f = \sum_k c_k^{(j)} \varphi_k^{(j)}, \quad Q_j f = \sum_k d_k^{(j)} \psi_k^{(j)}$$

with  $c_k^{(j)} = \langle f, \tilde{\varphi}_k^{(j)} \rangle, \quad d_k^{(j)} = \langle f, \tilde{\psi}_k^{(j)} \rangle$

since  $\tilde{\varphi}_h^{(j)} = \sum_k \tilde{a}_{k-2h}^{(j)} \tilde{\varphi}_k^{(j+1)}, \quad \tilde{\psi}_h^{(j)} = \sum_k \tilde{b}_{k-2h}^{(j)} \tilde{\psi}_k^{(j+1)}$



**Non stationary**

**decomposition scheme**

**reconstruction scheme**

$$c_{jh}^{(j)} = \sum_k \tilde{a}_{k-2h}^{(j)} c_k^{(j+1)}$$

$$d_{jh}^{(j)} = \sum_k \tilde{b}_{k-2h}^{(j)} c_k^{(j+1)}$$

$$c_k^{(j+1)} = \sum_h (a_{k-2h}^{(j)} c_{jh}^{(j)} + b_{k-2h}^{(j)} d_{jh}^{(j)})$$

# Biorthogonal nonstationary filters

Set  $N = n-1$  and  $\beta = 2^{j-\mu}$

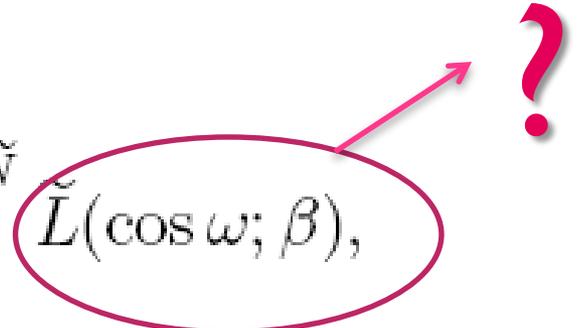
Express the symbol in terms of trigonometric polynomials

$$a_N(\omega; \beta) = e^{-i\varepsilon\omega/2} \cos\left(\frac{\omega}{2}\right)^N L(\cos \omega; \beta)$$

where  $\varepsilon = 0$  for  $N$  even,  $\varepsilon = 1$  for  $N$  odd and

$$L(\cos \omega; \beta) = \frac{\cos \omega + 2\beta - 1}{\beta}$$

Express the dual in the same form

$$\tilde{a}_N^{\tilde{N}}(\omega; \beta) = e^{-i\varepsilon\omega/2} \cos\left(\frac{\omega}{2}\right)^{\tilde{N}} \tilde{L}(\cos \omega; \beta),$$


# Biorthogonal nonstationary filters

From the biorthogonality condition in terms of symbols

$$a(z; \beta) \tilde{a}(z^{-1}; \beta) + a(-z; \beta) \tilde{a}(-z^{-1}; \beta) = 4, \quad z \in \mathbb{C} \setminus \{0\}$$

$\tilde{L}(\cos \omega; \beta)$  satisfies the Bézout identity

$$(1 - y)^q \frac{\beta - y}{2\beta} \tilde{L}(y; \beta) + y^q \frac{\beta - 1 + y}{2\beta} \tilde{L}(1 - y; \beta) = 1$$

where  $y = \sin^2(\omega/2)$  and  $q = \frac{N + \tilde{N}}{2}$

# Biorthogonal nonstationary filters

1. Rewrite the Bézout identity

$$\tilde{L}(y; \beta) = (1 - y)^{-q} \frac{2\beta}{\beta - y} - (1 - y)^{-q} \frac{2\beta}{\beta - y} y^q \frac{\beta - 1 + y}{2\beta} \tilde{L}(1 - y; \beta)$$

2. Consider the Taylor expansion of the right hand side with respect to  $y$  to compute the first  $q$  terms of

$$\tilde{L}(y; \beta) = \sum_{k=0}^q \tilde{l}_k y^k,$$

where  $\tilde{l}_k = \tilde{l}_k(\beta)$

They are the first  $q$  terms of

$$(1 - y)^{-q} \frac{2\beta}{\beta - y} = 2 \sum_{k=0}^{q-1} \left( \sum_{h=0}^k \binom{q+h-1}{h} \left(\frac{1}{\beta}\right)^{k-h} \right) y^k + y^q R(y)$$

# Biorthogonal nonstationary filters

hence

$$\tilde{l}_k = \frac{2}{\beta^k} \sum_{h=0}^k \binom{q+h-1}{h} \beta^h, \quad k = 0, \dots, q-1$$

3. Compute the  $(q+1)$ -th term: the term  $y^q$  of the Taylor expansion

$$\tilde{l}_q = \frac{2}{\beta^q} \sum_{h=0}^q \binom{q+h-1}{h} \beta^h - \frac{\beta-1}{\beta} \sum_{k=0}^q \tilde{l}_k$$

that can be rewritten as

$$\tilde{l}_q = 2 \binom{2q-1}{q} + \frac{1}{\beta} \tilde{l}_{q-1} - \frac{\beta-1}{\beta} \sum_{k=0}^q \tilde{l}_k$$

# Biorthogonal nonstationary filters

that is

$$\tilde{l}_q = \frac{2}{2\beta - 1} \tilde{l}_{q-1}$$

and then the dual symbol is

$$\tilde{a}(z; \beta) = \frac{1}{z^{(N-\varepsilon)/2}} \left( \frac{1+z}{2} \right)^{\tilde{N}} \sum_{k=0}^q \frac{\tilde{l}_k}{2^{2k}} \left( 2 - \frac{1}{z} - z \right)^k$$

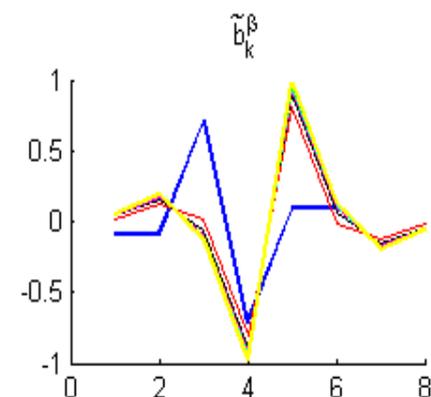
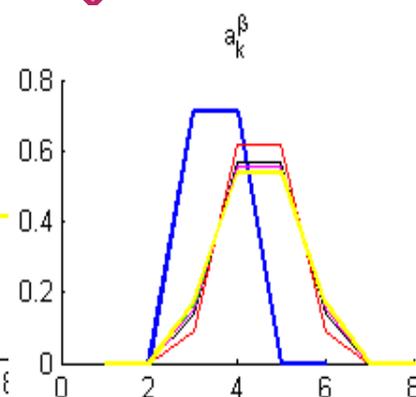
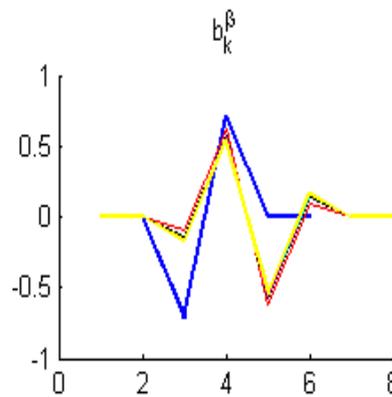
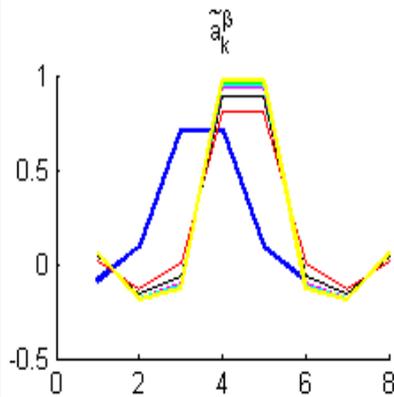
# Biorthogonal nonstationary filters

For example, for  $N=1$

$k$	$\tilde{N} = 1$	$\tilde{N} = 3$	$\tilde{N} = 5$
1, 0	$-1 + 4\beta$	$-18\beta + 64\beta^2 - 1$	$-22\beta + 1024\beta^3 - 300\beta^2 - 2$
2, -1	$-1$	$-1 - 14\beta + 8\beta^2$	$176\beta^3 - 212\beta^2 - 14\beta - 2$
3, -2		$-(1 + 2\beta)(-1 + 4\beta)$	$-176\beta^3 - 38\beta^2 + 17\beta + 3$
4, -3		$1 + 2\beta$	$-24\beta^3 + 38\beta^2 + 21\beta + 3$
5, -4			$(-1 + 4\beta)(6\beta^2 + 3\beta + 1)$
6, -5			$-6\beta^2 - 3\beta - 1$
Denomin.	$2(2\beta - 1)$	$2^5\beta(2\beta - 1)$	$2^9\beta^2(2\beta - 1)$

$\{\tilde{a}_k^\beta\}$

$j=0, \dots, 6$     $\mu=1.5$

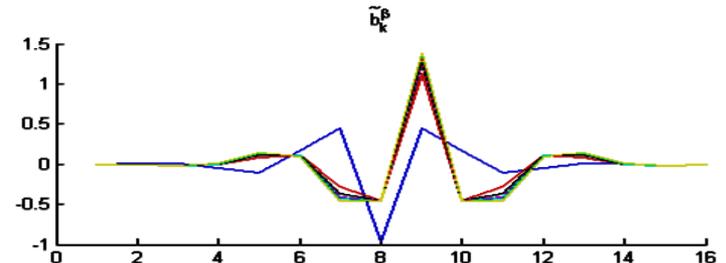
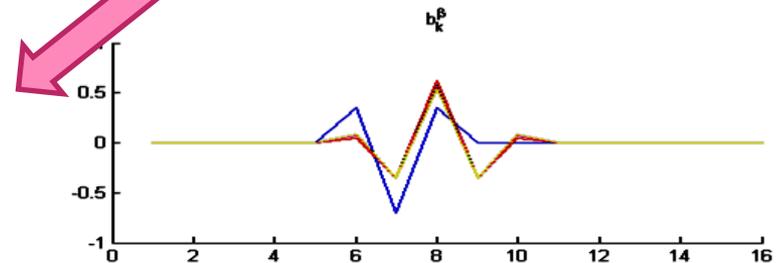
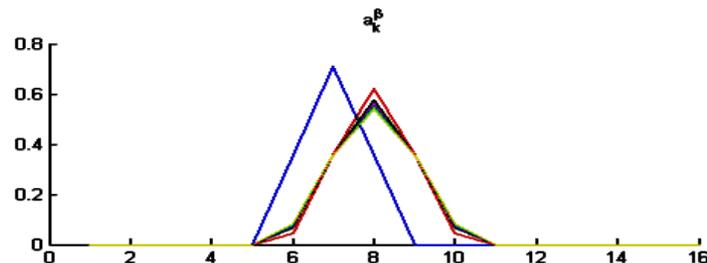
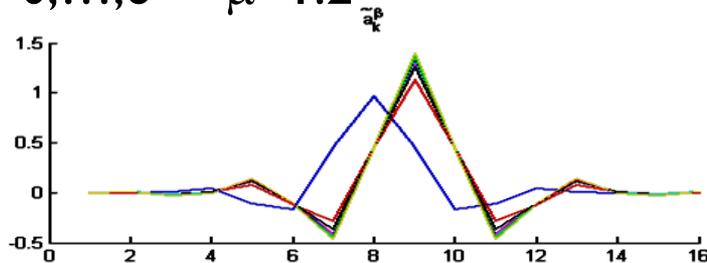


# Biorthogonal nonstationary filters

For example, for  $N=2$

$k$	$\tilde{N} = 2$	$\tilde{N} = 4$	$\tilde{N} = 6$
0	$8(6\beta - 1)\beta$	$8(90\beta^2 - 19\beta - 1)\beta$	$16\beta(-1 + 700\beta^3 - 162\beta^2 - 12\beta)$
1, -1	$16\beta^2 - 10\beta - 1$	$-14\beta - 2 - 148\beta^2 + 304\beta^3$	$-5 - 2308\beta^3 - 210\beta^2 + 5184\beta^4 - 36\beta$
2, -2	$-4(2\beta + 1)\beta$	$-64\beta^2(2\beta + 1)$	$-4(492\beta^3 + 246\beta^2 - 4\beta - 1)\beta$
3, -3	$2\beta + 1$	$26\beta^2 - 48\beta^3 + 17\beta + 3$	$9 + 60\beta - 1248\beta^4 + 356\beta^3 + 266\beta^2$
4, -4		$4(6\beta^2 + 3\beta + 1)\beta$	$8(68\beta^3 + 34\beta^2 + 12\beta + 1)\beta$
5, -5		$-1 - 6\beta^2 - 3\beta$	$160\beta^4 - 116\beta^3 - 66\beta^2 - 28\beta - 5$
6, -6			$-4\beta(10\beta^2 + 4\beta + 1 + 20\beta^3)$
7, -7			$10\beta^2 + 4\beta + 1 + 20\beta^3$
Denomin.	$2^4\beta(2\beta - 1)$	$2^8\beta^2(2\beta - 1)$	$2^{12}\beta^3(2\beta - 1)$

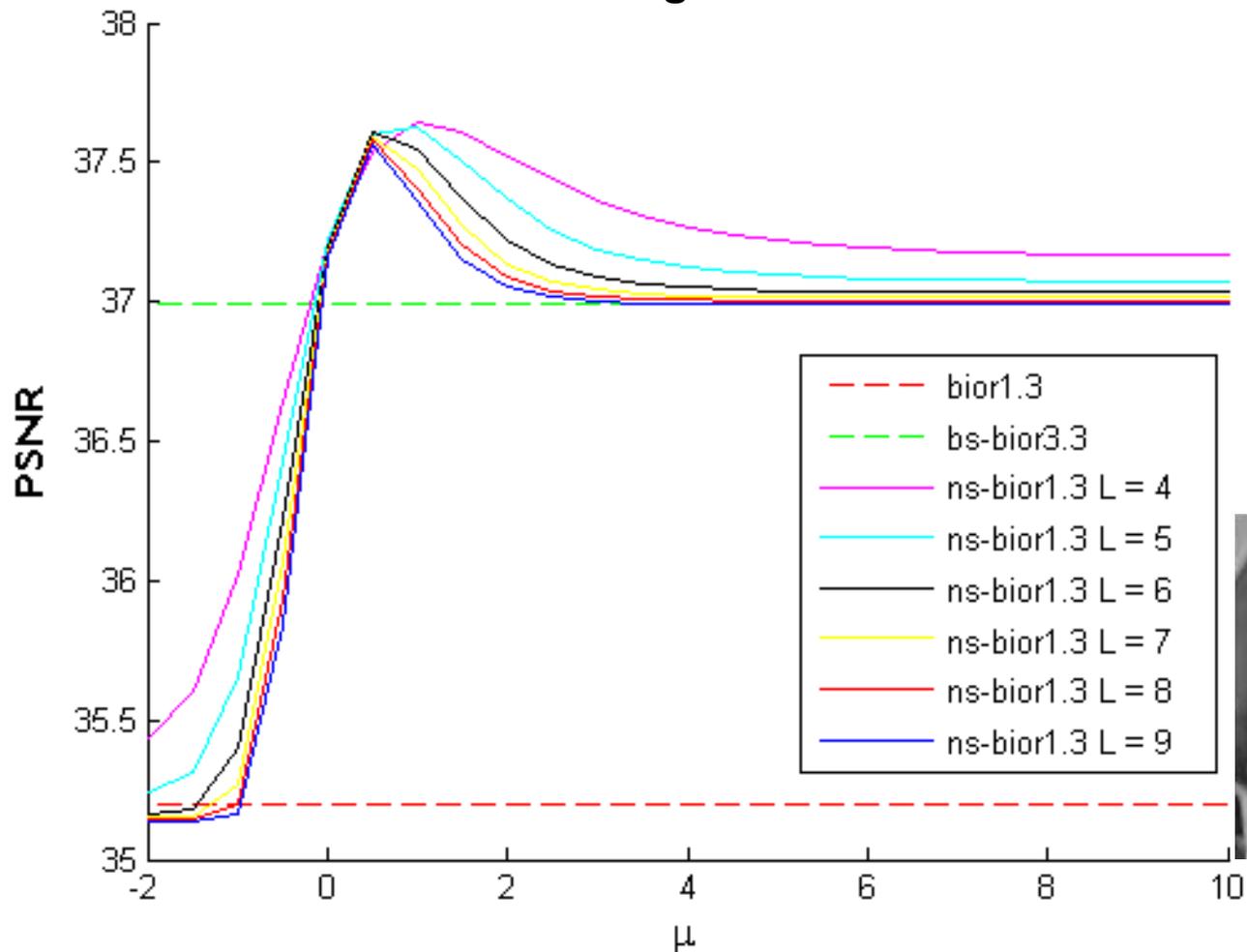
$j=0, \dots, 6$     $\mu=1.2$



# Some results

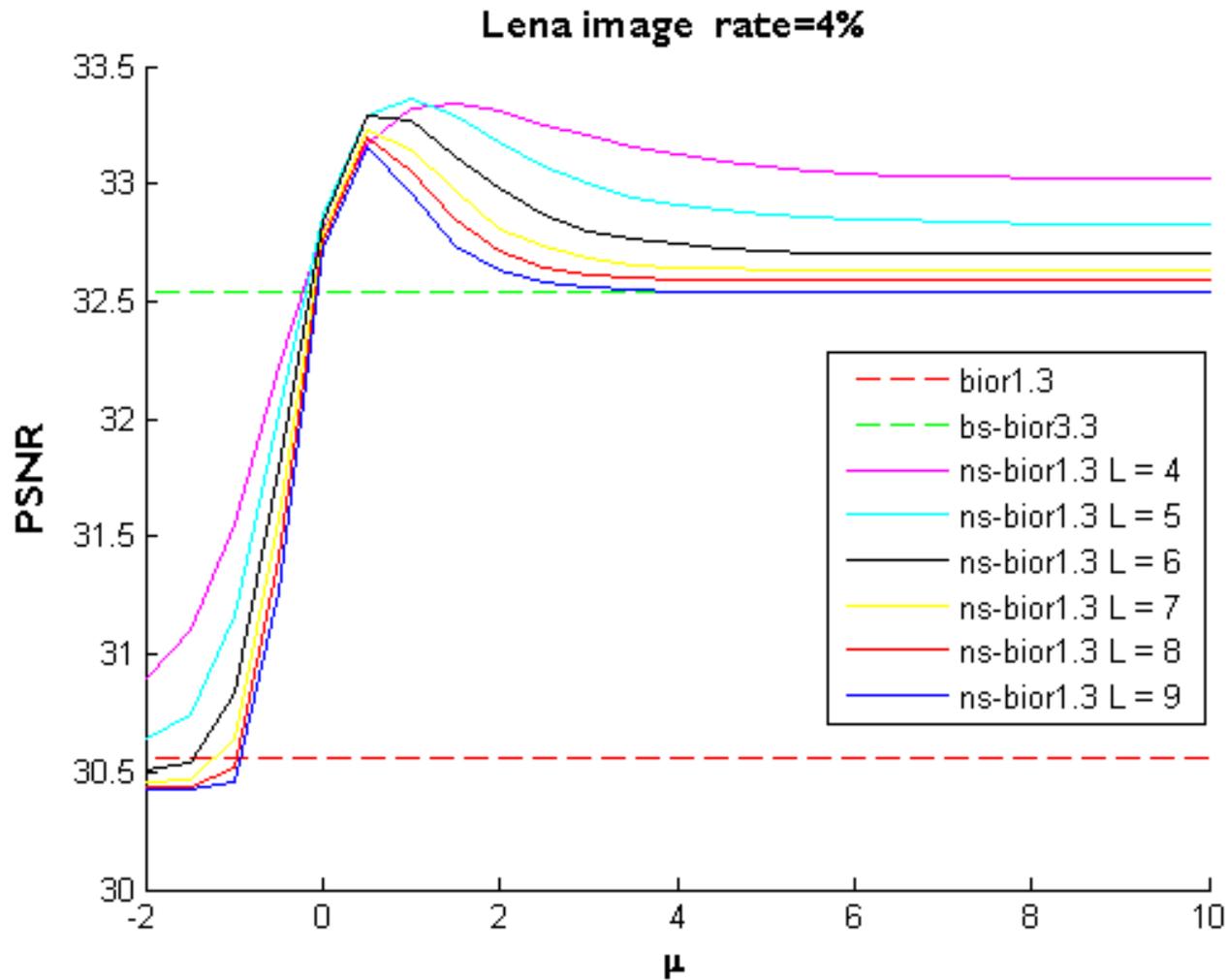
Compaction Properties: **better PSNR at the same rate** (PSNR =  $10 \log_{10} \frac{255^2}{\sqrt{MSE}}$ )

Lena image rate = 10%



# Some results

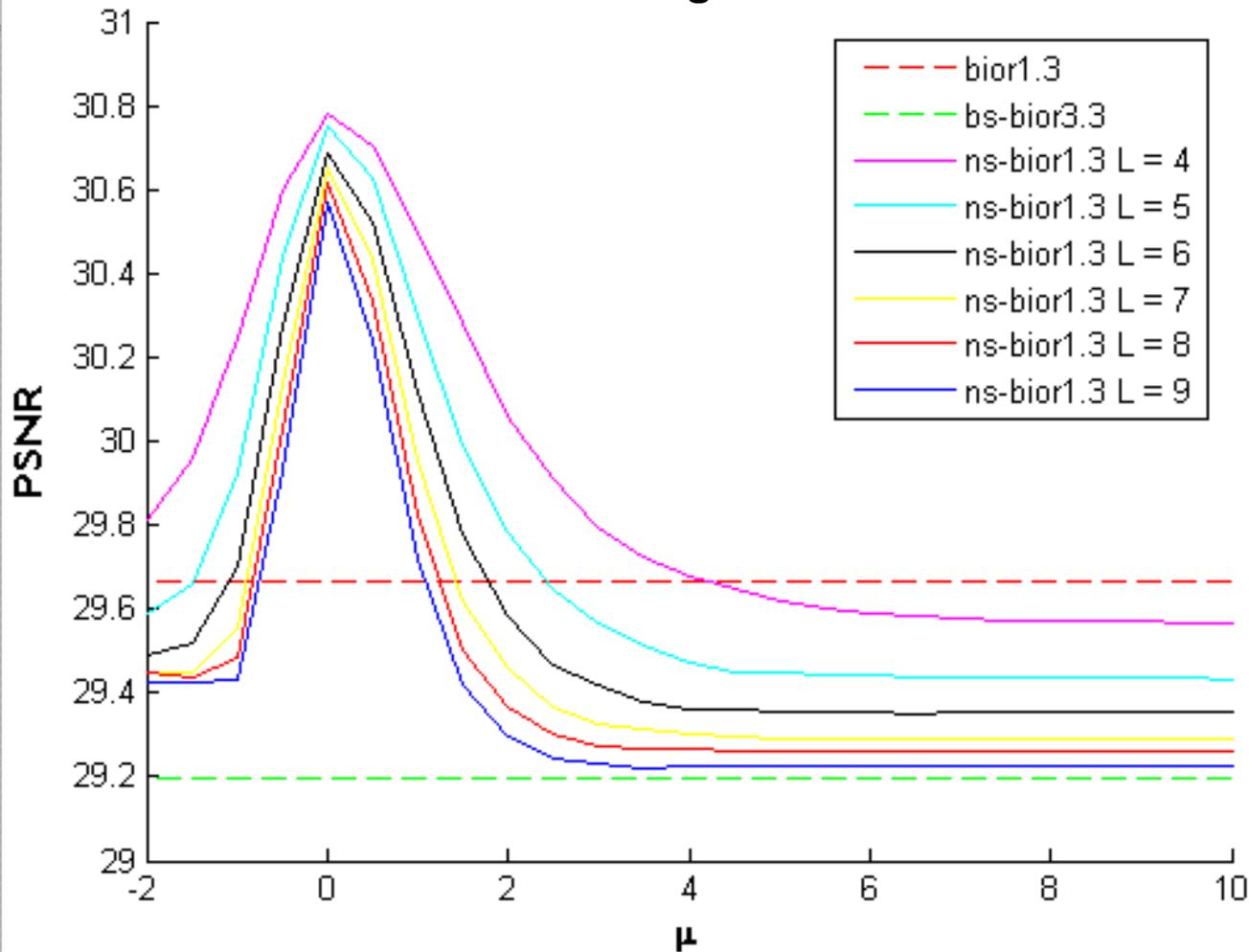
Compaction Properties: **better PSNR** at the same rate



# Some results

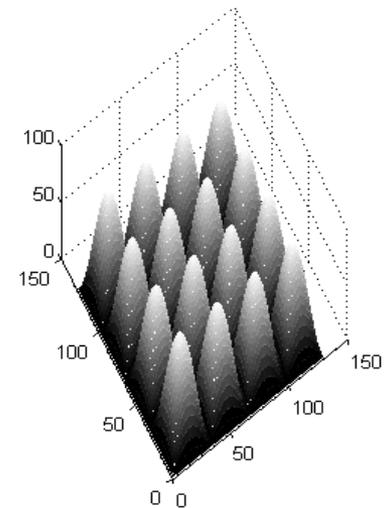
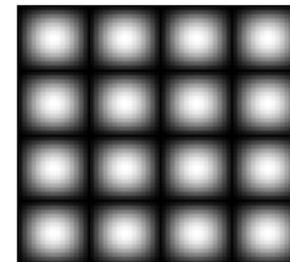
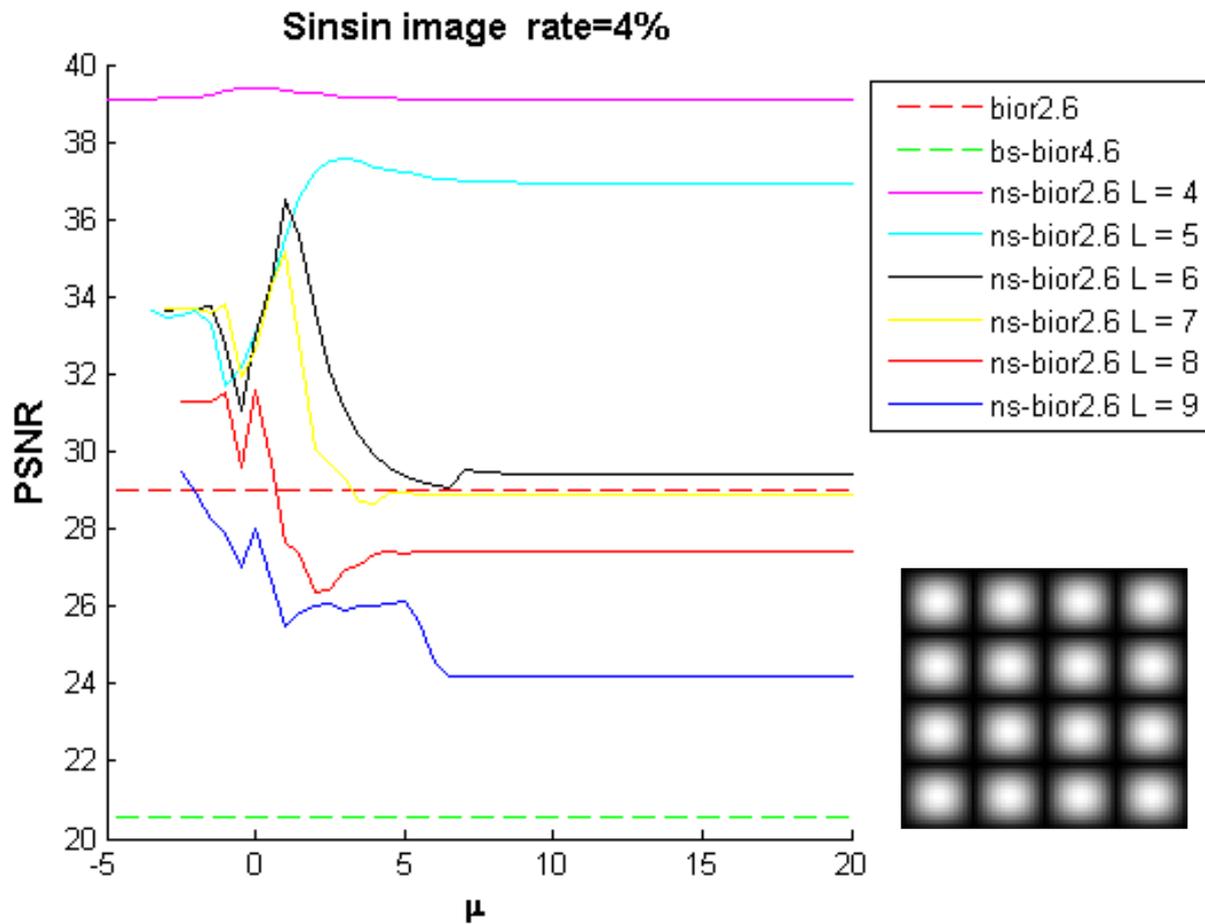
○ Compaction Properties: **better PSNR at the same rate**

**Barbara image rate = 10%**



# Some results

Compaction Properties: **better PSNR at the same rate**



# Some applications: image compression

Comparison with SPIHT : **comparable PSNR**

PSNR = 30.29 db

rate = 0.50 bpp

PSNR = 30.50 db

ns-bior1.3

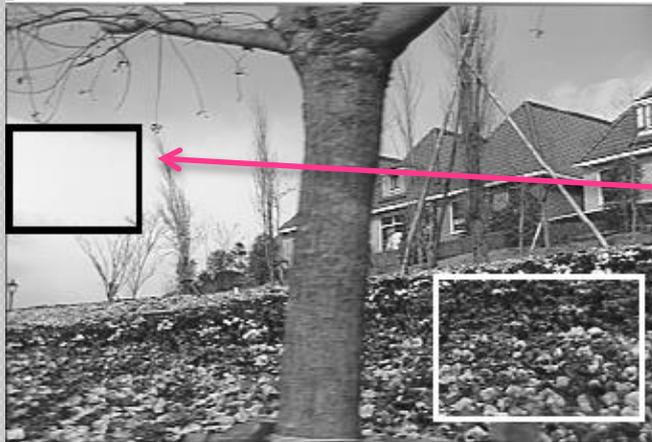
SPIHT



# Some applications: image compression

The **S**tructural **S**IMilarity index

$$SSIM(I, J) = \underbrace{\frac{2\mu_I\mu_J + C_1}{\mu_I^2 + \mu_J^2 + C_1}}_{\text{luminance adaptation}} \underbrace{\frac{2\sigma_I\sigma_J + C_2}{\sigma_I^2 + \sigma_J^2 + C_2}}_{\text{contrast masking}} \underbrace{\frac{\sigma_{IJ} + C_3}{\sigma_I\sigma_J + C_3}}_{\text{spatial correlation}},$$

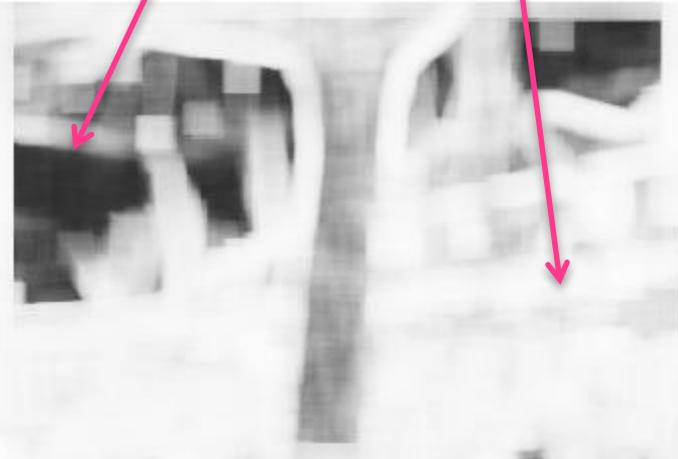


well correlated with Human Perception

same PSNR

SSIM = 0.3941

SSIM = 0.9570



# Some applications: image compression

Comparison with SPIHT : **comparable PSNR and better visual quality**

PSNR = 30.29 db

SSIM = **0.8808**

ns-bior1.3

rate = 0.50 bpp

PSNR = **30.50** db

SSIM = 0.8689

**SPIHT**



# Some applications: image compression

Comparison with SPIHT : **comparable PSNR and better visual quality**

PSNR = 27.53 db

SSIM = **0.8148**

ns-bior1.5

rate = 0.30 bpp

L=6

PSNR = **27.71** db

SSIM = 0.8044

**SPIHT**



# Some applications: image compression

Comparison with SPIHT : **smaller PSNR and comparable visual quality**

PSNR = 31.59 db

SSIM = 0.8690

rate = 0.20 bpp

PSNR = 32.25 db

SSIM = 0.8693

SPIHT

ns-bior1.5



# Some applications: image compression

Comparison with SPIHT : **smaller PSNR and comparable visual quality**

PSNR = 31.49 db

SSIM = 0.8689

rate = 0.20 bpp

PSNR = 32.25 db

SSIM = 0.8693

ns-bior2.4

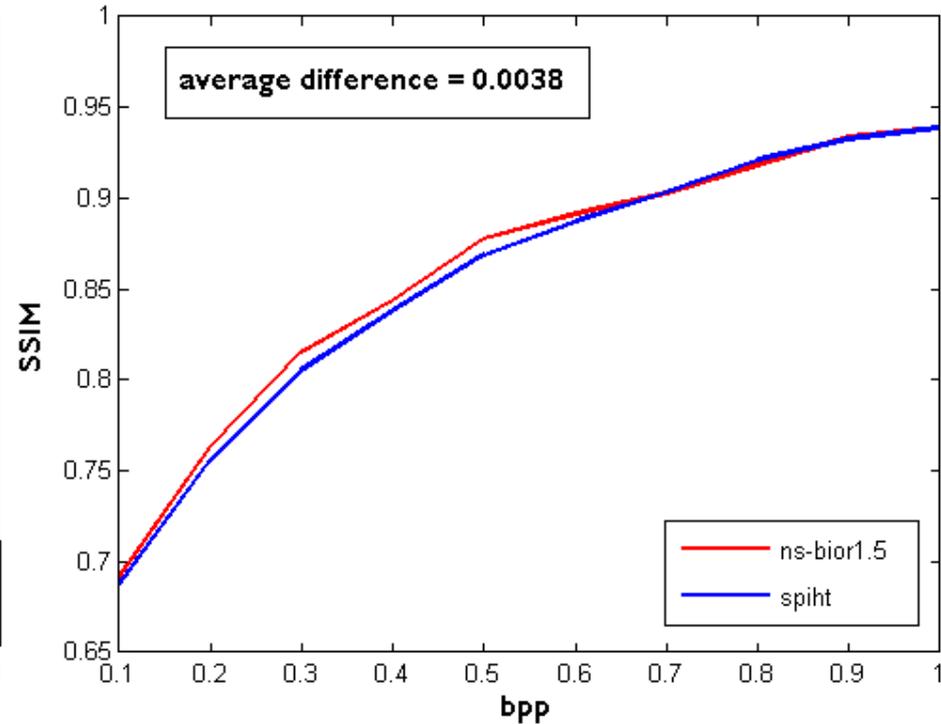
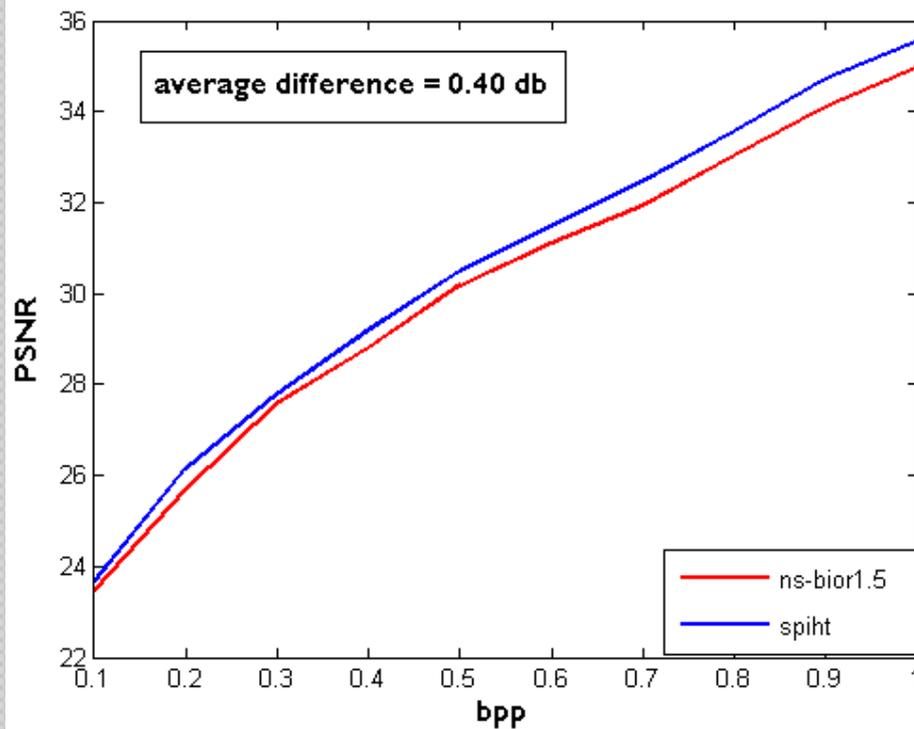
SPIHT



# Some applications: image compression

Comparison with SPIHT : **comparable PSNR and better visual quality**

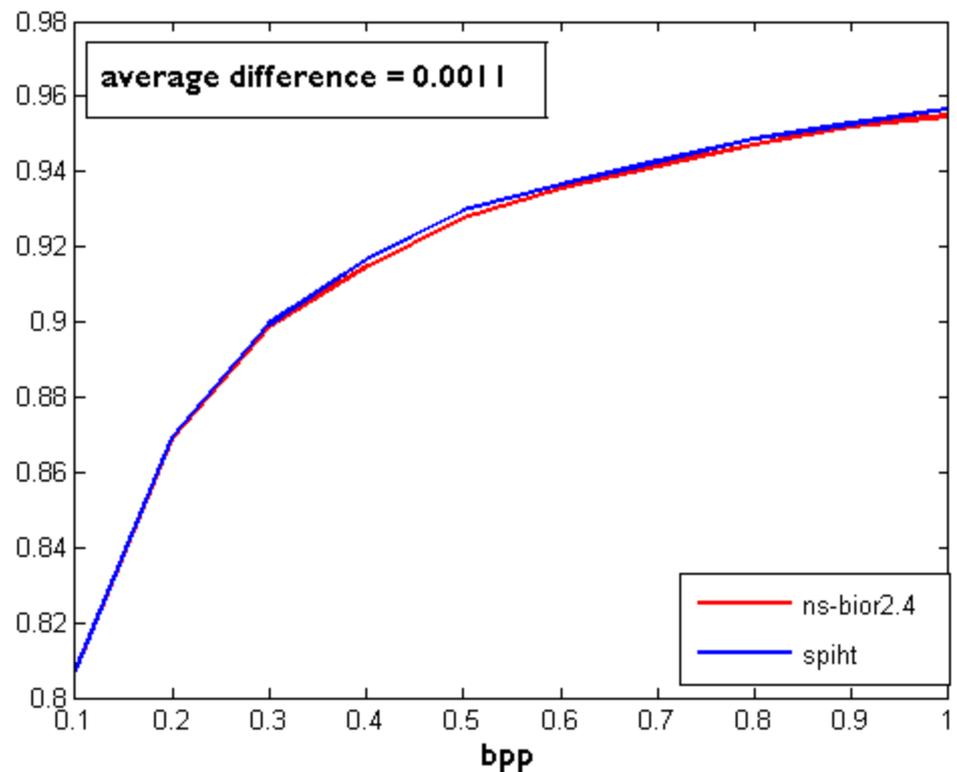
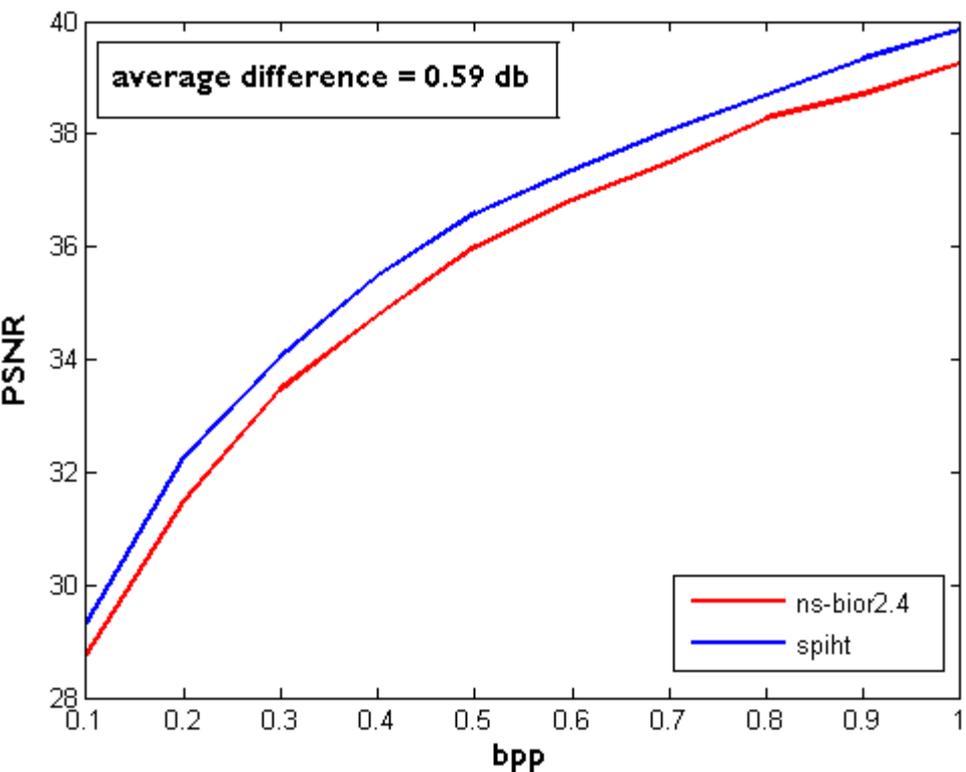
Cameraman image



# Some applications: image compression

Comparison with SPIHT : **smaller PSNR and comparable visual quality**

Lena image



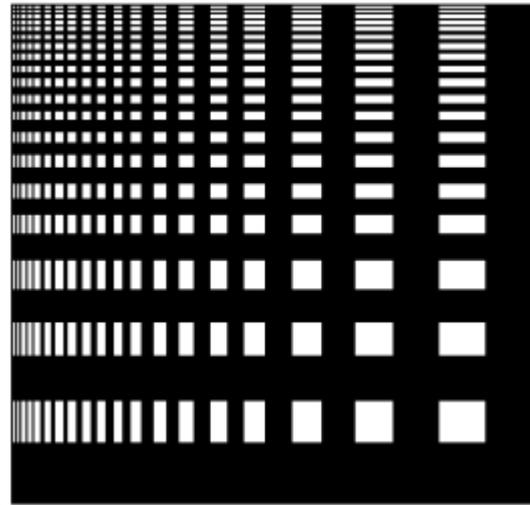
# Some applications: edge detection

**Ns-filters:** better performance than the B-spline filters they converge to

$L=3$

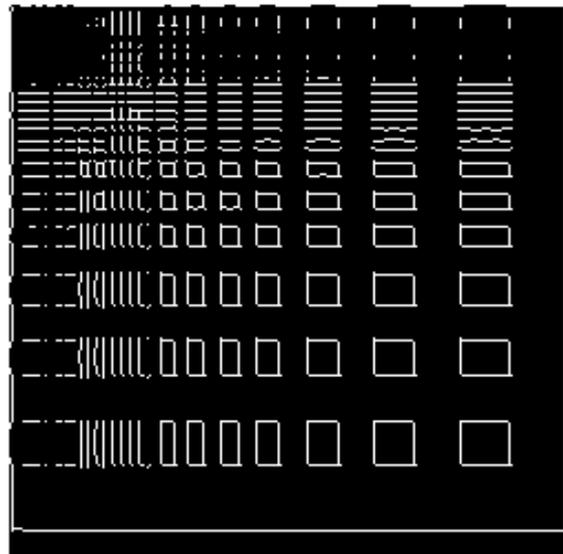
$\mu=1.6$

$N_{\text{coeff}} = 5658$  (8.5%)

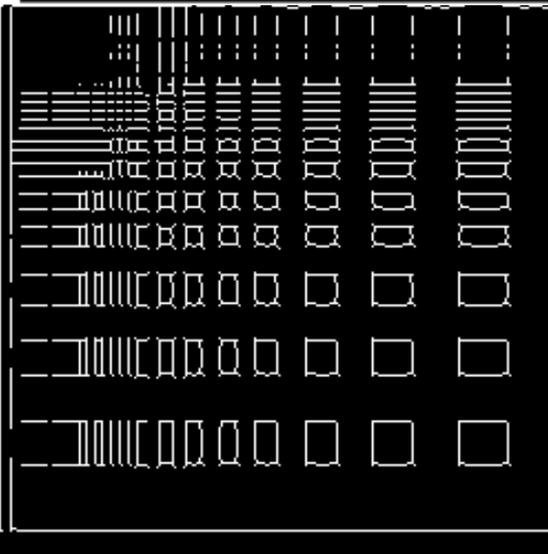


good localization

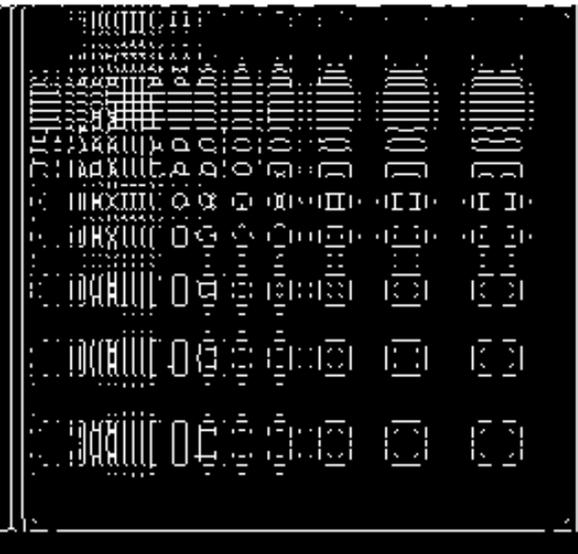
corner reconstruction



ns-bior1.3



bior1.3



bior3.3

# Some applications: edge detection

**Ns-filters:** better performance than the b-spline filters they converge to

$L=3$

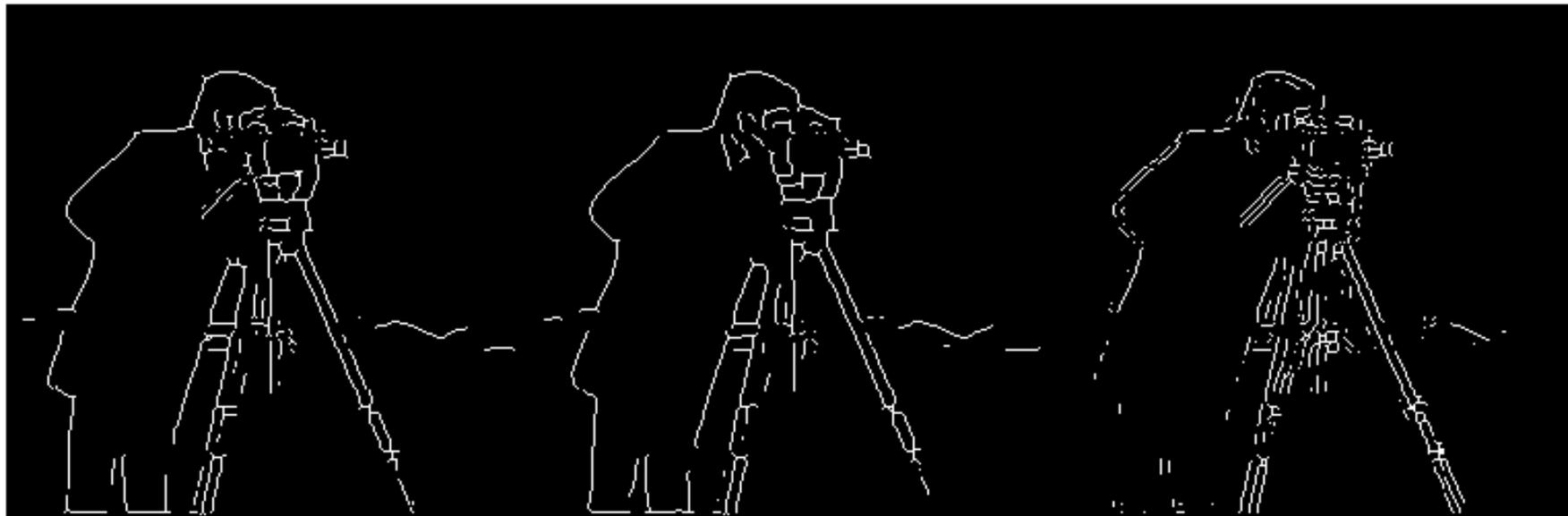
$\mu=1.6$

$N_{\text{coeff}} = 1632$  (2.5%)



lack of spurious edges

corners without interruptions



ns-bior1.3

bior1.3

bior3.3

# Conclusions

1. **NS multiresolution analysis** is a general framework for generating new families of wavelets
2. **NS wavelets** provide more flexibility (support, number of vanishing moments, easy and fast implementation)
3. **NS filters** are useful in image processing problems:
  - improvement of compaction properties w.r.t. the stationary limit case
  - better visual quality

## **Future research**

- Optimal  $\mu$  / Adaptive  $\mu$
- Interscale relationship in novel algorithms for image processing (compression, denoising, feature extraction, etc.)

# SMART 2017

17<sup>th</sup> – 21<sup>th</sup> September, 2017 Gaeta, Italy

2<sup>nd</sup> International Conference on **S**ubdivision; Geometric and Algebraic **M**ethods,  
**I**sogeometric **A**nalysis and **R**efinability in **I**Taly

[www.sbai.uniroma1.it/smart2017](http://www.sbai.uniroma1.it/smart2017)

## Topics

Topics include Algebraic and Differential Geometry, Computer Aided Design, Curve and Surface Design, Finite Elements, NURBS and Isogeometric Analysis, Refinability, Approximation Theory, Subdivision, Wavelets and Multiresolution Methods....

## Organizing Committee

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Lucia Romani (Univ. Milano-Bicocca)  
Maria Lucia Sampoli (Univ. Siena)  
Alessandra Sestini (Univ. Firenze)

## Venue

### Venue: Hotel Serapo

Located on the slopes of the Natural Park of Monte Orlando in the most beautiful and panoramic corner overlooking Serapo Beach, very close to the city center and the old town of Gaeta.

