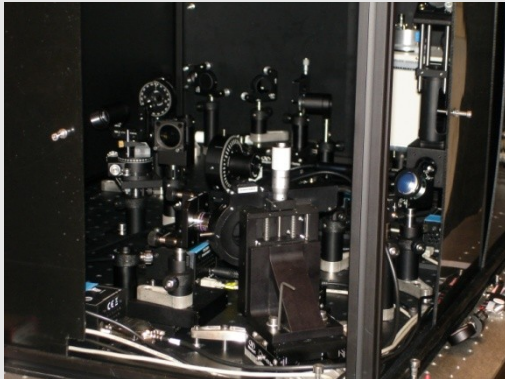


# Fourier plane filtering, Riesz transform, and singularities in optics

Bettina Heise

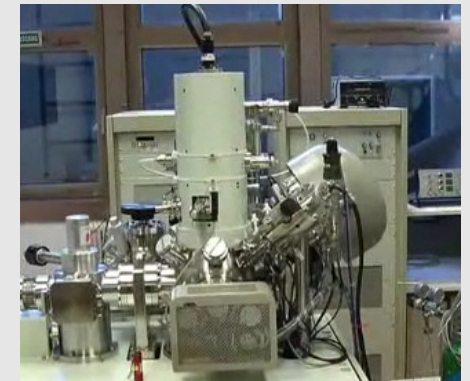
Johannes Kepler University Linz  
CDL MS-MACH,  
Austria

- Physicist at CDL MS-MACH (Physics and Mathematics Department)
- CDL MS-MACH: Christian Doppler Laboratory for microscopic and spectroscopic material characterization
- Optical imaging (microscopy and interferometry) & signal- and image processing



Probing of:

- Metals, Coated metals,
- Polymers



Material research:

Optical characterization

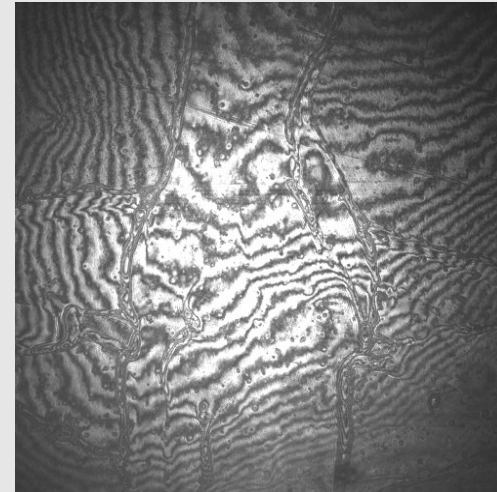
Material research:

Spectroscopic characterization



- Fourier plane filter, Riesz transform, Singularities,..
- Optics and Optical realizations (in microscopy and interferometry)
- Image processing

## Image Processing



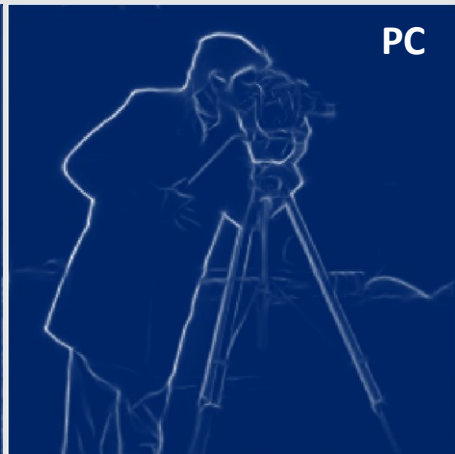


## Image Processing



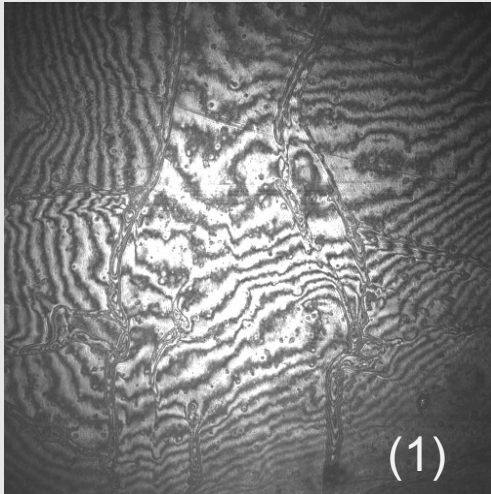
### Edges or corners:

- Gradient operations (GR)
- Phase congruency (→Kovesi,...) (PC)
- Wavelet or shearlet based detection (→Labate, →Kutyniok, → Steidl,...) (WM)
- Riesz kernel based (→ Felsberg, → Unser,...) (RLK)



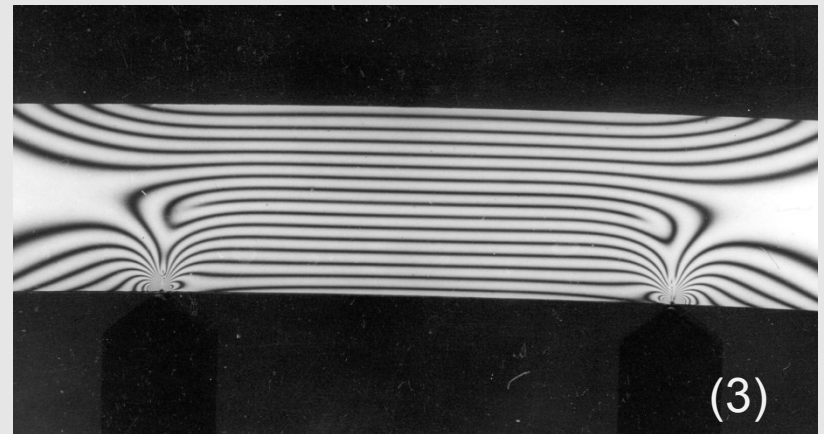
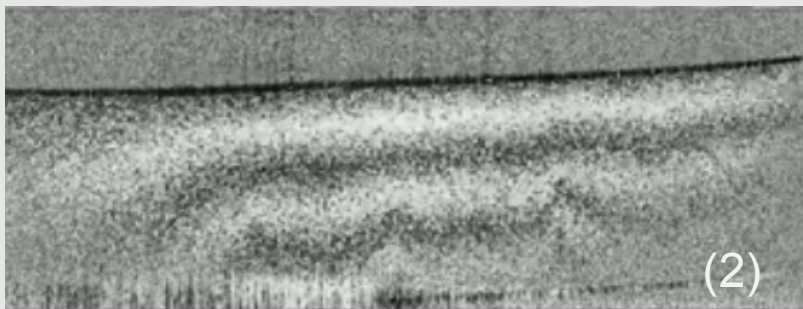


## Image Processing



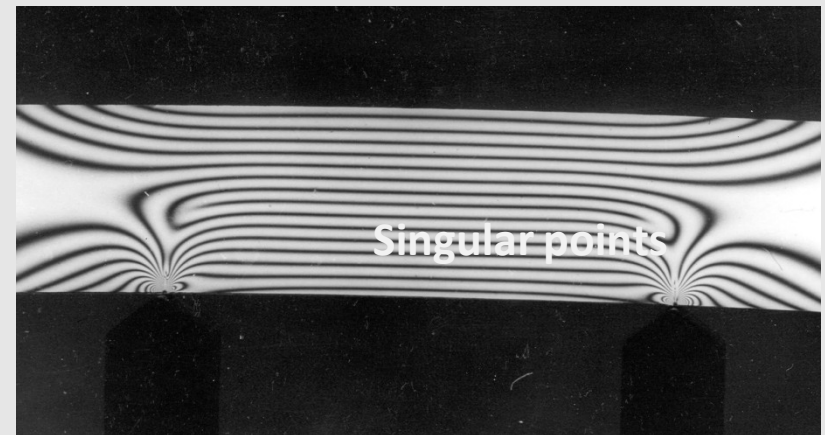
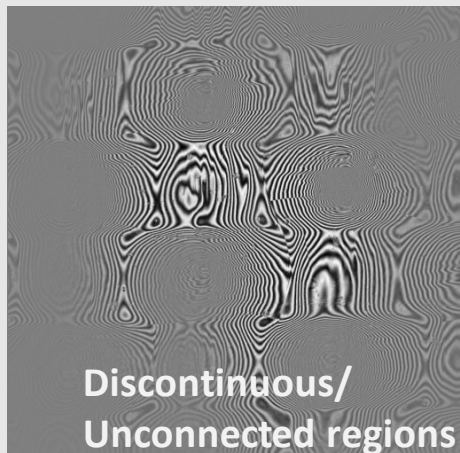
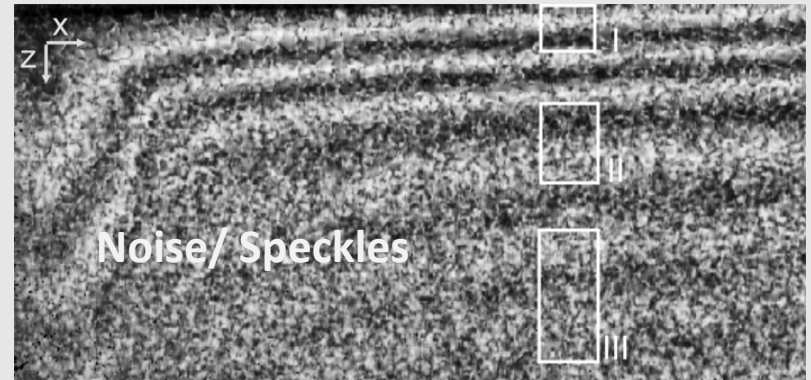
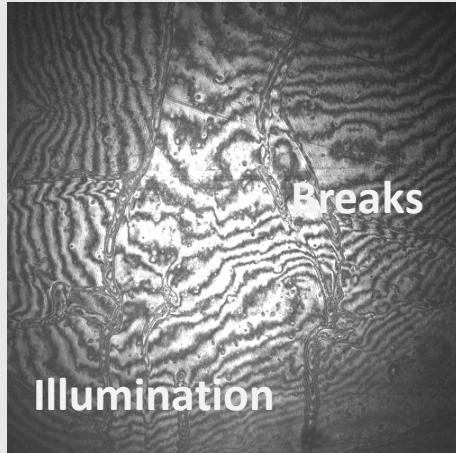
### Fringe Pattern

- Interferometry (1),
- Polarization Sensitive -OCM imaging (2),
- Photoelasticity (3),
- ...Tree years ring
- Amplitude or frequency modulation, orientation



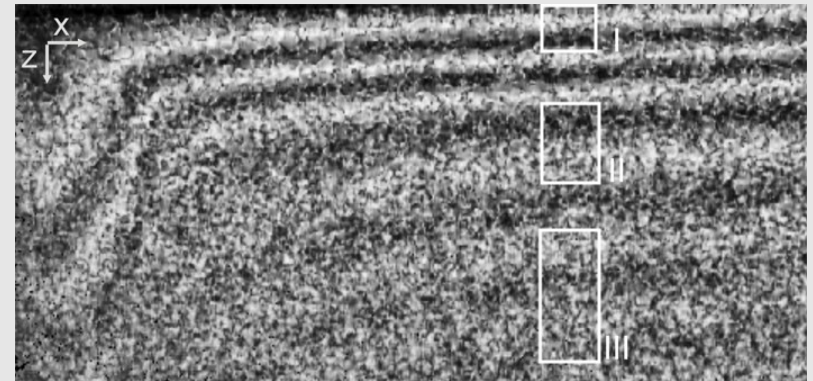


## Image Processing

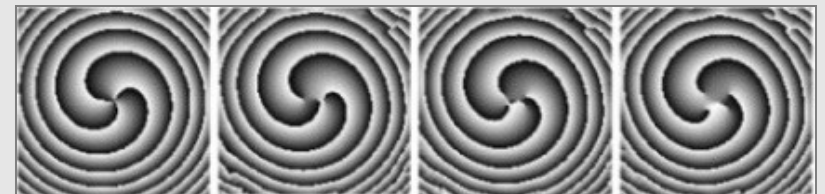


## Imaging

- Birefringence
- Scattering
- Degree of polarization uniformity



- Helicity / Topological charge
- Vortex orientation







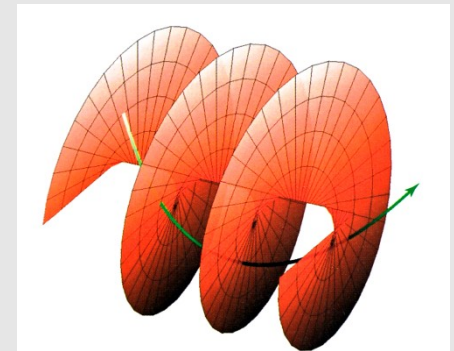
## Imaging





## Singularities in Optics

- Light as electro-magnetic wave field: amplitude and phase, polarization
  - plane , circular, helical wave fronts
  - Bessel beams
  - vortex/singular beams-> twisted light
- Singular points: Phase is undefined, when amplitude is zero



- Optical vortices are characterized by carrying an orbital angular momentum and a phase that increases azimuthally about a singularity at the center of the beam

- Optical vortex:

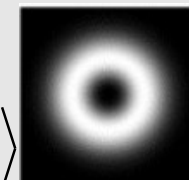
- wave field  $u(x,y)$
- Intensity  $I(x,y)$ : “Doughnut”
- az.Phase  $\Theta(x,y)$ : “Vortex/Spiral”

$$u(x, y) \propto \frac{1}{r^2} \exp[i l \theta]$$

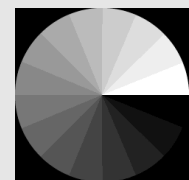
$$I(x, y) \propto \langle u(x, y) u^*(x, y) \rangle$$

$$\Theta(x, y) = \text{angle}(x + iy)$$

$I(x,y)$



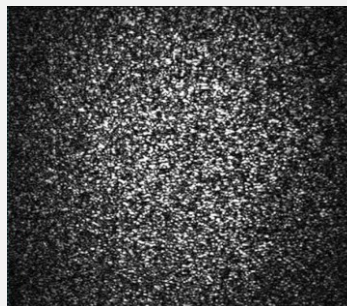
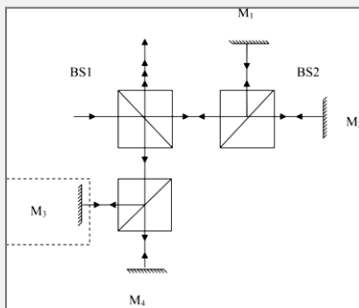
$\Theta(x,y)$





## Vortex beam generations:

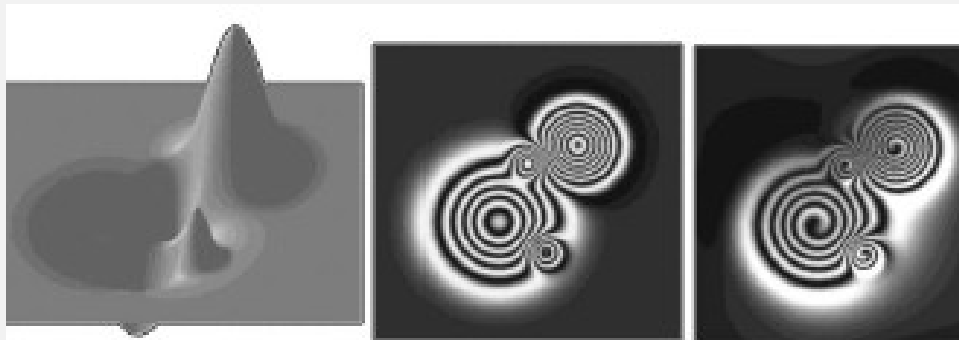
- Interference (3 beam interference)
- Random interferences at rough surfaces (speckle fields)
- Phase plates with helical profile





## Vortex beam applications:

- optical trapping and manipulation of micro-particles (tweezers)
- design of meta-materials (helical lattices)
- vortex interferometry
- spiral phase microscopy / spiral interferometry
- Fourier plane filtering
- stimulated emission depletion (STED) microscopy
- the encoding of optical quantum information
- ...

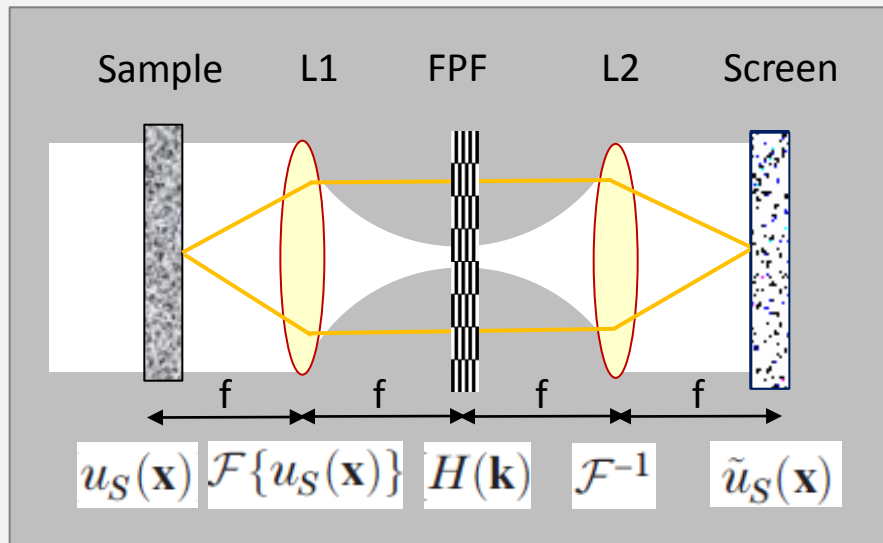


➤ Fürhapter S, **Spiral interferometry**, Opt. Lett., 30(15), 2005.

# Imaging I Fourier Plane Filtering Principle



Optical Fourier plane filtering represents linear system



Spatial Domain      Fourier Domain

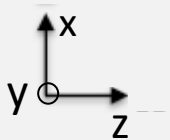
$$\mathbf{x} = (x, y); \quad \mathbf{k} = (k_x, k_y)$$

Electric field

$$\tilde{u}_S(\mathbf{x}) \propto \mathcal{F}^{-1}\{H(\mathbf{k})\mathcal{F}\{u_S(\mathbf{x})\}\}$$

Principle of Fourier plane filtering

Mathematical description



$$\text{Filter Function: } H(\mathbf{k}) = H_A(\mathbf{k}) H_\Phi(\mathbf{k})$$



## Fourier plane filtering history in microscopic imaging:

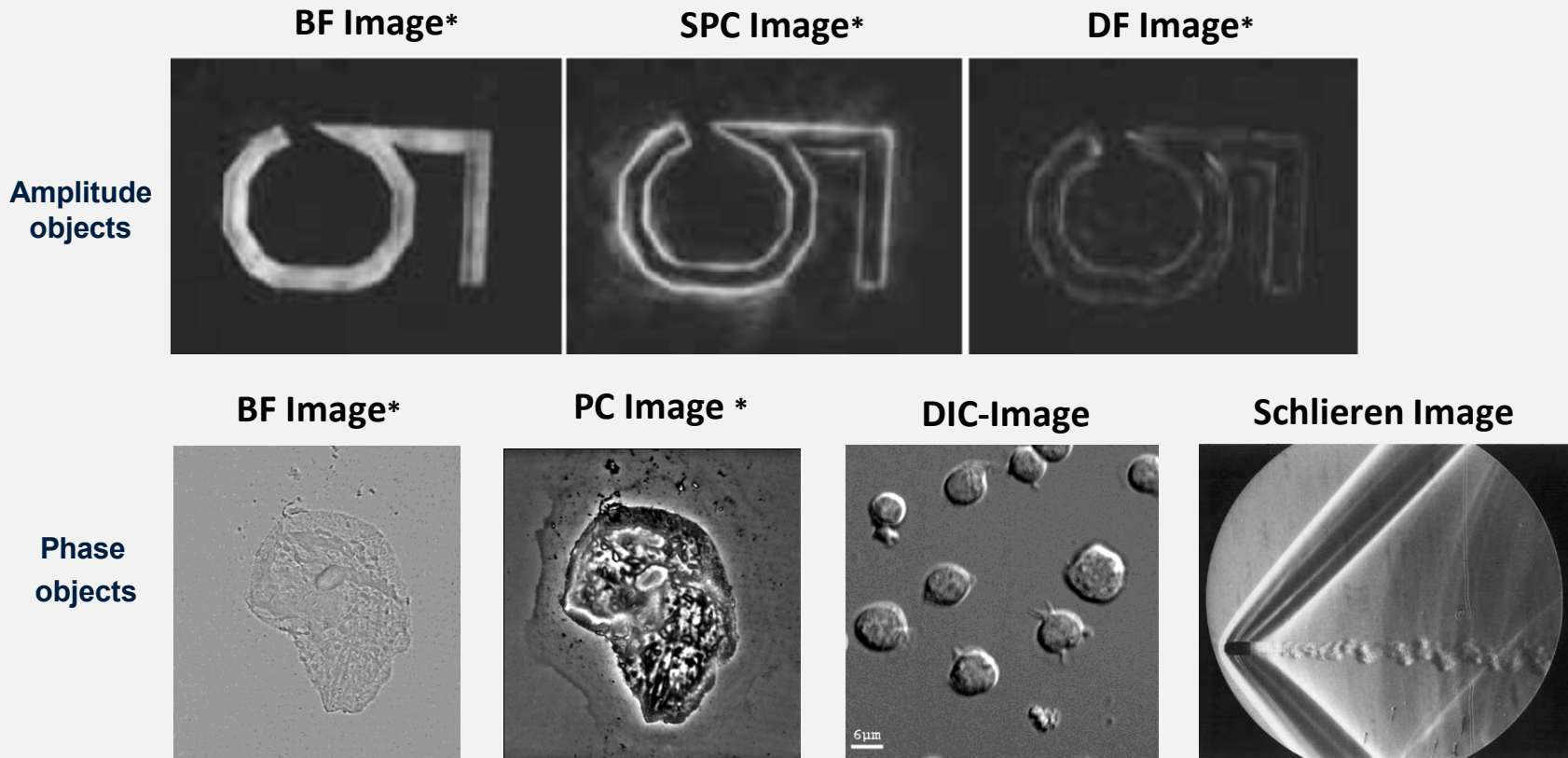
- Dark field microscopy (DF) (Amplitude, DC)  $\langle I \rangle_{DC} = 0$
- Phase contrast microscopy (PC) (small phase)  $I(x, y) \propto \varphi(x, y)$   
Zernike, (1930)
- Differential interference contrast (DIC) microscopy  $I(x, y) \propto \frac{\partial \varphi(x, y)}{\partial x}$   
Nomarski, (1950)
- Schlieren imaging  $I(x, y) \approx \frac{\partial \varphi(x, y)}{\partial x} \propto \frac{\partial n(x, y)}{\partial x}$   
Foucault's knife edge test (1859)  
Thermal flow and pressure fields  $I(x, y) \approx H_x \{ \varphi(x, y) \} \approx H_x \{ n(x, y) \}$
- Spiral phase contrast microscopy  $I(x, y) \approx \left| A(x, y) + iR_x \{ A(x, y) \} + jR_y \{ A(x, y) \} \right|$   
M. Ritsch Marte, (2005)  $I(x, y) \approx \left| \varphi(x, y) + iR_x \{ \varphi(x, y) \} + jR_y \{ \varphi(x, y) \} \right|$

$I(x,y)$ : Measured intensity;  $A(x,y)$ : Object wave amplitude;  $\varphi(x,y)$ : Object wave phase;  $n(x,y)$  refractive index,  $H_x$ : Partial Hilbert transform,  $R_x, R_y$ : Riesz transform components

# Imaging I Fourier Plane Filtering in Microscopy



Fourier plane filtering examples in microscopic imaging:



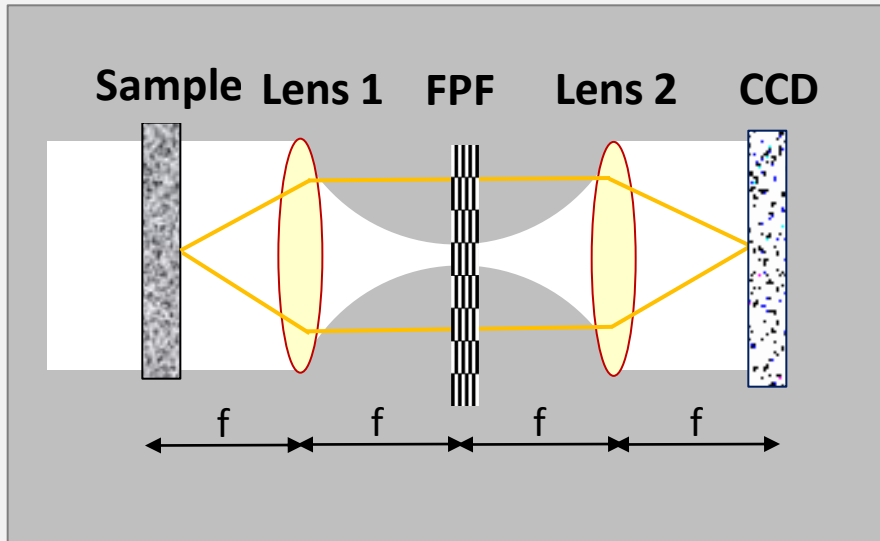
\* Images courtesy by Monika Ritsch-Marte

➤ S. Fuerhapter, M. Ritsch-Marte et al. „Spiral phase contrast imaging in microscopy“, Opt. Exp (2005)

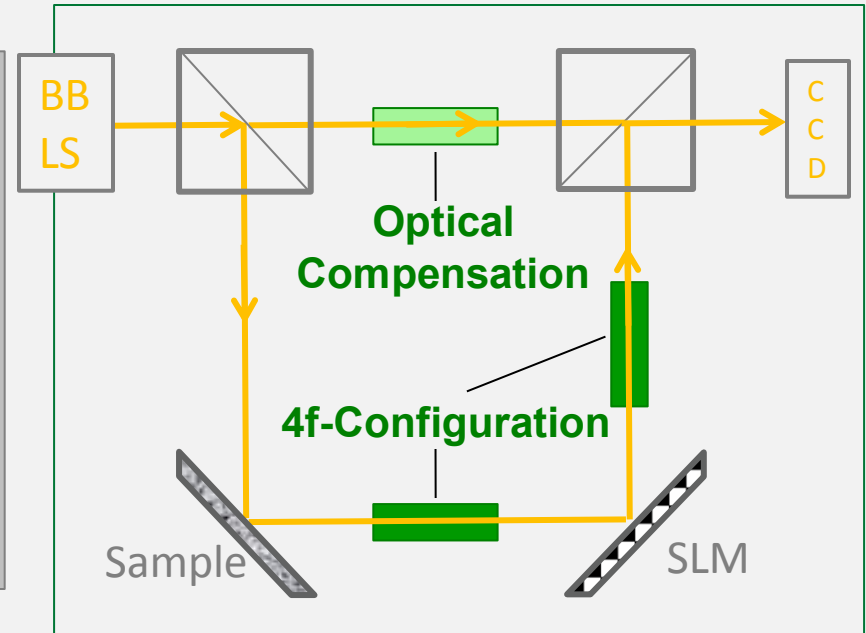
# Imaging I Fourier Plane Filtering (FPF)



FPF can be integrated within low coherence interferometry (LCI)



Optical 4f-configuration (transmission)



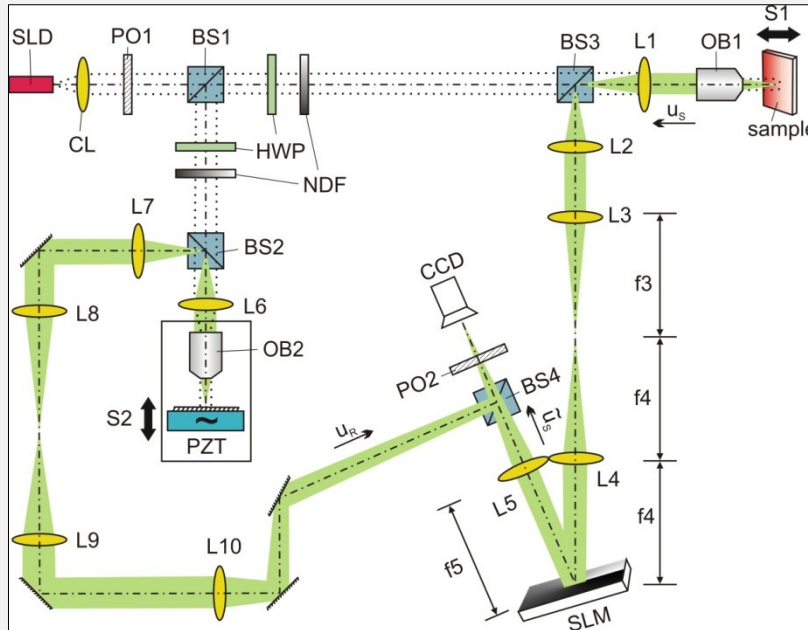
Mach-Zehnder interferometer configuration



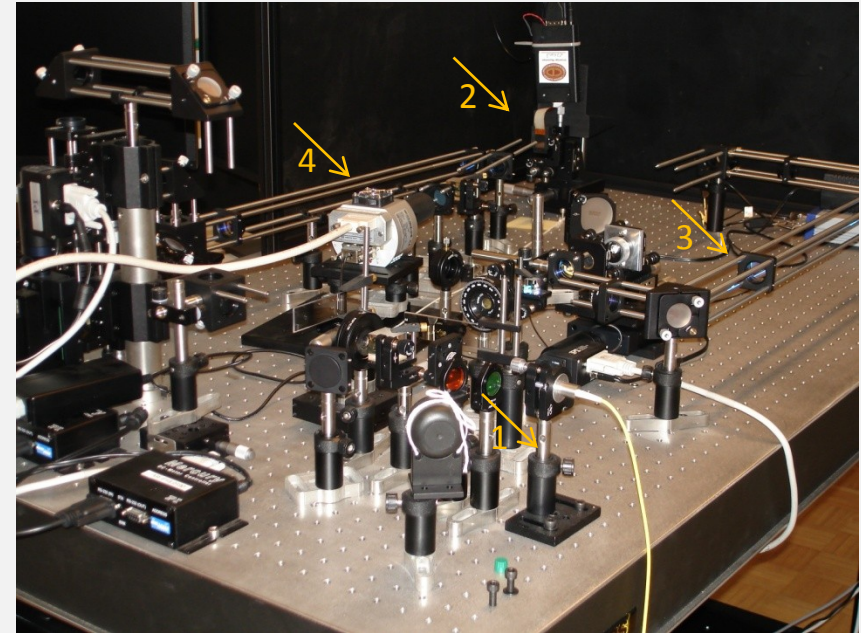
# Imaging I Fourier Plane Filtering in LCI



FPF can be integrated within low coherence interferometry (LCI)



Scheme of LCI setup with FPF unit in Mach-Zehnder configuration



LCI setup: 1) collimator, 2) FPF unit, 3) reference arm, 4) sample arm

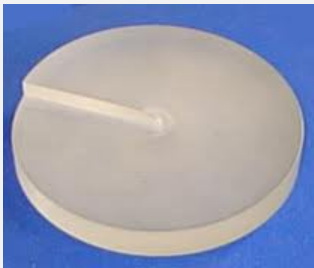
**Full-Field Optical Coherence Microscopy (FF-OCM)**

# Fourier Plane Filtering: Filter types



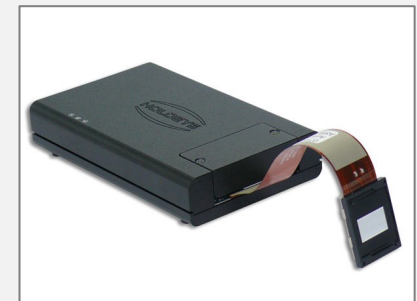
## Fourier plane filters

Phase pattern  $\varphi(k_x, k_y)$  can be introduced by height (d) or refractive index (n) changes at optical filter

$$\varphi(k_x, k_y) \sim (2 \pi/\lambda) n(k_x, k_y) \cdot d(k_x, k_y)$$


glass phase plate

SLM is flexibly addressed by discrete filter functions



SLM



## Spatial Light Modulator (SLM)

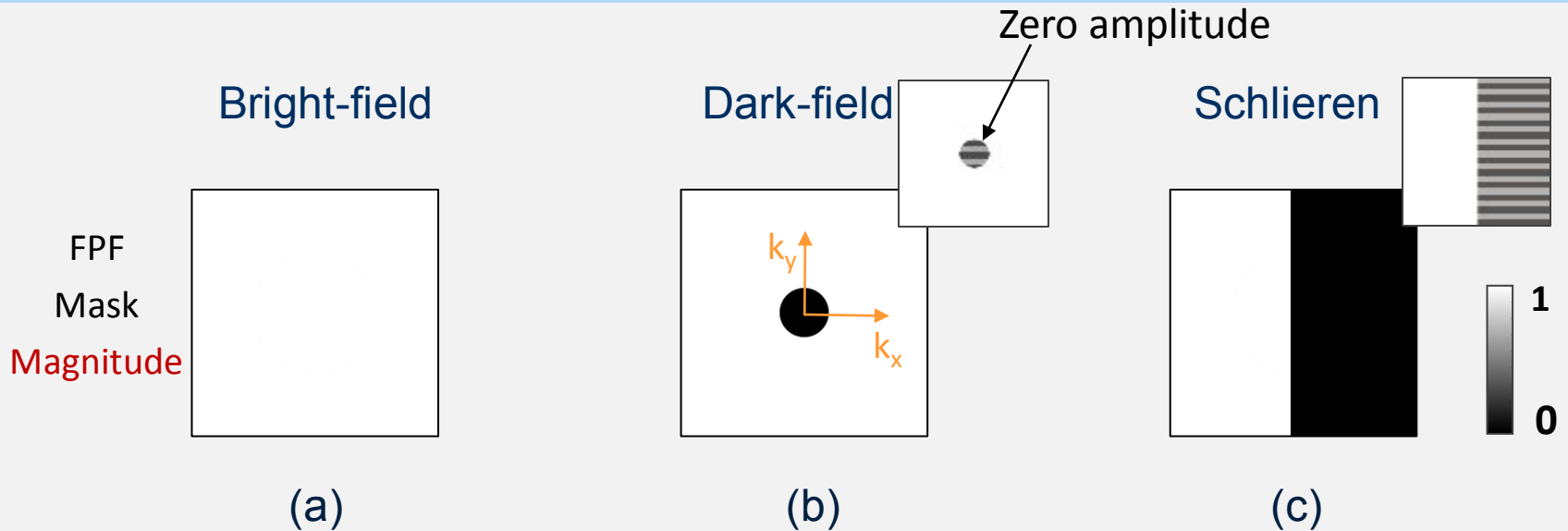
- Liquid Crystal Display (LCD)
  - Pixelated LC array
  - Modulate light spatially in each pixel
  - Amplitude, phase, binary SLM versions
  - Transmissive or reflective LC microdisplays
  - Addressable by PC/graphics card
- Pluto Phase-Only SLM (Holoeye)
  - Reflective LCOS micro-display
  - HDTV resolution (1920 x 1080 pixel)
  - 60 Hz image frame rate
  - 8.0  $\mu\text{m}$  pixel pitch
  - $2\pi$  phase shift



# Imaging I Fourier Plane Filtering (FPF)



## Amplitude – Filter function: $H_A(\mathbf{k})$

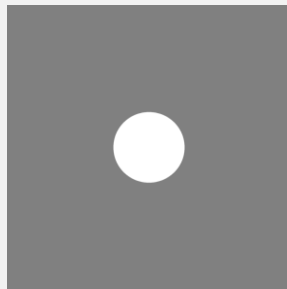




## Phase – Filter function: $H_{\Phi}(\mathbf{k})$

### Phase-Contrast

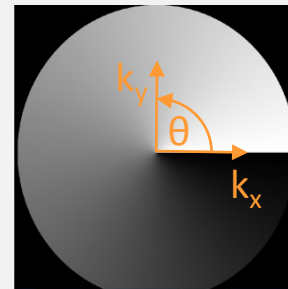
FPF  
Mask  
Argument



(a)

$$H_{\Phi}(\mathbf{k}) = \begin{cases} \exp(\pm i\pi/2) & \text{for } \|\mathbf{k}\| \leq k_a \\ 1 & \text{for } \|\mathbf{k}\| > k_a \end{cases}$$

### Spiral Phase Contrast

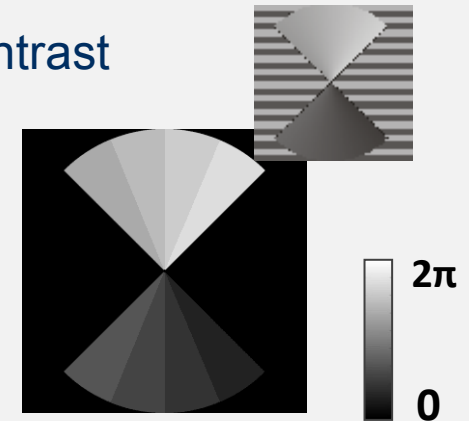


(b)

$$H_{\Phi}(\mathbf{k}) = -i \frac{\mathbf{k}}{\|\mathbf{k}\|} = \exp[-i\theta(\mathbf{k})]$$

(b)  $H_A(\mathbf{k}) = 1 \quad \forall \mathbf{k}$

(c)  $H_A(\mathbf{k}) = 1 \quad \forall \mathbf{k} \in \text{cone}$



(c)



## 2D Spatial Domain:

Riesz Transform  $\mathcal{R}$  in 2D spatial domain:

$$\mathcal{R}\{f(\mathbf{x})\} = f_R(\mathbf{x}) = [f_{R1}(\mathbf{x}), f_{R2}(\mathbf{x})] = \frac{\mathbf{x}}{2\pi\|\mathbf{x}\|^3} \otimes f(\mathbf{x})$$

Riesz transform kernel  $\mathcal{R}$   
and its components  $\mathcal{R}_1, \mathcal{R}_2$

and in polar coordinate  $(r, \theta)$

$$= \begin{cases} f_{R1}(\mathbf{x}) = \frac{x_1}{2\pi\|\mathbf{x}\|^3} \otimes f(\mathbf{x}) = \frac{\cos \theta}{2\pi r^2} \otimes f(\mathbf{x}) \\ f_{R2}(\mathbf{x}) = \frac{(i)x_2}{2\pi\|\mathbf{x}\|^3} \otimes f(\mathbf{x}) = \frac{(i)\sin \theta}{2\pi r^2} \otimes f(\mathbf{x}) \end{cases}$$

$$= (f_{R1}(\mathbf{x}) + i f_{R2}(\mathbf{x})) = \frac{\exp(i\theta)}{2\pi r^2} \otimes f(\mathbf{x}), \quad r > 0$$

with  $\mathbf{x} = (x_1, x_2)$ ,  $\exp[i\theta] = \frac{\mathbf{x}}{\|\mathbf{x}\|}$ ,  $r^2 = \|\mathbf{x}\|^2$ ,  $(i)$ : complex units



## 2D Fourier Domain:

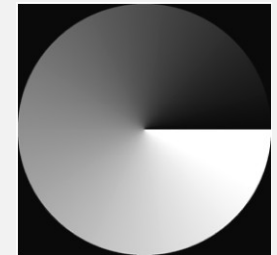
Riesz Transform  $\mathcal{R}^\wedge$  in 2D Fourier domain:

$$\hat{\mathcal{R}}\{\hat{f}(\mathbf{k})\} = \hat{f}_{\hat{\mathcal{R}}}(\mathbf{k}) = [\hat{f}_{\hat{\mathcal{R}}1}(\mathbf{k}), \hat{f}_{\hat{\mathcal{R}}2}(\mathbf{k})] = i \frac{\mathbf{k}}{\|\mathbf{k}\|} \cdot \hat{f}(\mathbf{k})$$

$$= \begin{cases} \hat{f}_{\hat{\mathcal{R}}1}(\mathbf{u}) \\ \hat{f}_{\hat{\mathcal{R}}2}(\mathbf{u}) \end{cases} = \begin{cases} (i) \frac{k_1}{\|\mathbf{k}\|} \cdot \hat{f}(\mathbf{k}) \\ \frac{k_2}{\|\mathbf{k}\|} \cdot \hat{f}(\mathbf{k}) \end{cases} = \begin{cases} (-i) \cos \hat{\theta} \cdot \hat{f}(\mathbf{k}) \\ \sin \hat{\theta} \cdot \hat{f}(\mathbf{k}) \end{cases} = \exp(i \hat{\theta}) \cdot \hat{f}(\mathbf{k})$$

Riesz transform kernel  $\mathcal{R}^\wedge$   
and components  $\mathcal{R}^\wedge_1, \mathcal{R}^\wedge_2$

and in polar coordinate  $(r^\wedge, \theta^\wedge)$



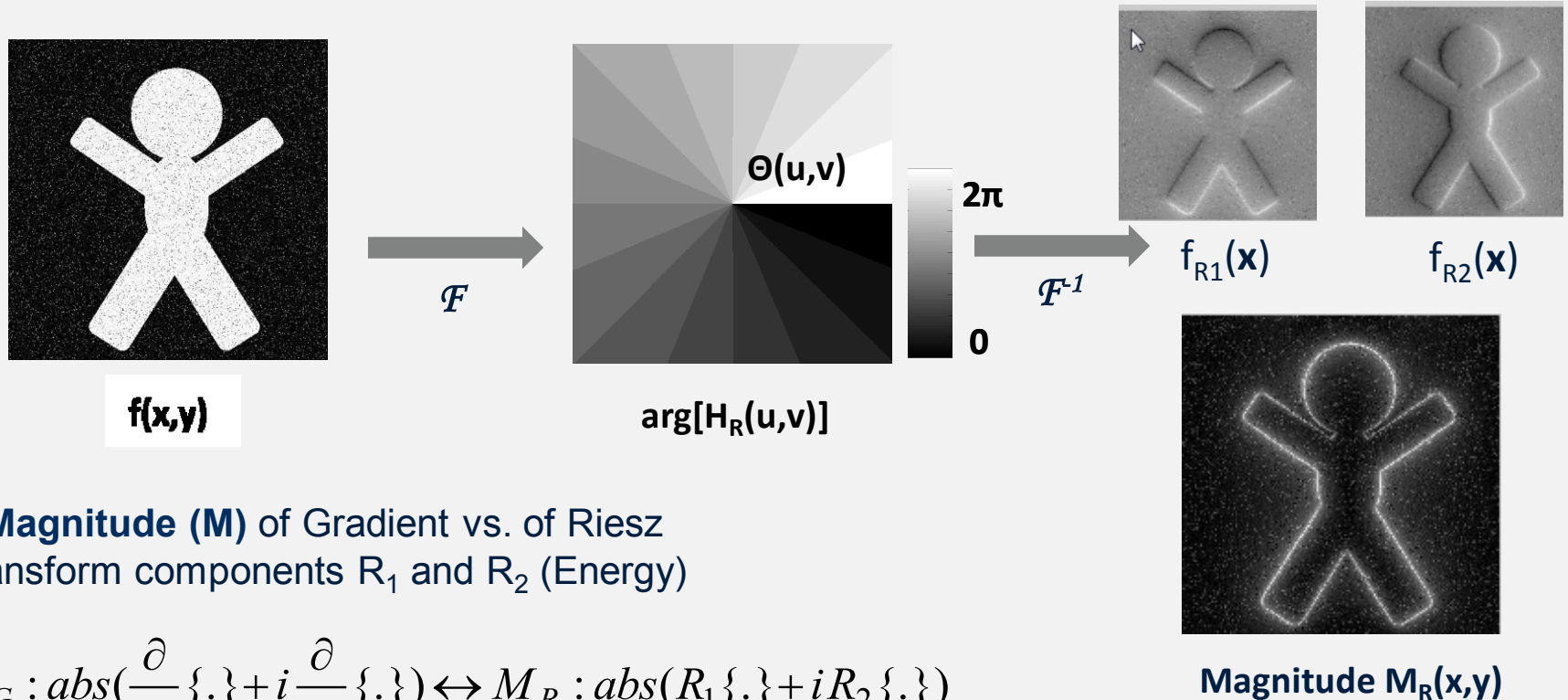
$\theta^\wedge(k_1, k_2)$

with  $\mathbf{k} = (k_1, k_2)$ ,  $\hat{\theta} = \text{atan}(k_1, k_2)$ ,  $\hat{r} = \|\mathbf{k}\|$ ,  $(i)$ : complex unit

Spiral phase quadrature filter (Larkin, 2001)  $\leftrightarrow$  Riesz transform kernel (... , Felsberg, 2001)  
in Fourier Domain



## Illustration: Edge enhancement in image processing by applying Riesz tr. kernel



**Magnitude (M)** of Gradient vs. of Riesz transform components  $R_1$  and  $R_2$  (Energy)

$$M_G : abs\left(\frac{\partial}{\partial x} \{.\} + i \frac{\partial}{\partial y} \{.\}\right) \leftrightarrow M_R : abs(R_1 \{.\} + i R_2 \{.\})$$

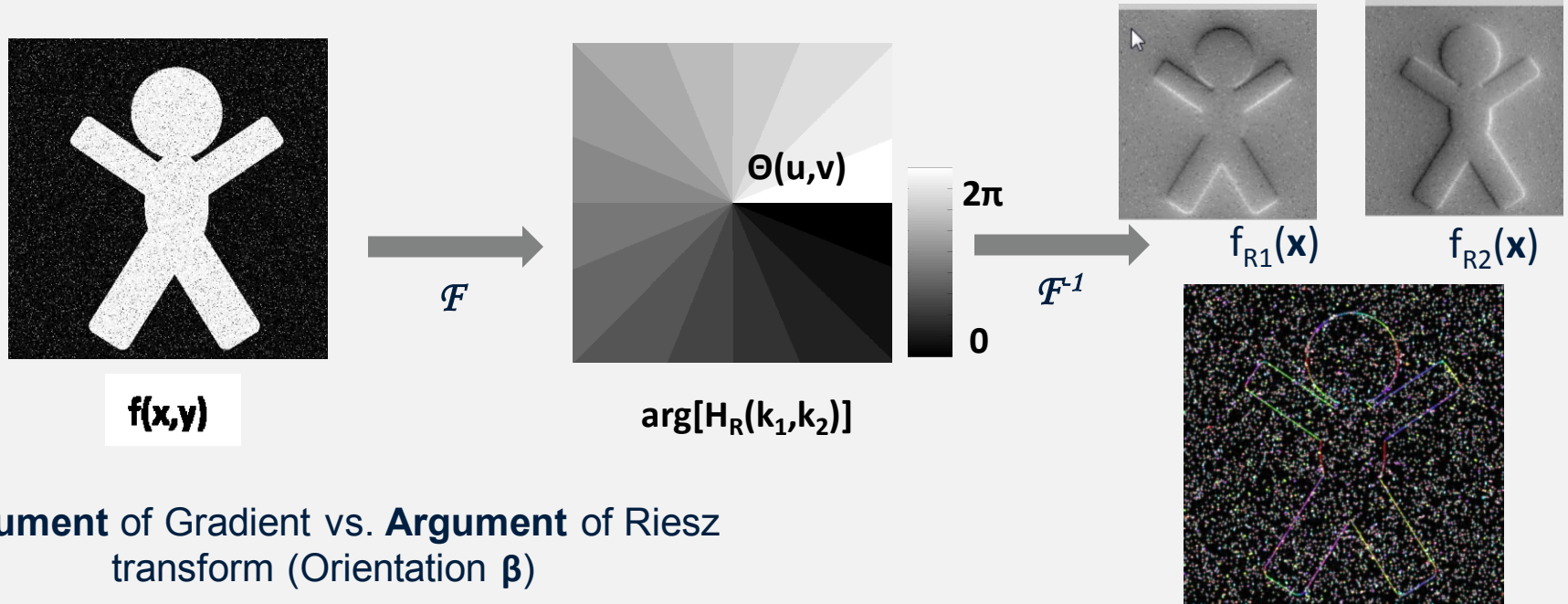
➤ M. Felsberg, G. Sommer, "Monogenic signal", 2001

➤ M. Unser et. al., "Multiresolution Monogenic signal Analysis Using Riesz Laplace-WT", (2009)





## Illustration: Orientation estimation in image processing by applying Riesz tr. kernel



**Argument** of Gradient vs. **Argument** of Riesz transform (Orientation  $\beta$ )

$$\beta_G : \arg\left(\frac{\partial}{\partial x} \{.\} + i \frac{\partial}{\partial y} \{.\}\right) \leftrightarrow \beta_R : \arg(R_1 \{.\} + i R_2 \{.\})$$

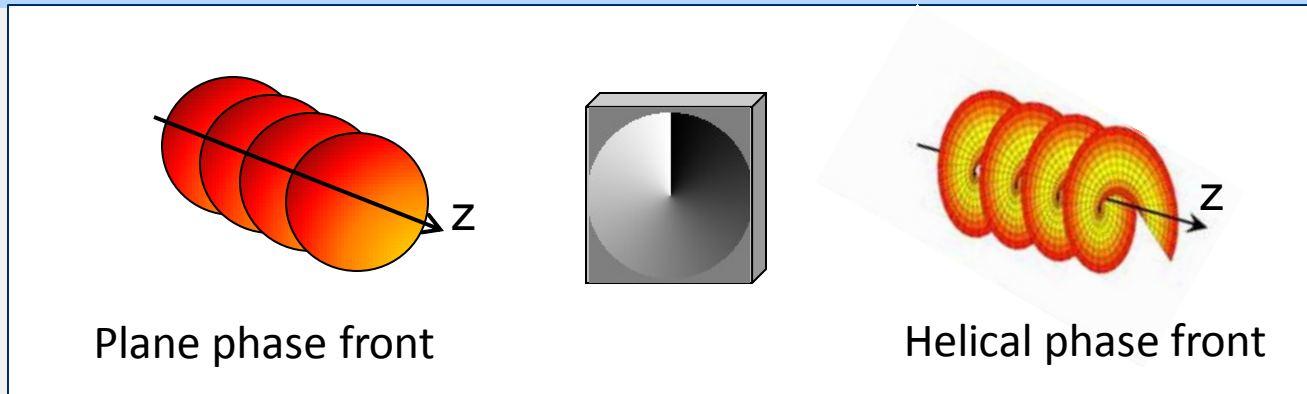
➤ M. Felsberg, G. Sommer, "Monogenic signal", 2001

➤ M. Unser et. al., "Multiresolution Monogenic signal Analysis Using Riesz Laplace-WT", (2009)

# Spiral Phase Filter in Microscopy Imaging

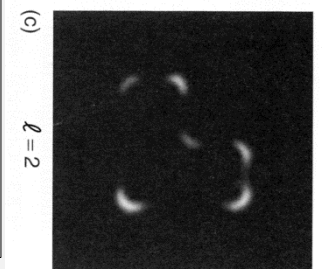
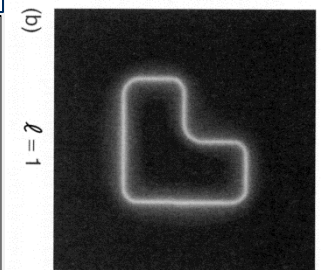
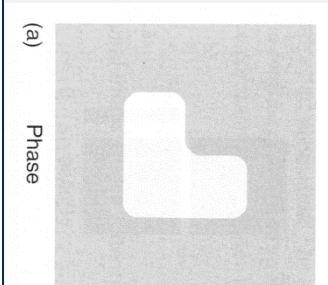
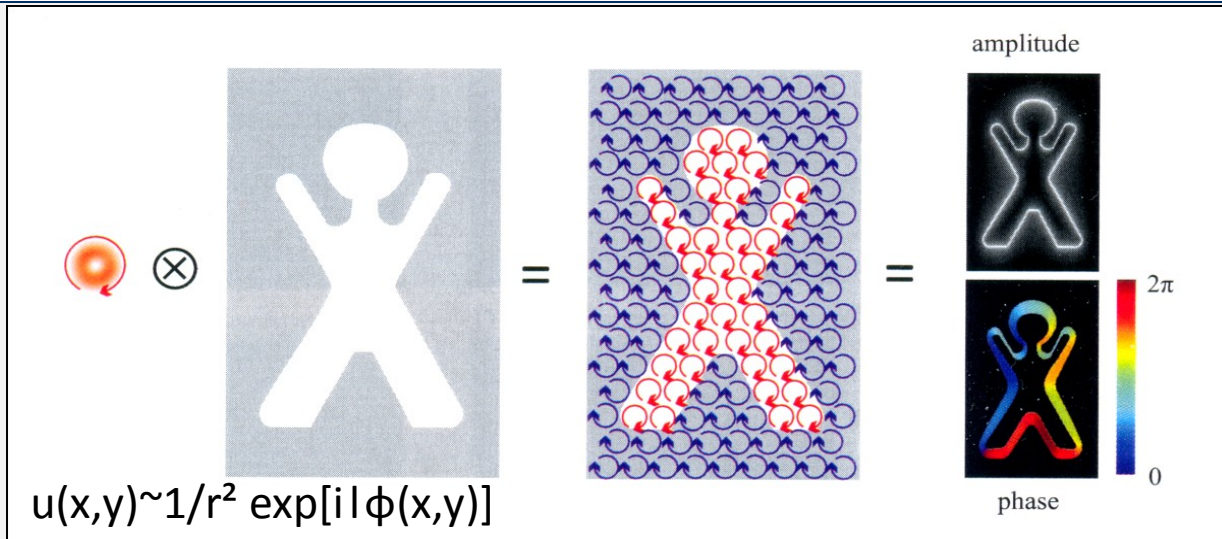


## Comparison: Edge enhancement in imaging by spiral phase filtering



Plane phase front

Helical phase front



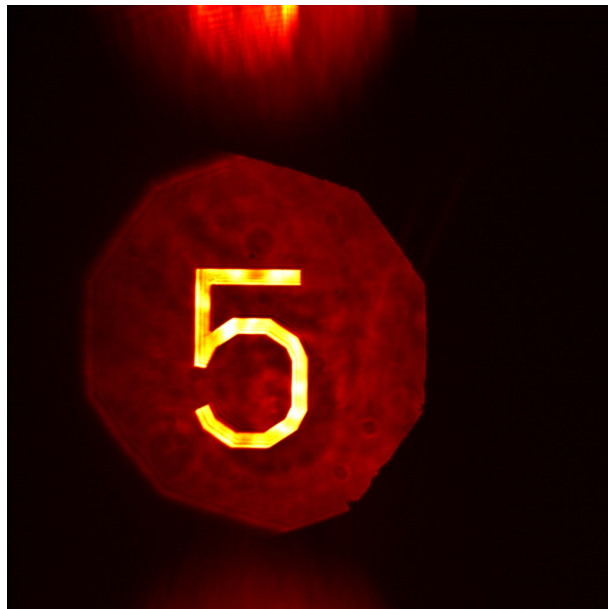
➤ Images from: C. Maurer, M. Ritsch-Marte et al., Laser and Photonics review (2010)



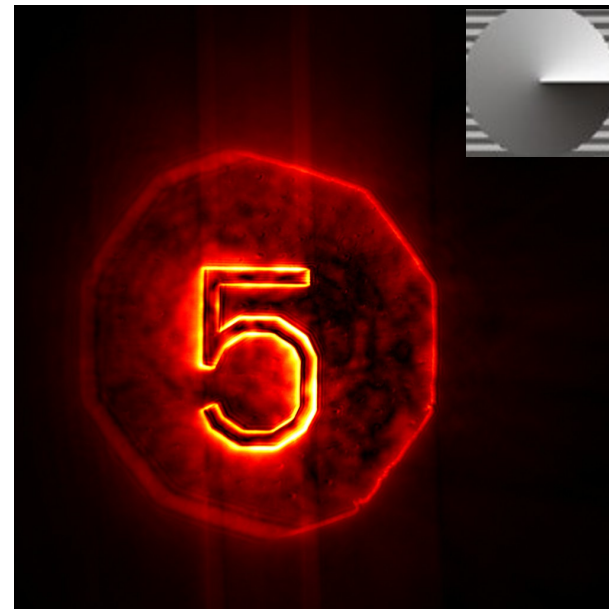
## Isotropic contrast enhancement by spiral phase filtering (SPF)

Spiral phase (quadrature) filter (SPF) in physics &  
(2D) Riesz transform kernel (RT) in mathematics

Bright field



SPF



Phase only  
filter function

$$H_A(\mathbf{k}) = 1; H_\Phi(\mathbf{k}) = \exp[i l \theta(\mathbf{k})], l = 1, \theta(\mathbf{k}) = \angle \mathbf{k}$$

On axis configuration

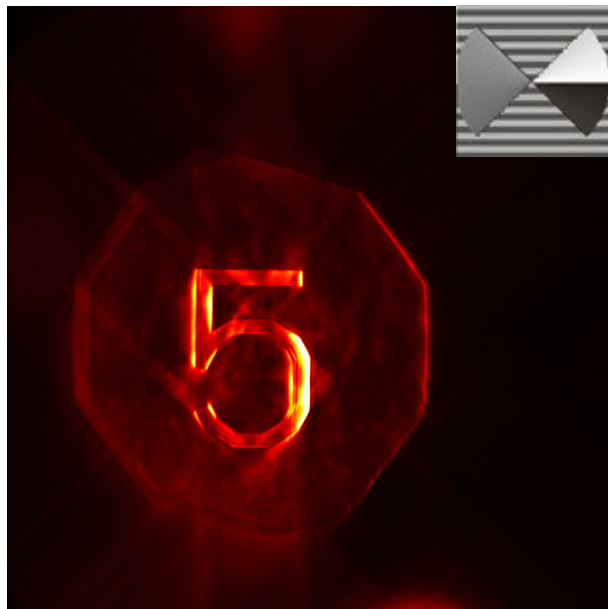
*Microscopic imaging*



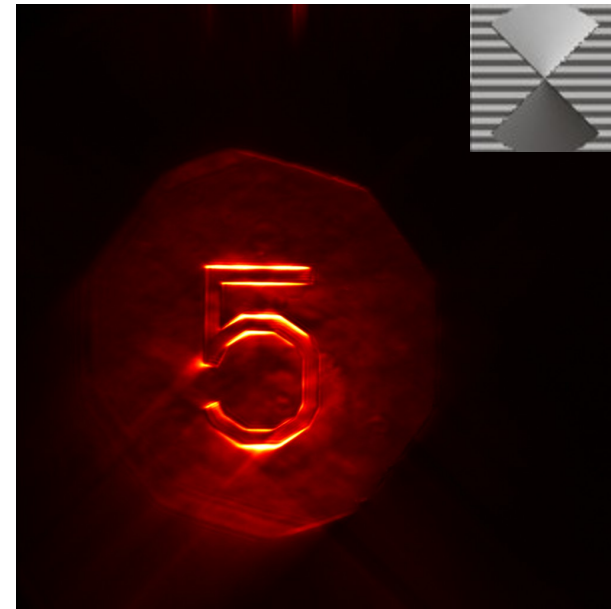
## Anisotropic contrast enhancement by modified SPF

Cone-like filters (“curvelets”) & SPF/ Riesz transform kernel (phase)

Directional cones:  
vertical structures



Directional cones: horizontal  
structures



$$H_A(\mathbf{k}) = 1, \quad \mathbf{k} \in \text{cone}, \quad H_\Phi(\mathbf{k}) = \exp[i \theta], \quad l = 1$$

On axis configuration

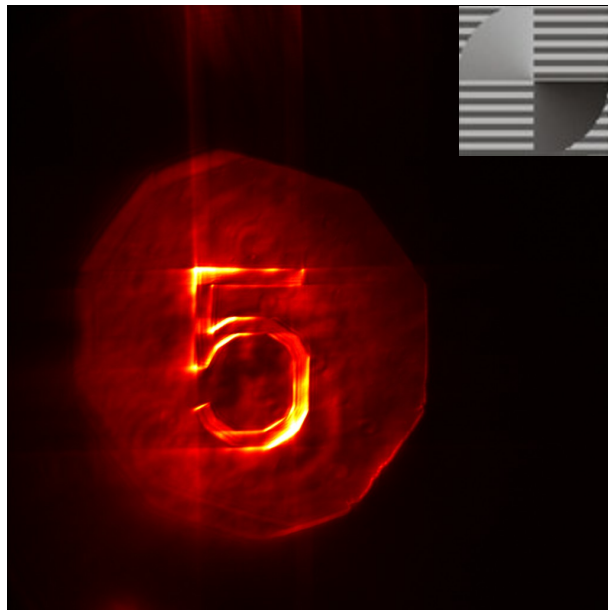
*Microscopic imaging*



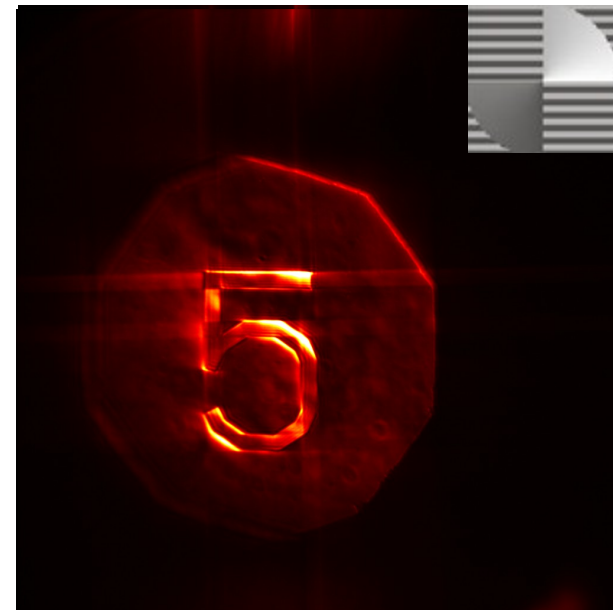
## Anisotropic contrast enhancement by modified SPF

Cone-like filters (“curvelets”) & Riesz transform kernel (phase)

Directional cones:  
diagonal structures



Directional cones:  
diagonal structures



$$H_A(\mathbf{k}) = 1, \quad \mathbf{k} \in \text{cone}, \quad H_\Phi(\mathbf{k}) = \exp[i \theta], \quad l = 1$$

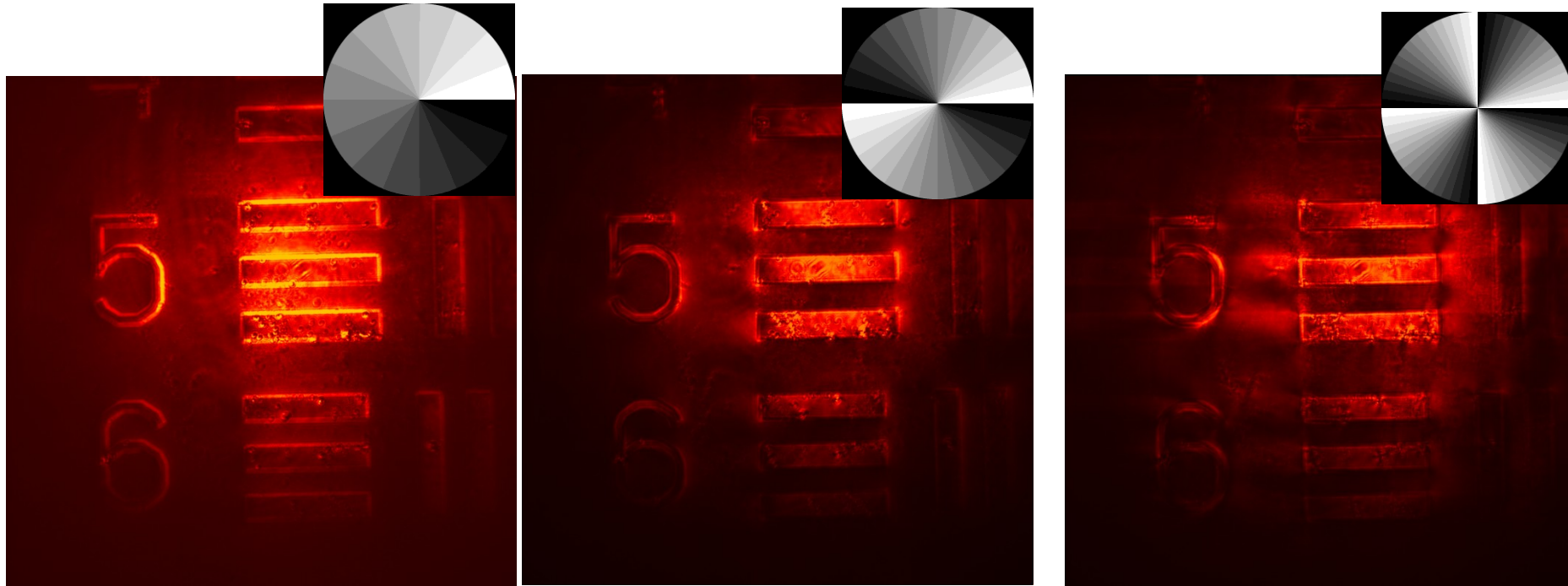
On axis configuration

*Microscopic imaging*



- Modifications of SPF: Higher order SPF  
Fractional SPF

## Higher order spiral phase filter



$l=1$

$l=2$

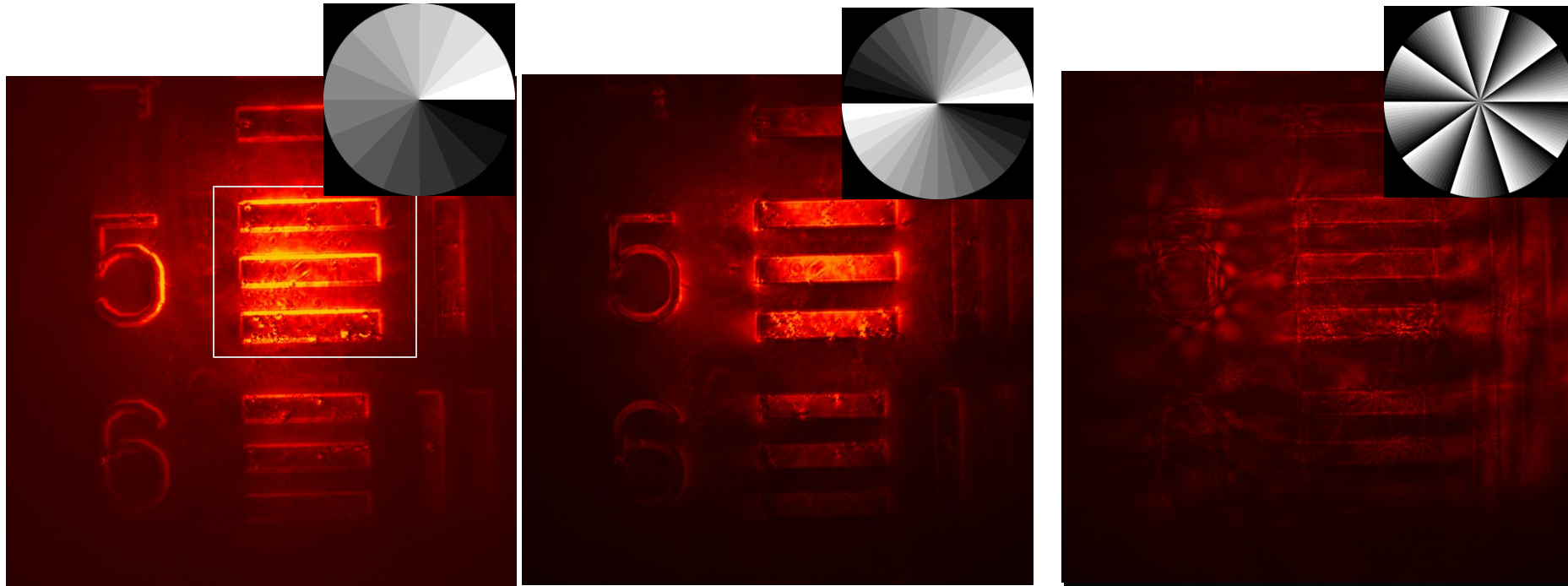
$l=4$

$$H_{\Phi} = \exp[il\theta], \quad l \in \mathbb{N}^+$$

On axis configuration

*Microscopic imaging*

## Higher order spiral phase filter/ Modified RT?



$l=1$

$l=2$

$l=10$

$$H_{\Phi} = \exp[il\theta], \quad l \in \mathbb{N}^+$$

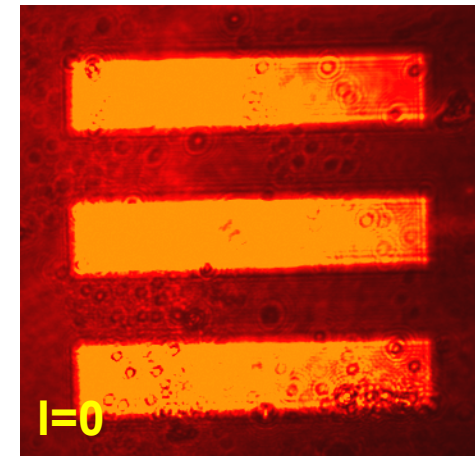
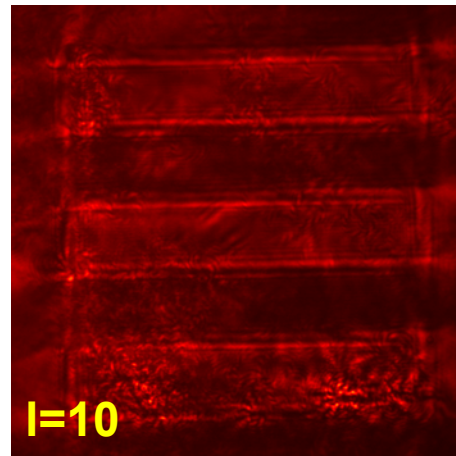
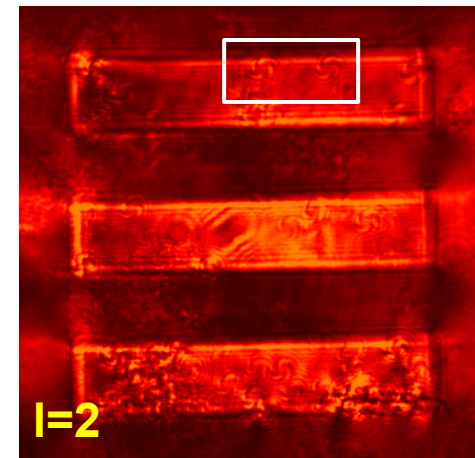
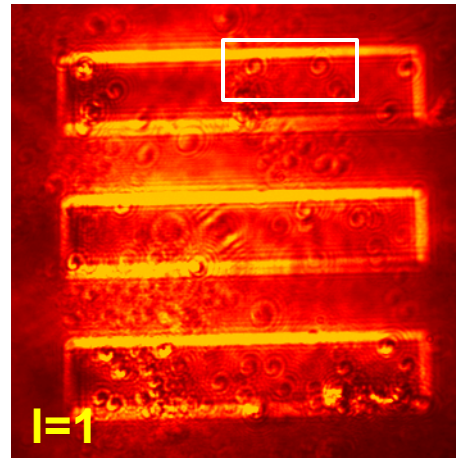
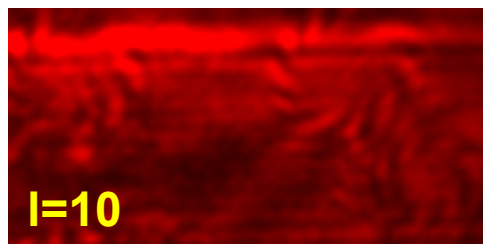
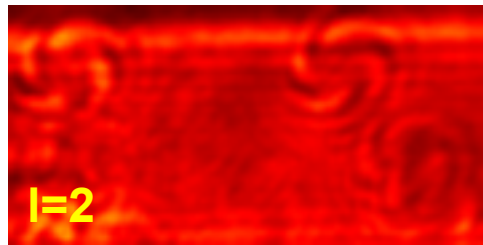
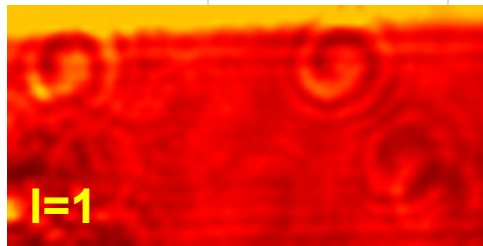
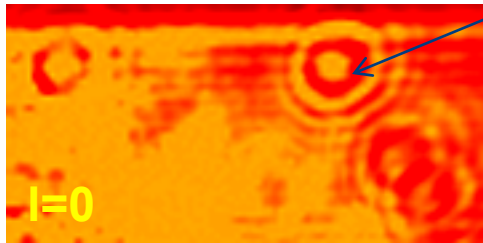
On axis configuration

*Microscopic imaging*



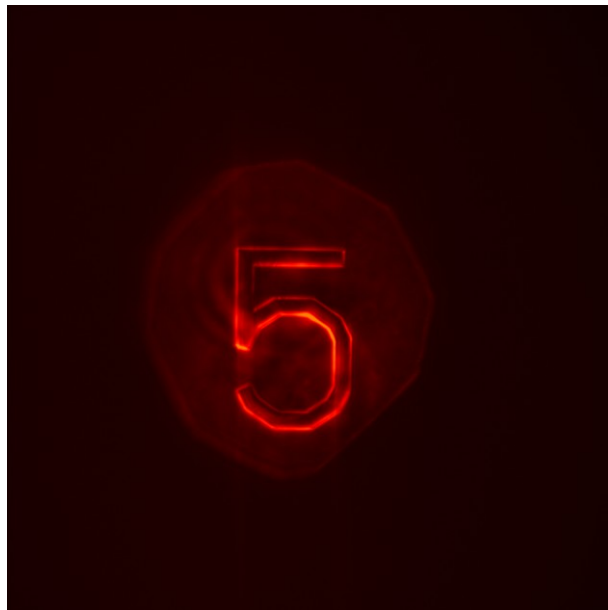
## Generation of spiral fringes of different topological charges

Dust particle (rings: interference effects)

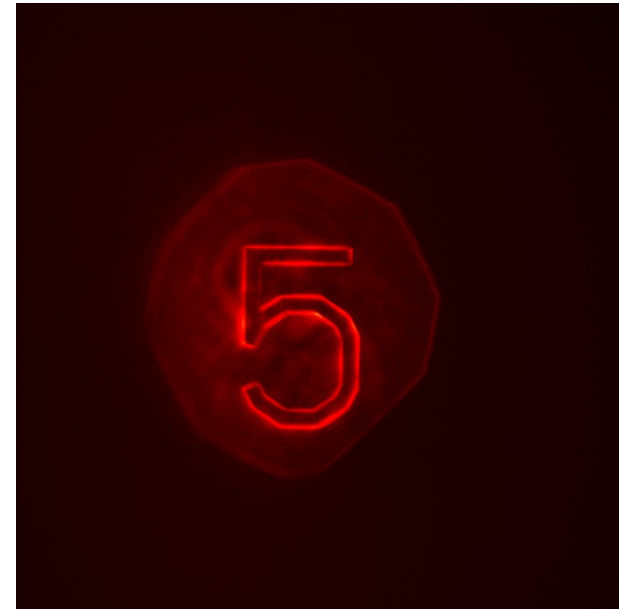




Fractional order spiral phase filter / Fractional RT?/ ...?



$l=0.5$



$l=1$

$$H_{\Phi} = \exp[il\theta], \quad l \in \mathbb{Q}$$

On axis configuration

*Microscopic imaging*



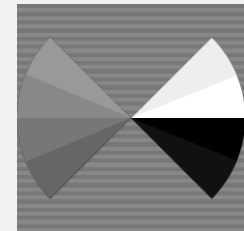
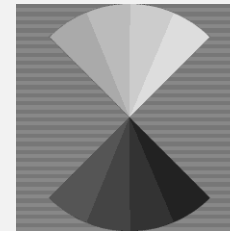
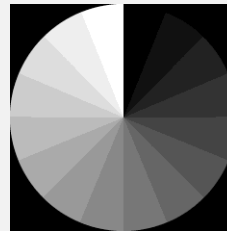
- Modification: off axis FPF configuration



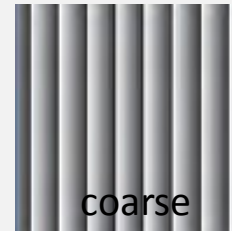
## Fourier plane filter in off axis configuration

On axis configuration

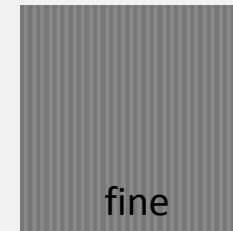
Direct reflection



additional grating +



coarse



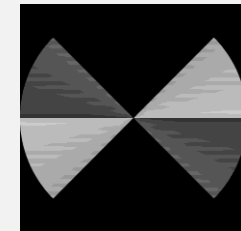
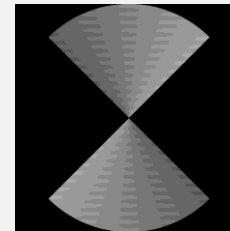
fine



grating constant

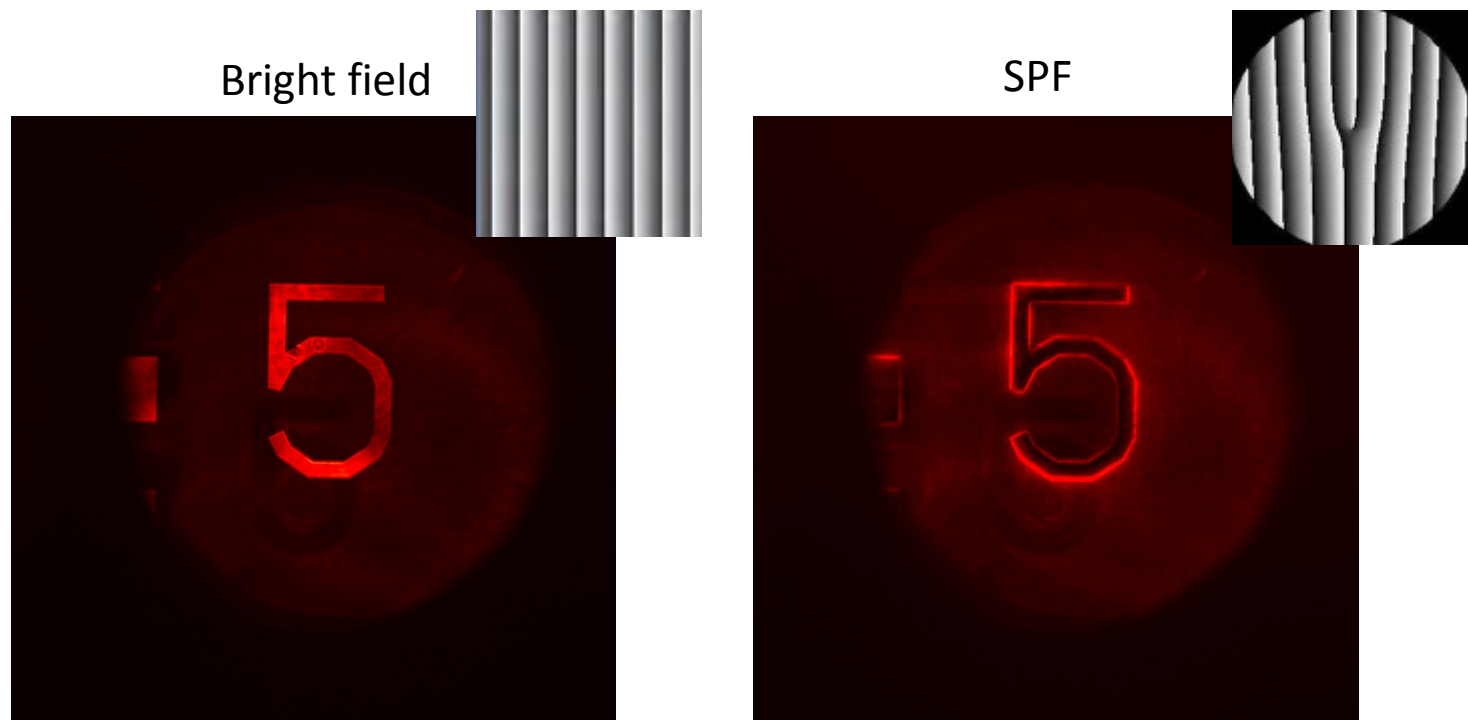
Off axis configuration

First diffraction order





## Isotropic contrast enhancement by Spiral phase filtering (SPF) / RT



$$H_{\Phi} = \exp[i \theta]$$

Off axis configuration

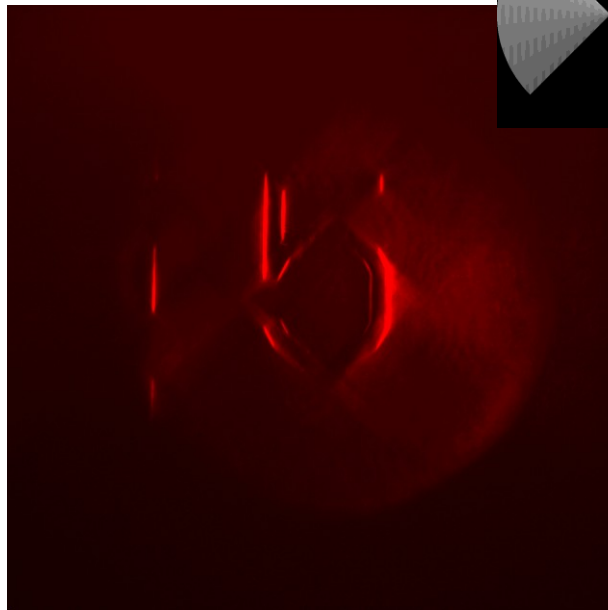
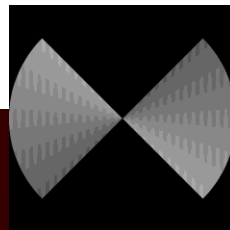
*Microscopic imaging*



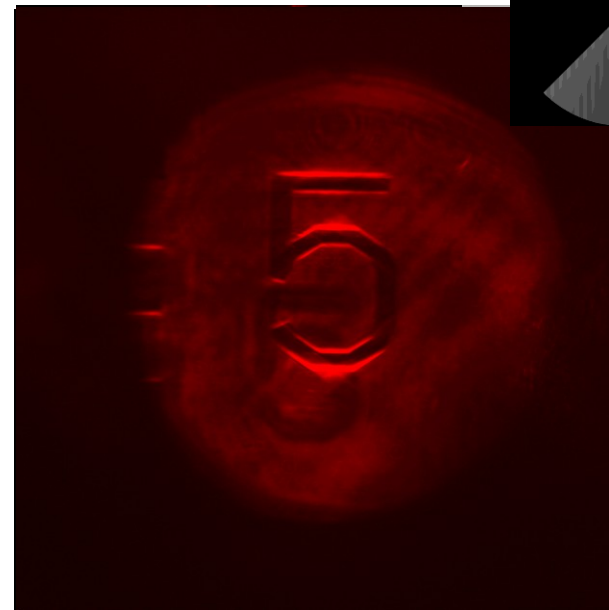
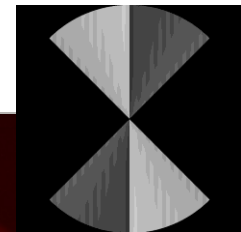
## Anisotropic contrast enhancement by modified SPF

### Cone-like filters & Riesz Transform

Vertical structures



Horizontal structures



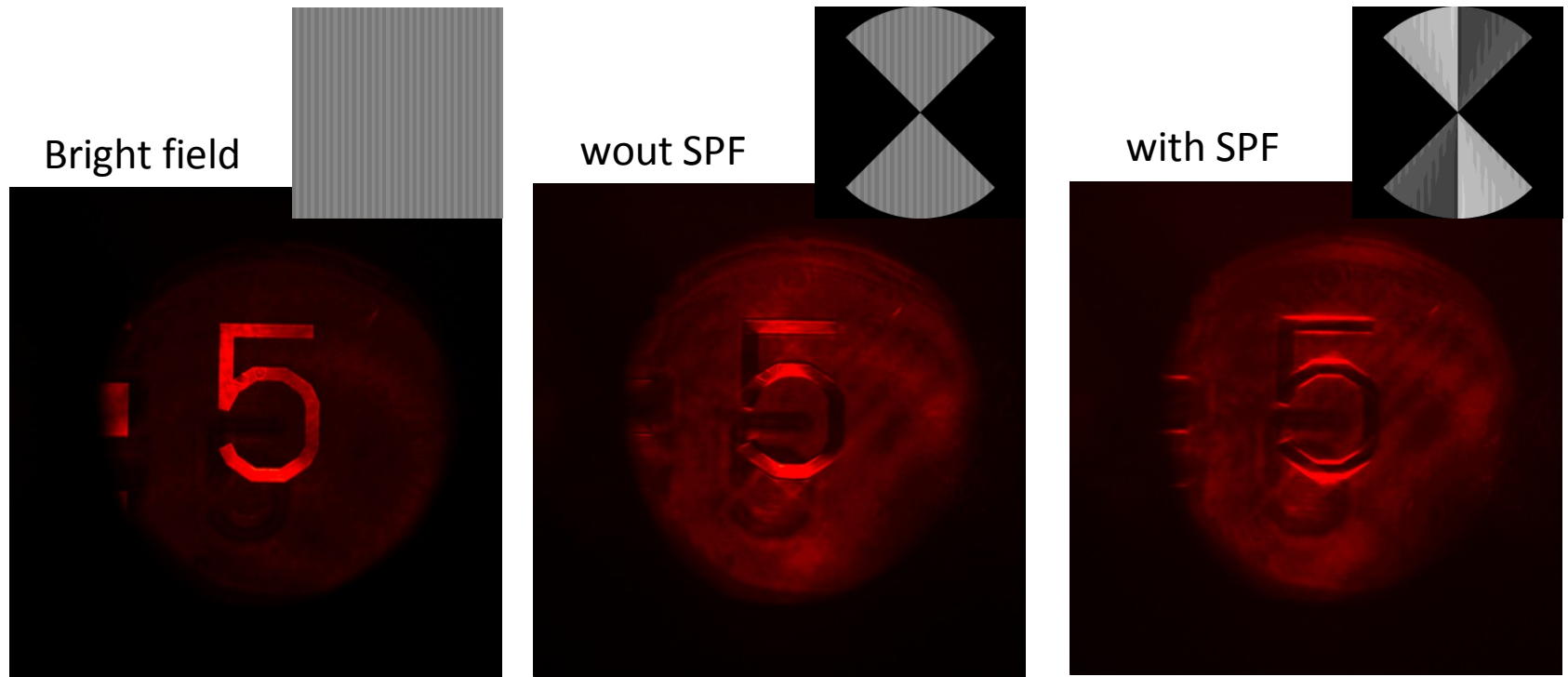
Off axis configuration

*Microscopic imaging*

# Application: Ongoing Work: Off axis Imaging



Comparison: Cone-like filters : wout vs.with spiral phase component



Off axis configuration

*Microscopic imaging*

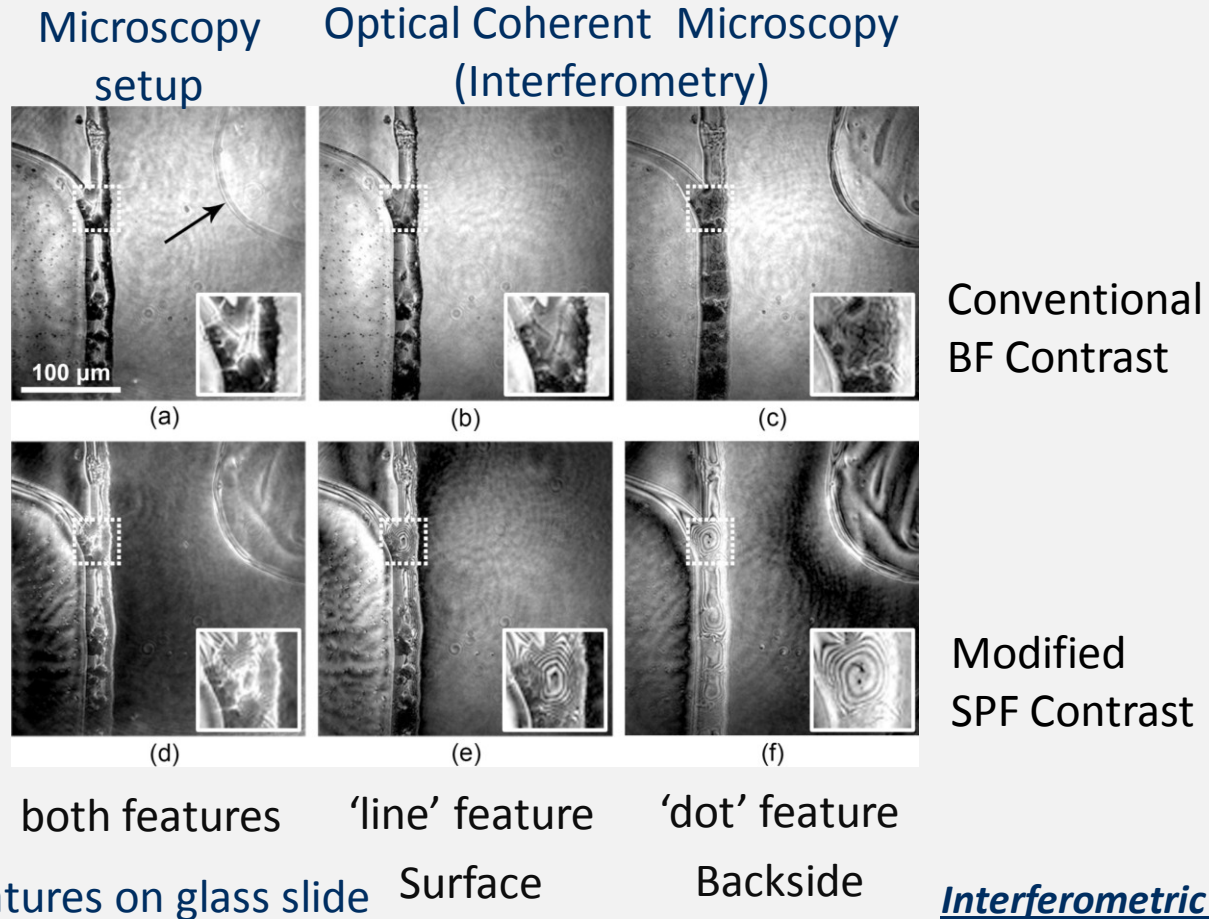


- Modification: interferometric configuration





## Contrast modification by FF-OCM imaging within transparent sample

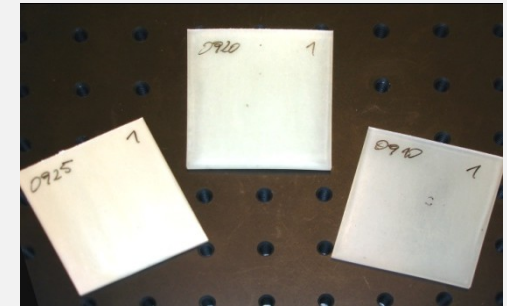
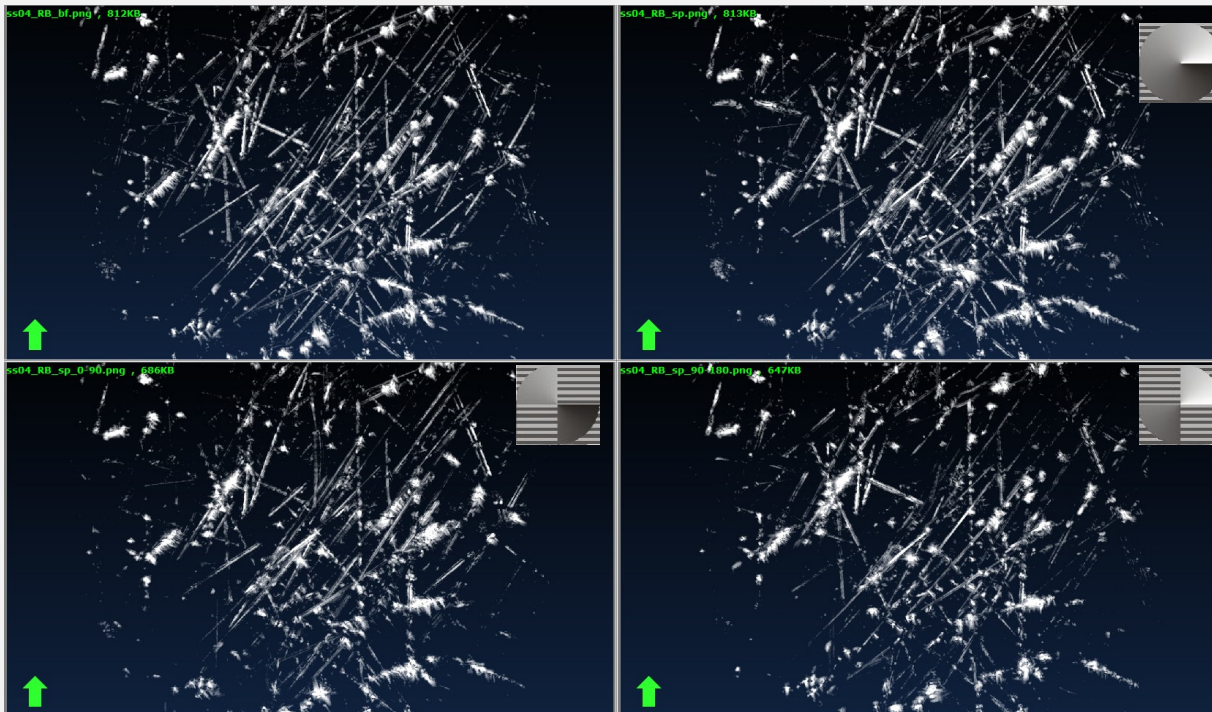


➤ Schausberger, S.E., et al., Opt. Letters 35, (2010).

# Imaging I Contrast Modification in FF-OCM by FPF



## Contrast modification by FF-OCM imaging within scattering sample



Sample: glass-fiber reinforced polymer

*Interferometric imaging*

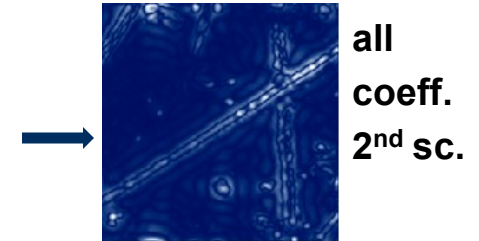
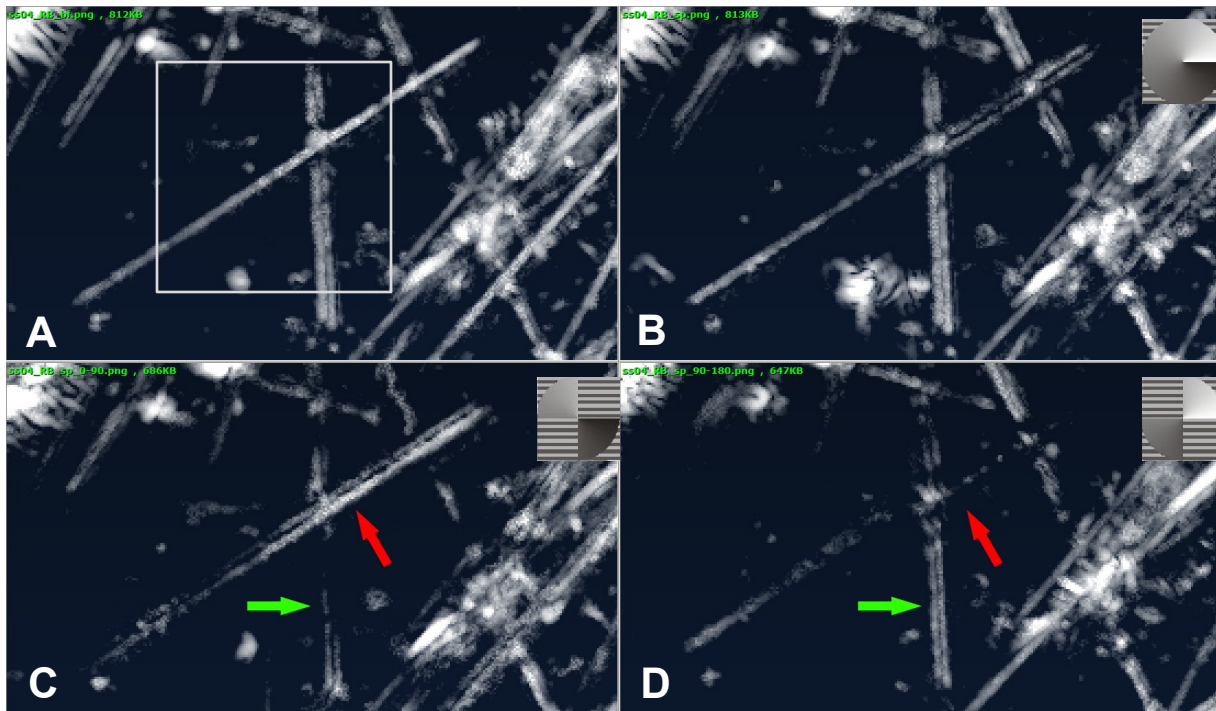
➤ Schausberger, S.E., et al., Proc. SPIE, (2011).

# Imaging I Contrast Modification in FF-OCM by FPF



shearlet coefficient images

Comparison: Optical vs. Mathematical filtering for (low coherence) interferometric data



Optical FP filtering

within slightly scattering fiber material  
(interferometric setup)

Mathematical shearlet filtering:

using FFST Toolbox, S. Häuser, Uni  
Kaiserslautern



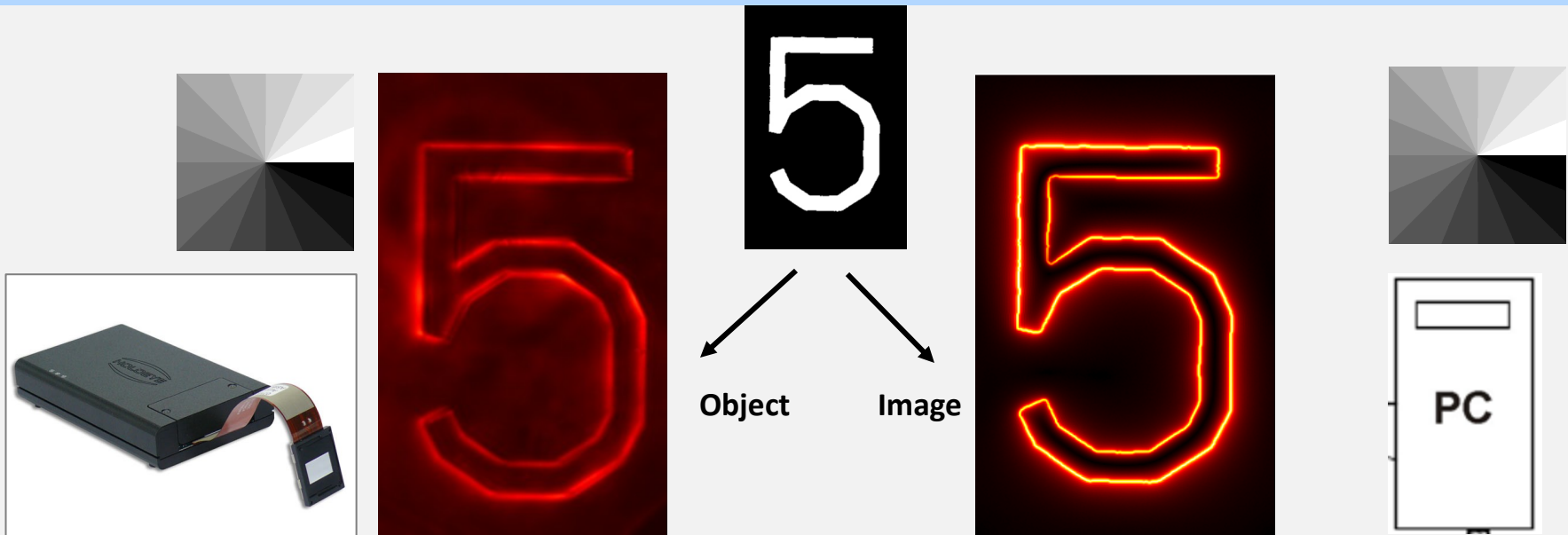
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- Summary & Outlook

# Summary: FPF in Imaging & Image Processing



## Comparison: Optical vs. Mathematical filtering



### Optical Imaging

Focal plane:  
Spiral phase filter (SPF)

### Mathematical Image Processing

Fourier domain:  
Riesz transform (RT)

**SPF & RT: Optical Fourier/ wavelet filters in imaging vs. mathematical Fourier/ wavelet filters in image processing lead to similar results (e.g. edge enhancement), ...but keep in mind ...**



## Restrictions & Potential for SLM technique in FPF and contrast modification

- Pixelation and discretization of SLM array (1920x1080, 10  $\mu\text{m}$ , 8bit)
- Phase-only array
- Frame rate (60Hz)

→ Technology improvement

- Contrast modification within scattering material

→ Computational techniques: Focusing through scattering media

- Single filter component

→ Multiplexed filtering

→ Multiscale analysis

- Intensity based measurements, phase ?, synthesis?

→ Phase retrieval



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**Thanks to:**

- **ZONA at JKU Linz**
- **FLLL at JKU Linz**
- **Biomed. Physics at Med-Uni Innsbruck**
- **Erasmus program (BA Freiberg)**



## **ACKNOWLEDGMENTS**

Christian Doppler Gesellschaft  
CDL MS-MACH



**Questions?**